# CS 335: An Overview of Compilation

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## **Executing Programs**

Programming languages are an abstraction for describing computations

Control flow constructs and data abstraction

## Advantages of high-level programming language abstractions

Fast prototyping, improved productivity, readability, maintainability, and debugging

The abstraction needs to be transferred to machine-executable form to be executed

# A Bit of History

## In the early 1950s, most programming was with assembly language

- Programmers were reluctant to use high-level programming languages for fear of lack of performance
- Led to low programmer productivity and high cost of software development

In 1954, John Backus proposed a program that translated high-level expressions into native machine code for IBM 704 mainframe

- Fortran (Formula Translator) | project (1954-1957): The first optimizing compiler was released
- The Fortran compiler has had a huge impact on the field of Programming Languages and Computer Science
  - Many advances in compilers were motivated by the need to generate efficient Fortran code
  - ► Modern compilers preserve the basic structure of the Fortran I compiler!

# What is a Compiler?

## Definition

A compiler is a **system software** that translates a program in a **source language** to an **equivalent** program in a **target language** 

- System software (e.g., OS and compilers) helps application software (e.g., browser) to run
- Typical "source" languages might be C, C++, or Java
- The "target" language is usually the instruction set of some processor



Typesetting Large to generate PDF is an example of compilation

CS 335: An Overview of Compilation

# Important Goals of a Compiler

#### Generate correct code

- A compiler must preserve the meaning of the program being compiled
- Proving a compiler correct is a challenging problem and an active area of research

#### Must improve the code according to some metric

Performance or code size or energy consumption

#### Provide feedback to the user

Point out errors and potential mistakes in the program

#### Other concerns

- Compilation time and space required must be reasonable
- The engineering effort in building a compiler should be manageable

## Automated Parallelization with Compiler Support

```
// Disable optimizations
void serial(const float *A. const float *B. float *C) {
  for (int i = 0; i < N; i++) {
    C[i] = A[i] + B[i];
   C[i] = C[i] + C[i]:
  }
3
void omp_parallel(const float * A, const float * B, float * C) {
  // Enable auto-parallelization with threads with OpenMP
  #pragma omp parallel for num_threads(omp_get_num_procs())
  for (int i = 0; i < N; i++) {
    C[i] = A[i] + B[i];
   C[i] = C[i] + C[i]:
  }
```

## Automated Parallelization with Compiler Support

```
// Disable optimizations
void serial(const float *A. const float *B. float *C) {
  for (int i = 0; i < N; i++) {
    C[i] = A[i] + B[i];
    C[i] = C[i] + C[i]:
) q++ -00 -fopenmp omp-parallelization.cpp
) ./a.out
Reference Version: Vector Size = 268435456, Approximately 0.582 GFLOPS: Time = 0.923 sec
OpenMP Version: Vector Size = 268435456, Approximately 2.228 GFLOPS; Time = 0.241 sec
  for (int i = 0; i < N; i++) {
    C[i] = A[i] + B[i];
    C[i] = C[i] + C[i]:
  }
```

## Loop Transformations to Enable Parallelization

## **Thread Parallelism**

```
// N and M are very large values
// Parallelize loop j with threads
for (int j = 1; j < N; j++) {
   for (int i = 1; i < M; i++) {
        A[i][j] = A[i-1][j] + B;
    }
}</pre>
```

## Data Parallelism

```
// N and M are very large values
for (int i = 1; i < M; i++) {
    // Parallelize loop j with SIMD
    // instructions
    for (int j = 1; j < N; j++) {
        A[i][j] = A[i-1][j] + B;
    }
}</pre>
```

## Source-to-Source Compiler

Produces a target program in **another programming language** rather than the assembly language of some processor

- Also known as transcompiler or transpiler
- TypeScript and CoffeeScript transpile to JavaScript, and many research compilers generate C programs
- The output programs require further translation before they can be executed

## Compiler

• A traditional compiler translates a higher-level programming language to a lower-level language

## Transpiler

• Converts between programming languages at **approximately** the **same** level of abstraction

