

# CS738: Advanced Compiler Optimizations

## Types and Program Analysis

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## Reference Book

Types and Programming Languages by Benjamin C. Pierce

## Type: Definition

### type

/tʌɪp/

*noun*

1. a category of people or things having common characteristics.  
"this type of heather grows better in a drier habitat"  
*synonyms:* kind, sort, variety, class, category, classification, group, set, bracket, genre, genus, species, family, order, breed, race, strain; More
2. a person or thing exemplifying the ideal or defining characteristics of something.  
"she characterized his witty sayings as the type of modern wisdom"  
*synonyms:* epitome, quintessence, essence, perfect example, archetype, model, pattern, paradigm, exemplar, embodiment, personification, avatar; prototype  
"she characterized his witty sayings as the type of modern wisdom"

## Types in Programming

▶ A collection of *values*



▶ The operations that are permitted on these values

## Type System

- ▶ A collection of rules for checking the correctness of usages of types
  - ▶ “Consistency” of programs

## The World of Programming Languages

- ▶ Typed
  - ▶ C, C++, Java, Python, . . .
- ▶ Untyped
  - ▶ Assembly, *any other?*

## The World of Programming Languages

	<b>Statically Typed</b>	<b>Dynamically Typed</b>
<b>Strongly Typed</b>	ML, Haskell, Pascal (almost), Java (almost)	Lisp, Scheme
<b>Weakly Typed</b>	C, C++	Perl

## Applications of Type-based Analyses

- ▶ Error Detection
  - ▶ Language Safety
  - ▶ Verification
- ▶ Abstraction
- ▶ Documentation
- ▶ Maintenance
- ▶ Efficiency

## Untyped Arithmetic Expression Language

$t :=$	– <i>terms</i>
true	– <i>constant true</i>
false	– <i>constant false</i>
if $t$ then $t$ else $t$	– <i>conditional</i>
0	– <i>constant zero</i>
succ $t$	– <i>successor</i>
pred $t$	– <i>predecessor</i>
iszero $t$	– <i>zero test</i>

## Syntax: Inductive Definition

The set of *terms* is the smallest set  $\mathcal{T}$  such that

1.  $\{\text{true}, \text{false}, 0\} \subseteq \mathcal{T}$
2. if  $t_1 \in \mathcal{T}$ , then  $\{\text{succ } t_1, \text{pred } t_1, \text{iszero } t_1\} \subseteq \mathcal{T}$
3. if  $t_1 \in \mathcal{T}$ ,  $t_2 \in \mathcal{T}$ , and  $t_3 \in \mathcal{T}$  then  $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \in \mathcal{T}$

## Syntax: Inference Rules

The set of *terms*,  $\mathcal{T}$  is defined by the following rules:

$$\begin{array}{c} \text{true} \in \mathcal{T} \\ \hline \text{succ } t_1 \in \mathcal{T} \end{array} \quad \begin{array}{c} \text{false} \in \mathcal{T} \\ \hline \text{pred } t_1 \in \mathcal{T} \end{array} \quad \begin{array}{c} 0 \in \mathcal{T} \\ \hline \text{iszero } t_1 \in \mathcal{T} \end{array}$$
$$\frac{t_1 \in \mathcal{T} \quad t_2 \in \mathcal{T} \quad t_3 \in \mathcal{T}}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \in \mathcal{T}}$$

## Concrete Syntax

$$\begin{aligned} \mathcal{S}_0 &= \emptyset \\ \mathcal{S}_{i+1} &= \{\text{true}, \text{false}, 0\} \\ &\quad \cup \{\text{succ } t_1, \text{pred } t_1, \text{iszero } t_1 \mid t_1 \in \mathcal{S}_i\} \\ &\quad \cup \{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid t_1, t_2, t_3 \in \mathcal{S}_i\} \end{aligned}$$

Let  $\mathcal{S} = \bigcup_i \mathcal{S}_i$ .  
Then,  $\mathcal{T} = \mathcal{S}$ .

