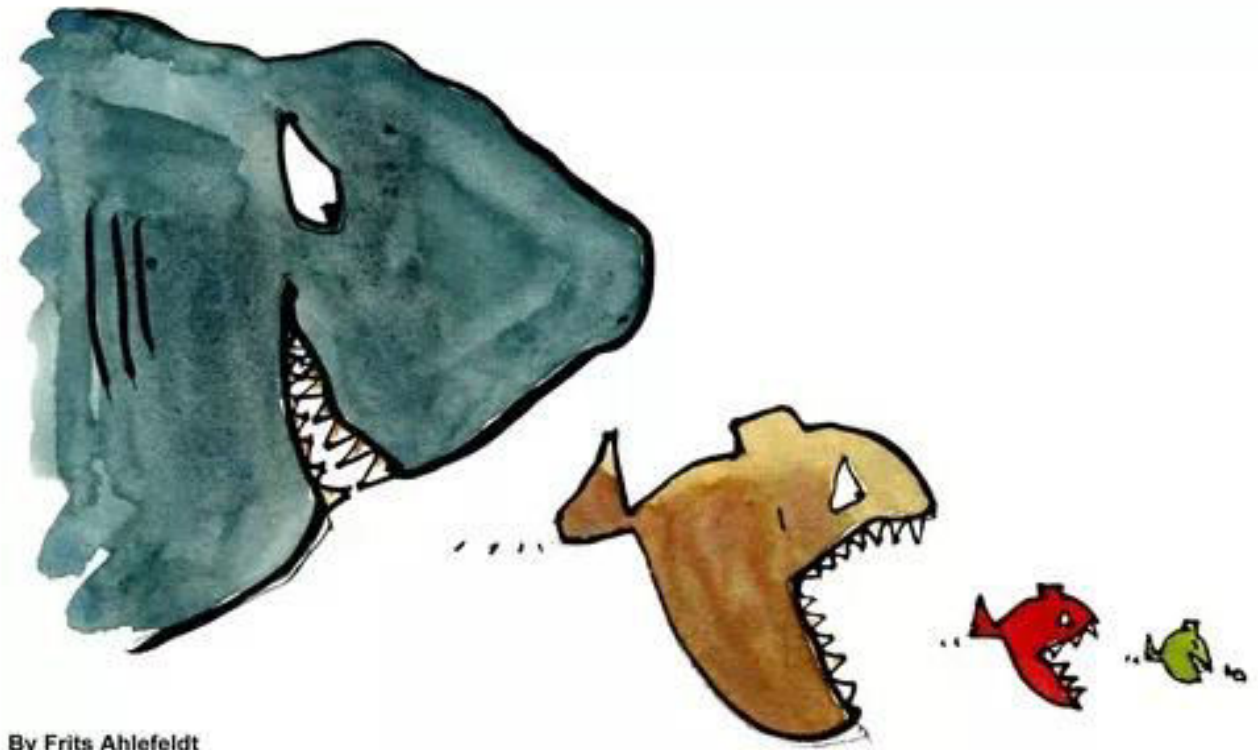


Surprisal and Hallucinations in Garden Path Sentences

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Ambiguous Sentences



By Frits Ahlefeldt

Fish fish fish eat eat eat is a valid sentence.
So is *Fish fish fish fish fish eat eat eat eat eat*!

Garden–Path Sentences – What are they?

- ▶ Sentences that lead the human sentence processor (HSP) to construct an **initial syntactic structure**, which turns out to be **incorrect**, and thus requires syntactic (and semantic) **reanalysis**.

Examples –

- ▶ “Time flies like an arrow, fruit flies like a banana”
 - ▶ “The old man the boat”

Previous Work – Theories of Sentence Processing

- ▶ **Incremental Sentence Processing Theory** : Hypotheses about syntactic structures and semantic roles are made as soon as each word is encountered.
- ▶ This theory states that **Input Recognition** and **Syntactic Analysis** are distinct.