## Interpreter

## Definition

An **interpreter** takes as input an executable specification and produces as output the result of executing the specification



Scripting languages are often interpreted (e.g., Bash)

# Compiler vs Interpreter

## Compiler

• Translates the **whole** program at once

- Memory requirement during compilation is more
- Error reports are congregated
- On an error, compilers try to fix the error and proceed past
- Examples: C, C++, and Java

#### Interpreter

- Executes the program **one line** at a time
  - Compilation and execution happen at the same time
- Memory requirement is less because there is less state to maintain
- Error reports are per line, easier to report precise locations
- Stops translation on an error
- Examples: Bash and Python

# More about Interpreters and Compilers

- Whether a language is interpreted or compiled is an implementation-level detail
  - ► If all implementations are interpreters, we say the language is interpreted
- Python is compiled to bytecode, and the bytecode is interpreted (CPython is the reference implementation)
  - ► Interpreting bytecode is faster than interpreting a higher-level representation
  - PyPy both interprets and just-in-time (JIT) compiles the bytecode to optimized machine code at run time

Is Python interpreted, or compiled, or both?

# Hybrid Translation Schemes

- Translation process for a few languages includes both compilation and interpretation (e.g., Lisp)
- Java is compiled from source code into bytecode (.class files)
- Java virtual machines (JVMs) start execution by interpreting the bytecode
- JVMs include a just-in-time (JIT) compiler that compiles frequently-used bytecode sequences into native code
  - ► JIT compilation happens at run time and is driven by profiling
  - Important to keep the JIT compilation time low

How the JIT compiler boosts Java performance in OpenJDK

## Compilation Flow in Java with Hotspot JVM



Demystifying the JVM: JVM Variants, Cppinterpreter and TemplateInterpreter

# Structure of a Compiler

## **Compiler Structure**

A compiler interfaces with both the source language and the target architecture



- Front end consists of two or three passes that handle the details of the input source-language program
- The back end passes lower the intermediate representation closer to the target machine's instruction set

## Intermediate Representation

An intermediate representation (IR) is a data structure that encodes information about the input program

- E.g., graphs, three address code and LLVM IR
- Different IRs may be used during different phases of compilation

LLVM IR

clang -00 -S -emit-llvm <file>.c

```
int f(int a, int b) {
   return a + 2*b;
}
int main() {
   return f(10, 20);
}
```

Truncated

```
: Function Attrs: noinline nounwind optnone uwtable
define dso local i32 @f(i32 noundef %0, i32 noundef %1) #0 {
 \% 3 = alloca i32, align 4
 \% 4 = alloca i32, align 4
  store i32 %0, i32* %3, align 4
 store i32 %1, i32* %4, align 4
 % 5 = load i32. i32* %3. align 4
 % 6 = load i32. i32* %4. align 4
 \% 7 = mul nsw i32 2.\%6
 \% 8 = add nsw i 32 \% 5. \% 7
 ret i32 %8
 Function Attrs: noinline nounwind optnone uwtable
define dso local i32 @main() #0 {
 %1 = alloca i 32. align 4
 store i32 0. i32* %1. align 4
 %2 = call i32 @f(i32 noundef 10. i32 noundef 20)
 ret i32 %2
```

A Journey to understand LLVM-IR!

Learning LLVM

Swarnendu Biswas (IIT Kanpur)

## Advantages of Two-Phased Compiler Structure

## Simplifies the process of writing or retargeting a compiler

Retargeting is the task of adapting the compiler to generate code for a new processor



# Three-Phased View of a Compiler

IR makes it possible to add more phases to compilation



Optimizer is an IR $\rightarrow$ IR transformer that tries to **improve** the IR program in some way

Optimization phase contains many passes to perform different optimizations

## Visualizing the LLVM Compiler System



•••

LLVM IR and Go

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## Implementing a Compiler

- A compiler is one of the most intricate software systems
  - ► General-purpose compilers often involve more than a hundred thousand LoC
- Very practical demonstration of the integration of theory and engineering