## Induction on Terms

- ▶ Any  $t \in \mathcal{T}$ 
  - ▶ Either a ground term, i.e.  $\in \{\text{true}, \text{false}, 0\}$
  - ▶ Or is created from some smaller terms  $\in \mathcal{T}$
- ▶ Allows for inductive definitions and inductive proofs.
- ▶ Three sample inductive properties
  - ▶  $\text{Consts}(t)$
  - ▶  $\text{size}(t)$
  - ▶  $\text{depth}(t)$

## Consts

- ▶ The set of constants in a term  $t$ .

$$\text{Consts}(\text{true}) = \{\text{true}\}$$

$$\text{Consts}(\text{false}) = \{\text{false}\}$$

$$\text{Consts}(0) = \{0\}$$

$$\text{Consts}(\text{succ } t) = \text{Consts}(t)$$

$$\text{Consts}(\text{pred } t) = \text{Consts}(t)$$

$$\text{Consts}(\text{iszero } t) = \text{Consts}(t)$$

$$\begin{aligned} \text{Consts}(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) &= \text{Consts}(t_1) \\ &\cup \text{Consts}(t_2) \\ &\cup \text{Consts}(t_3) \end{aligned}$$

## size

- ▶ The number of nodes in the abstract syntax tree of a term  $t$ .

$$\text{size}(\text{true}) = 1$$

$$\text{size}(\text{false}) = 1$$

$$\text{size}(0) = 1$$

$$\text{size}(\text{succ } t) = \text{size}(t) + 1$$

$$\text{size}(\text{pred } t) = \text{size}(t) + 1$$

$$\text{size}(\text{iszero } t) = \text{size}(t) + 1$$

$$\text{size}(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) = \text{size}(t_1) + \text{size}(t_2) + \text{size}(t_3)$$

## depth

- ▶ The maximum depth of the abstract syntax tree of a term  $t$ .
- ▶ Equivalently, the smallest  $i$  such that  $t \in \mathcal{S}_i$ .

$$\text{depth}(\text{true}) = 1$$

$$\text{depth}(\text{false}) = 1$$

$$\text{depth}(0) = 1$$

$$\text{depth}(\text{succ } t) = \text{depth}(t) + 1$$

$$\text{depth}(\text{pred } t) = \text{depth}(t) + 1$$

$$\text{depth}(\text{iszero } t) = \text{depth}(t) + 1$$

$$\begin{aligned} \text{depth}(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) &= \max(\text{depth}(t_1), \text{depth}(t_2), \\ &\quad \text{depth}(t_3)) + 1 \end{aligned}$$

## A Simple Property of Terms

- ▶ The number of distinct constants in a term  $t$  is no greater than the size of  $t$ .

$$|\mathit{Consts}(t)| \leq \mathit{size}(t)$$

- ▶ **Proof:** Exercise.

## The Set of Values

$V :=$

true

false

0

succ  $V$

– *values*

– *value true*

– *value false*

– *value zero*

– *successor value*

## Small-step Operational Semantics

- ▶  $t \rightarrow t'$  denotes “ $t$  evaluates to  $t'$  in one step”

if true then  $t_2$  else  $t_3 \rightarrow t_2$

if false then  $t_2$  else  $t_3 \rightarrow t_3$

$$\frac{t_1 \rightarrow t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3}$$

## Small-step Operational Semantics (contd. . .)

- ▶  $t \rightarrow t'$  denotes “ $t$  evaluates to  $t'$  in one step”

$$\frac{t_1 \rightarrow t'_1}{\text{succ } t_1 \rightarrow \text{succ } t'_1}$$

pred 0  $\rightarrow$  0

pred (succ  $v$ )  $\rightarrow$   $v$

$$\frac{t_1 \rightarrow t'_1}{\text{pred } t_1 \rightarrow \text{pred } t'_1}$$

## Small-step Operational Semantics (contd. . .)

- ▶  $t \rightarrow t'$  denotes “ $t$  evaluates to  $t'$  in one step”

$\text{iszero } 0 \rightarrow \text{true}$

$\text{iszero } (\text{succ } v) \rightarrow \text{false}$

$$\frac{t_1 \rightarrow t'_1}{\text{iszero } t_1 \rightarrow \text{iszero } t'_1}$$

## Normal Form

- ▶ A term is  $t$  in normal form if no evaluation rule applies to it.
- ▶ In other words, there is no  $t'$  such that  $t \rightarrow t'$ .

## Evaluation Sequence

- ▶ An evaluation sequence starting from a term  $t$  is a (finite or infinite) sequence of terms  $t_1, t_2, \dots$ , such that

$t \rightarrow t_1$

$t_1 \rightarrow t_2$

etc.

## Stuck Term

- ▶ A term is said to be **stuck** if it is a normal form but not a value.
- ▶ A simple notion of “run-time type error”