Input Recognition

Syntactic Analysis

Prediction of upcoming input

```
graph LR; A[Input Recognition] --> B[Syntactic Analysis]; B -- "Prediction of upcoming input" --> A;
```

The diagram illustrates a feedback loop between 'Input Recognition' and 'Syntactic Analysis'. A horizontal line connects the two boxes, with an upward-pointing arrow on the left side. The text 'Prediction of upcoming input' is written below the line, indicating that syntactic analysis informs input recognition.

Newer Theories: Statistical Framework

- ▶ Computing the probability of a sentence using PCFG rules

$$P(\text{Sentence}) = \prod (\text{Probability of all rules used to generate it})$$

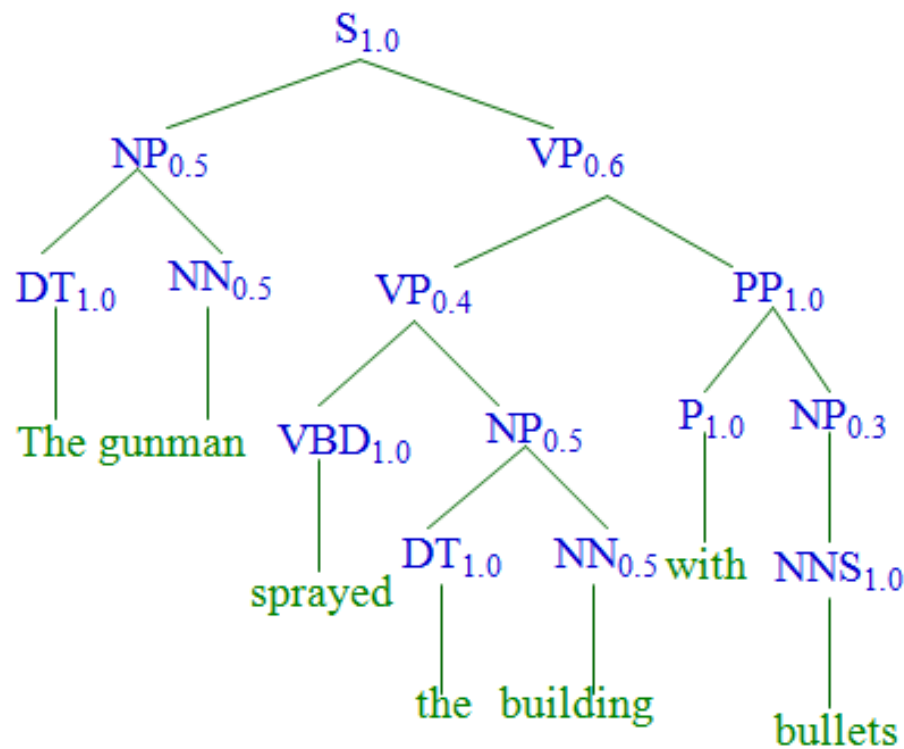
TOP	→ S .	1.000000
S	→ INVERTED NP	0.003257
S	→ SBAR S	0.012289
S	→ SBAR , S	0.041753
S	→ NP VP	0.942701
INVERTED	→ PP VBD	1.000000
SBAR	→ INSBAR S	1.000000
VP	→ VBD RB	0.002149
VP	→ VBD PP	0.202024
VP	→ VBD NP	0.393660
VP	→ VBD PP PP	0.028029
VP	→ VBD RP	0.005731
VP	→ VBD	0.222441
VP	→ VBD JJ	0.145966
PP	→ IN NP	1.000000

Example of PCFG Probabilities taken from the parsed Brown corpus.