Idea	Implementation
Finite and push-down automata	Lexical and syntax analysis
Greedy algorithms	Register allocation
Fixed-point algorithms	Dataflow analysis

• Other practical issues such as ensuring concurrency, managing synchronization, and optimizing for the memory hierarchy and target processor complicate the implementation

## Implementation Choices

Monolithic Design

• Potentially more efficient but is less flexible

## **Multipass Design**

- Less complex and easier to debug compiler bugs
- Can suffer from higher compilation times

# Phases in a Compiler

## **Compiler Toolchain**



# Different Compilation Stages with gcc

#### Preprocess, do not compile: gcc -E <file>.c -o <file>.i

Invoke the C preprocessor directly: cpp <file>.c -o <file>.i

#### Compile, do not assemble: gcc -S <file>.i -o <file>.s

Invoke the compiler directly: cc -S <file>.i -o <file>.s

#### Compile to relocatable object file, do not link: gcc -c <file>.s -o <file>.o

Invoke the assembler directly: as <file.s> -o <file.o>

#### Link object file(s) to create executable: gcc <file1>.o <file2>.o -o <file>

Invoke the linker directly: ld <file1>.o <file2.o> -o <file>

Save intermediate files during compilation: gcc -save-temps <file>.c -o <file>

See commands invoked: gcc -v <file>.c -o <file>.o

# Translation in a Compiler

## Direct translation from a high-level language to machine code is difficult

- Mismatch in the abstraction level between source code and machine code
  - ► Abstract data types and variables vs memory locations and registers
  - Control flow constructs vs jump and returns
- Some languages are farther from machine code than others (e.g., object-oriented languages)

# Translate in small steps, where each step handles a reasonably simple, logical, and well-defined task

- Design a series of IRs to encode information across steps
  - IR should be amenable to program manipulation of various kinds (e.g., type checking, optimization, and code generation)
- IR becomes more machine-specific and less language-specific as translation proceeds

## **Different Phases in a Compiler**



## Front End

- The first step in translation is to compare the input program structure with the language definition
- Requires a formal definition of the language, in the form of **regular expressions** and **context-free grammar**
- Two separate passes in the front end, often called the **scanner** and the **parser**, determine whether the input code is a valid program defined by the grammar

## Lexical Analysis

Reads characters in the source program and groups them into a stream of **tokens** (or words)

• Tokens represent a syntactic category (e.g., keywords) and can be augmented with the lexical value

#### Example: position = initial + rate \* 60

- Tokens are ID, "=", ID, "+", ID, "\*", and CONSTANT
- Character sequence forming a token is called a **lexeme** (e.g., position and initial)

#### Challenge is to identify word separators

- The language must define rules for breaking a sentence into a sequence of tokens
  - ► Normally, white spaces and punctuations are token separators in languages
  - In programming languages, a character from a different class may also be treated as a token separator

# Challenges with Natural Languages

## Meaning of words in natural languages is often context-sensitive

- An English word can be a noun or a verb (e.g., "stress")
- "are" is a verb, "art" is a noun, but "arz" is undefined

#### Interpretation of words or phrases evolves

"awful" meant worthy of awe and "bachelor" meant an young knight

## Allows ambiguous interpretations

- "I saw someone on the hill with a telescope." or "I went to the bank."
- "Buffalo buffalo Buffalo buffalo buffalo Buffalo Buffalo." is grammatically correct<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>Buffalo buffalo Buffalo buffalo buffalo Buffalo buffalo

# **Rigor in Interpretation of Programs**

Programming languages have well-defined structures and interpretations and disallow ambiguity

- System software and application programs require structured input
  - ► Command line interface in Operating Systems, query language processing in Databases, and typesetting systems like \areaterned{ETEX}

## Grammars are rigorously specified to provide meaning

- Words in a programming language are always lexically specified
- Any string in (1...9) (0...9)\* is always a positive integer

## Syntax Analysis

Once tokens are formed, the next logical step is to understand the **structure of the sentence** via syntax analysis (or parsing)

• Syntax analysis imposes a hierarchical structure on the token stream

position = initial + rate \* 60



## Semantic Analysis

Once a sentence is constructed, semantic analysis interprets the meaning of the sentence

• Very challenging task for a compiler

X saw someone on the hill with a telescope.

