

Theorem  
 $\mathbb{N} \times \mathbb{N}$  is countable.

$$\mathbb{N} \times \mathbb{N} = \{ (p, q) \mid p, q \in \mathbb{N} \}$$

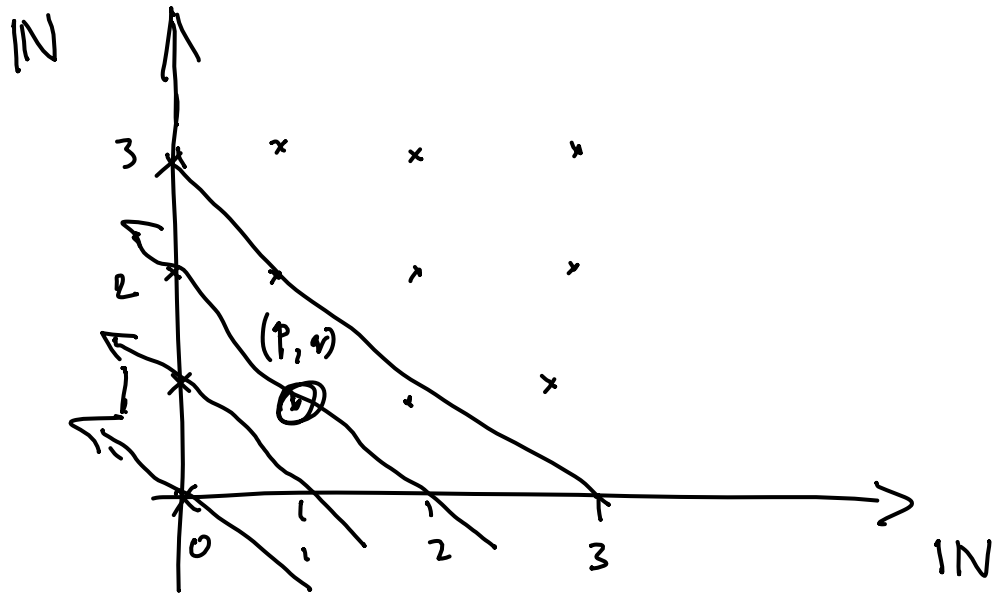
(Lemma.  
A countably infinite union of finite sets is countably infinite.)

Proof of Theorem

We need a function

$$f: (\mathbb{N} \times \mathbb{N}) \xrightarrow{1-1} \mathbb{N}$$

Define  $f(p, q)$  by



$$\underbrace{\left[ \sum_{j=0}^{(p+q-1)} (j+1) \right]}_{\text{number of points on previous diagonals}} + \underbrace{(q+1)}_{\substack{\text{index of } (p,q) \\ \text{on the diagonal} \\ \text{containing } (p,q)}} = \left[ \sum_{j=1}^{p+q} j \right] + (q+1).$$

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It can be shown that  $f$  is 1 to 1. <sup>see</sup> (notes). □

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The technique is known as dovetailing.

Corollary

for any fixed positive integer  $k$ ,  $\mathbb{N}^k$  is countable.

Corollary

$\mathbb{N}^* = \bigcup_{k=0}^{\infty} \mathbb{N}^k$  is countable

[The set of all finite length tuples of natural numbers.]

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$\mathbb{N}^{\infty} =$  (later)

Corollary

$\mathbb{Q}$  is countable [Cantor]

Proof

Let  $p/q$  be a rational in lowest form (i.e.,  $\gcd(p, q) = 1$ ). Then define  $f(p/q) = (p, q)$ . This a 1-1 mapping from  $\mathbb{Q}$  to  $\mathbb{N} \times \mathbb{N}$ . Since  $\mathbb{N} \times \mathbb{N}$  is countable, so is  $\mathbb{Q}$ .

## Theorem [Cantor]

$\mathbb{R}$  is uncountable.

The proof technique is called diagonalization.

Proof Idea It is sufficient to show that  $(0,1)$  is uncountable.

Let  $f: \mathbb{N} \xrightarrow{\text{onto}} (0,1)$  be a candidate enumeration of  $\mathbb{R}$ .

$$\frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots = \frac{\frac{1}{4}}{1 - \frac{1}{2}} = \frac{\frac{1}{4}}{\frac{1}{2}} = \frac{1}{2} = (0.10\dots)_2$$

$$(0.0111111\dots)_2 = (0.1000\dots)_2$$

In decimal,

$$(0.999\dots)_{10} = (1.000\dots)_{10}$$

$$\begin{aligned} \frac{9}{10} + \frac{9}{100} + \frac{9}{1000} + \dots &= \frac{\frac{9}{10}}{1 - \frac{1}{10}} \\ &= \frac{9/10}{9/10} = 1. \end{aligned}$$

Let  $f: \mathbb{N} \rightarrow \{0,1\}^{\mathbb{N}}$  be a candidate enumeration.

| $f$ |                                                 |                                                 |                                                 |                                                 |   |   |   |   |   |
|-----|-------------------------------------------------|-------------------------------------------------|-------------------------------------------------|-------------------------------------------------|---|---|---|---|---|
| 0   | <span style="border: 1px solid black;">0</span> | 1                                               | 0                                               | 1                                               | 0 | . | - | - | - |
| 1   | 1                                               | <span style="border: 1px solid black;">0</span> | 1                                               | 0                                               | 1 | . | - | - | - |
| 2   | .                                               |                                                 |                                                 |                                                 |   |   |   |   |   |
| 3   | 1                                               | 1                                               | <span style="border: 1px solid black;">1</span> | 0                                               | 1 | . | - | - | - |
| 4   | 1                                               | 1                                               | 1                                               | <span style="border: 1px solid black;">1</span> | 1 | . | - | - | - |
| .   |                                                 |                                                 |                                                 |                                                 |   |   |   |   |   |
| .   |                                                 |                                                 |                                                 |                                                 |   |   |   |   |   |

Consider

0.1100...

There is no preimage under  $f$ .

# Theorem [Cantor]

For any set  $A$ , there is no  $f: A \xrightarrow[\text{onto}]{} \mathcal{P}(A)$