Example

- ▶ “The gunman sprayed the building with bullets”

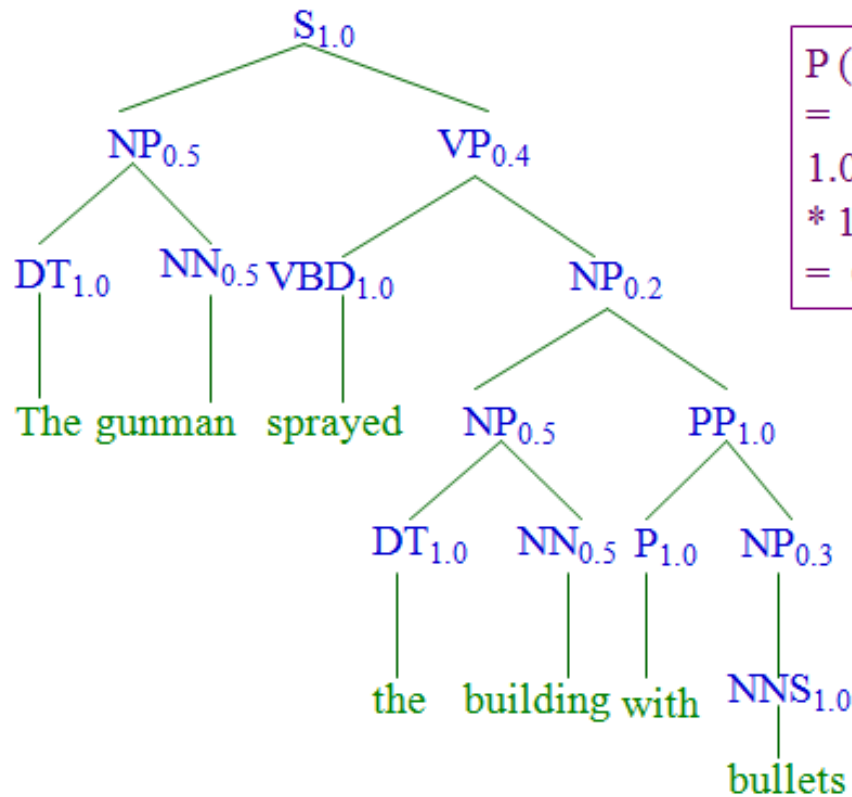
One way of parsing



$$\begin{aligned} P(t_1) &= 1.0 * 0.5 * 1.0 * 0.5 * 0.6 * \\ &0.4 * 1.0 * 0.5 * 1.0 * 0.5 * 1.0 \\ &* 1.0 * 0.3 * 1.0 \\ &= 0.00225 \end{aligned}$$

Example

“The gunman sprayed the building with bullets”
Another way of parsing



$$\begin{aligned} P(t_2) &= 1.0 * 0.5 * 1.0 * 0.5 * 0.4 * \\ &1.0 * 0.2 * 0.5 * 1.0 * 0.5 * 1.0 \\ &* 1.0 * 0.3 * 1.0 \\ &= 0.0015 \end{aligned}$$

Hence total probability of the sentence
 $= 0.0015 + 0.00225 = 0.00375$

Surprisal

- ▶ The Cognitive “effort” required to process a particular word in a sentence can be quantified in terms of an information theoretic measure defined as the “Surprisal”

$$\text{Effort}(w_i) \propto \log \frac{1}{P(w_i|w_{1...i-1}, \text{Ctx})}$$

Example: The horse raced past the barn fell.

$$P(\text{fell}|w_{1...6}) = \sum_j P(\text{fell}|T_j, w_{1...6})P(T_j|w_{1...6})$$

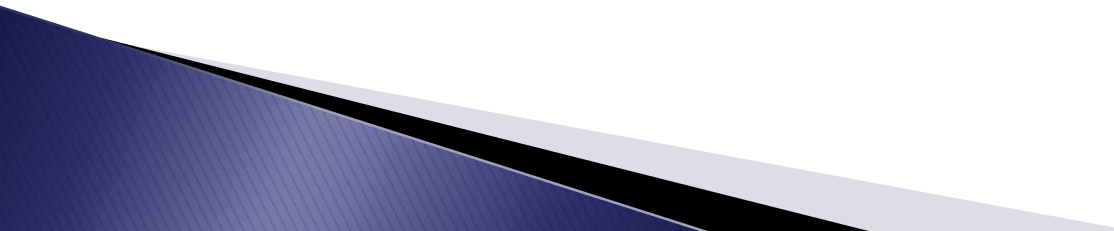
Here T_j represents pre-disambiguation analyses.