JJ said JJ left JJ's assignment at home.

- Programming languages define very strict rules to avoid ambiguities (e.g., scope of variable JJ)
- Compilers perform other checks like type checking and matching formal and actual arguments of functions

position = initial + "rate" \* 60

## Intermediate Representation

- Once all checks pass, the front end generates an IR form of the code
- IR is a program for an abstract machine

 $id_1 = id_2 + id_3 * 60$ 

$t_1 = inttofloat(60)$	
$t_2 = id_3 * t_1$	
$t_3 = t_2 + id_2$	
$id_1 = t_3$	

# **Code Optimization**

- Attempts to improve the IR code according to some metric
  - ► Reduce the execution time, code size, or resource usage
- "Optimizing" compilers spend a significant amount of compilation time in this phase
- Most optimizations consist of an analysis and a transformation
  - ► Analysis determines where the compiler can safely and profitably apply the technique
    - Data flow analysis tries to statically trace the flow of values at run time
    - Dependence analysis tries to estimate the possible values of array subscript expressions
- Example optimizations: Common sub-expression elimination, dead code elimination, loop invariant code motion, and constant folding

```
t_1 = inttofloat(60)

t_2 = id_3 * t_1

t_3 = t_2 + id_2

id_1 = t_3
```

$$t_1 = id_3 * 60.0$$
  
 $id_1 = t_1 + id_2$ 

# Challenges with Code Optimization

- The same strategy may not work for all applications
  - Choice and order of optimizations
  - Parameters that control decisions and transformations
- Compiler may need to adapt its strategies to fit specific programs
- Active research on "autotuning" and "adaptive" runtimes
  - ► Compiler writer cannot predict a single answer for all possible programs
  - ► Use learning, models, or search to find good strategies

## **Code Generation**

- Back end traverses the IR and emits code for the target machine
- The first stage is **instruction selection** 
  - ► Translates IR operations into target machine instructions
  - ► Can take advantage of the feature set of the target machine
  - Assumes an infinite number of registers via virtual registers
- **Register allocation** decides which values should occupy the limited set of architectural registers
- Instruction scheduling reorders instructions to maximize utilization of hardware resources and minimize cycles

 $t_1 = id_3 * 60.0$  $id_1 = t_1 + id_2$ 

MOVSS	id <sub>3</sub> , %XMM2 # load 32 bits
MULSS	\$60, %XMM2 # floating point
MOVSS	id <sub>2</sub> , %XMM1
ADDSS	%XMM2, %XMM1
MOVSS	%XMM1, id <sub>1</sub>

# Importance of Instruction Scheduling

Assume that MOV (i.e., memory access) takes 3 cycles, MUL takes 2 cycles, and ADD takes 1 cycle.

#### Naïve

MOVL	$off_1(addr_1)$ ,	%R1
ADDL	%R1, %R1	
MOVL	off <sub>2</sub> (addr <sub>2</sub> ),	%R2
MULL	%R2, %R1	
MOVL	$off_3(addr_3)$ ,	%R3
MULL	%R3, %R1	
MOVL	%R1, off1(ad	dr <sub>1</sub> )

#### Improved

MOVL	off <sub>1</sub> (addr <sub>1</sub> ), %R1
MOVL	off <sub>2</sub> (addr <sub>2</sub> ), %R2
MOVL	$off_3(addr_3)$ , %R3
ADDL	%R1, %R1
MULL	%R2, %R1
MULL	%R3, %R1
MOVL	%R1, off <sub>1</sub> (addr <sub>1</sub> )

## References

- A. Aho et al. Compilers: Principles, Techniques, and Tools. Chapter 1, 2<sup>nd</sup> edition, Pearson Education.
- 🦫 K. Cooper and L. Torczon. Engineering a Compiler. Chapter 1, 2<sup>nd</sup> edition, Morgan Kaufmann.