Our Hypotheses

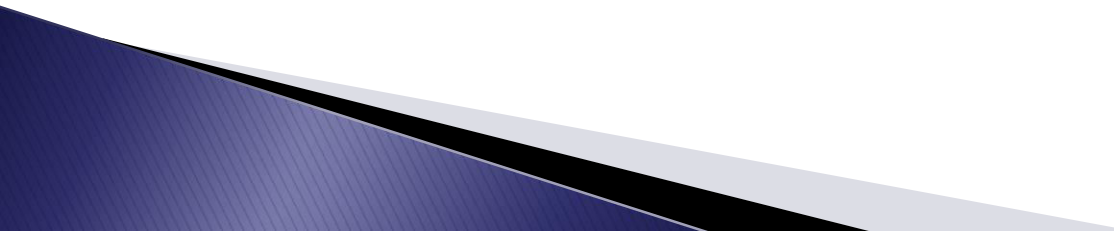
1. Linguistic information is used both proactively and retroactively (This is optimal!) –

“Hallucinations” may occur in some cases and people might process a distorted input that has a high prior probability.
2. The surprisal is linearly related to the reading time.
3. The “Good Enough” theory of sentence processing is the most probable.

Hallucinations

- ▶ “As the soldiers marched, toward the tank lurched an injured enemy combatant”
 - ▶ When asked *Did the soldiers march toward the tank?*, many subjects gave a positive reply
 - ▶ Many also believed that there wasn't a comma in the original sentence.
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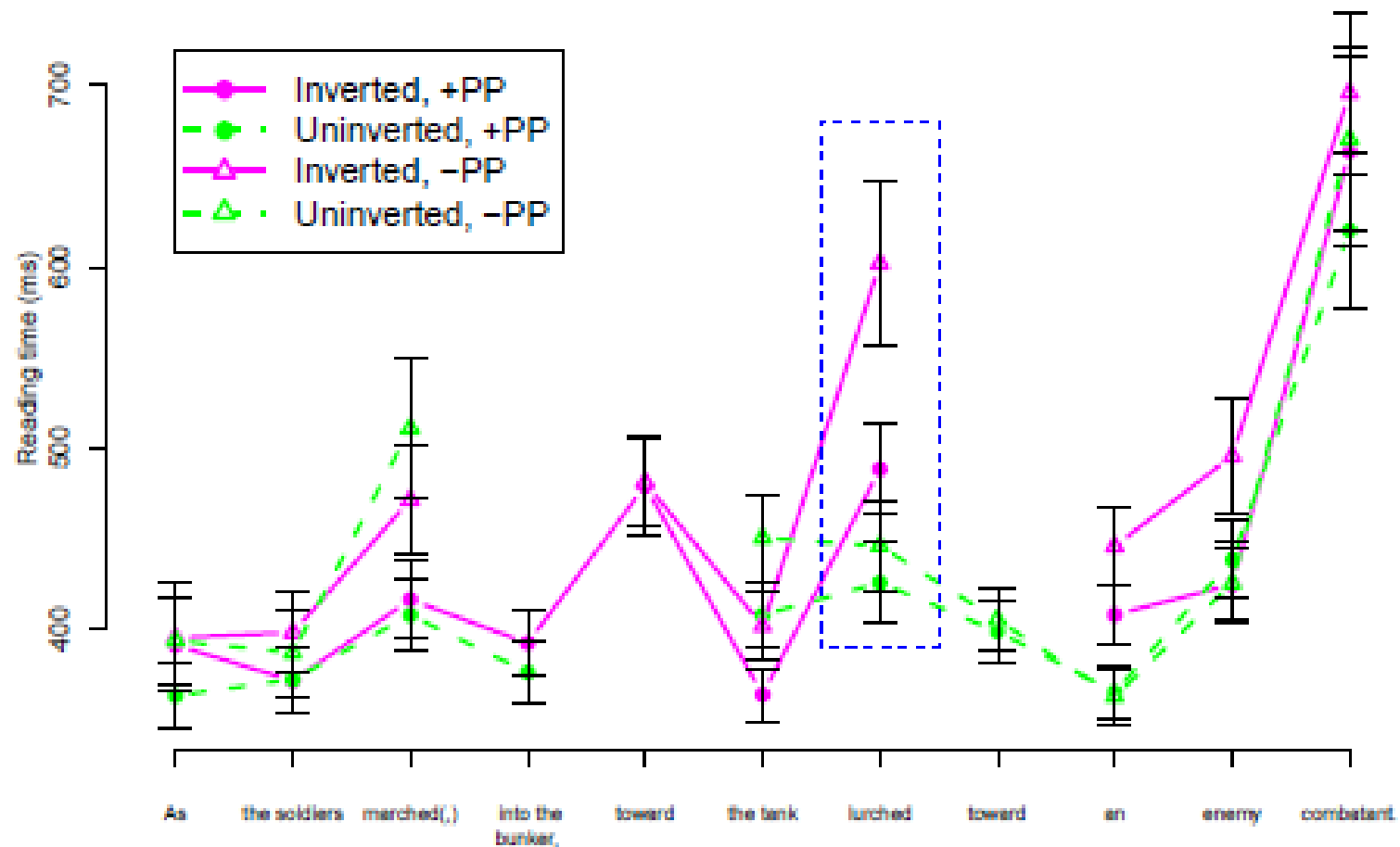
Methodology

- ▶ Self paced reading study.
 - ▶ Participants press a button on a keyboard to reveal the successive word in a sentence.
 - ▶ The times between subsequent button presses are taken as a measure of incremental processing difficulty.
 - ▶ Each sentence is followed by a yes/no question.
 - ▶ Experimental items interspersed with “filler” sentences.
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Gaze Tracking

- By observing where the gaze fixates, we hope to receive further affirmation of our hypothesis.
- “Lingering misinterpretations” are also expected.

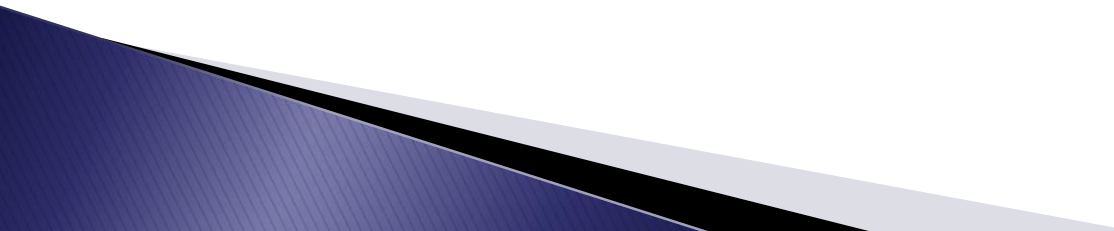
What we expect



Ambiguous Sentences in other Languages

- ▶ এসেছি যা ফেলে তার চিহ্ন তবু ছাড়ে না অভ্যাস
সমস্ত চিত্তকারণে শুনে পাই স্তব্ধতার শ্বাস।
- ▶ কাদাম্বিনী মরিয়া প্রমাণ করিল যে সে মরে নাই।

Possible future work

- ▶ Do Language 2 speakers parse Language 2 the same way as Language 1 speakers do?
 - ▶ Misinterpretations can give us an idea about the mental representations of structures which can help in pedagogical techniques.
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Thank you!
Questions?