

CS396A UGP-I

Report

**Understanding and explaining the ideas used
by Laszlo Babai in his quasipolynomial time
algorithm for solving graph isomorphism**

**Bachelor of Technology
in
Computer Science and Engineering**

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Spring Semester 2016

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Chapter 1

Problem Definition

Given two graphs $X_1 = (V_1, E_1)$ and $X_2 = (V_2, E_2)$, the graph isomorphism (GI) problem is the decision problem whether $X_1 \cong X_2$. Here, an isomorphism is a bijection from V_1 to V_2 that preserves adjacency i.e. :
 $\forall i, j$ s.t. $v_i, v_j \in V_1 : (v_i, v_j) \in E_1 \Leftrightarrow (f(v_i), f(v_j)) \in E_2$. Such a bijection f is an isomorphism. Here, it is safe to assume that $V_1 = V_2$ and thus the two graphs are on the same vertex set. Hence, we would be looking at bijections from the set $V_1 = V_2 = V$ to itself.

Chapter 2

Group Theoretic Framework

By definition, every bijection from a set to itself is also a permutation of the members of that set. The set of all bijections from any set A to itself forms a group under the composition operation. This group is called the symmetry group and falls under the general class of groups called permutation groups. This realisation opened the doors for solving GI using group theory.

2.1 Basics for the framework

2.1.1 Permutation Group

Consider a set Ω , the permutation domain. This will be the set over which we will be permuting. Then, $\text{Sym}(\Omega)$, the set of all permutations over Ω is called the symmetric group. Any subgroup $G \subseteq \Omega$ is called a permutation group.

2.1.2 Setting up GI in this framework

Embedding of the action on vertices to the action on edges

As mentioned earlier, it is safe to assume that the two graphs are on the same vertex set V . Let V be the permutation space and let $\text{Sym}(V)$ be the group acting on V . In order to define GI in the group theoretic framework, we need to understand how this action embeds itself over another permutation domain, namely, the domain of edges. If we consider the set E_1 of edges to be our permutation domain, the symmetric group $\text{Sym}(E_1)$ is of considerably larger size than $\text{Sym}(V)$. Now, consider $\sigma \in \text{Sym}(V)$. The action of σ is defined over the domain V . We extend the action of σ over the domain E_1 in the following manner:

$$e(\sigma(v_i), \sigma(v_j)) = \tau e(v_i, v_j)$$

Now, it is trivial to show that any such τ is indeed a permutation. Due to this one to one map, we would only need to consider a small portion of $\text{Sym}(E_1)$, which is precisely the embedding of $\text{Sym}(V)$ on $\text{Sym}(E_1)$. For simplicity, we will denote embedding of the action of any $\sigma \in \text{Sym}(V)$ as $\sigma e(v_i, v_j)$

Redefining GI

Let $X_1 = (V, E_1)$ and $X_2 = (V, E_2)$ be two graphs over the same vertex set V . The GI problem, in the group theoretic framework, is to determine whether $\exists \sigma \in \text{Sym}(V)$ s.t. $X_2 = (V, \sigma(E_1))$.

2.1.3 The giants

This terminology is specific to Babai's Algorithm and can be explained using what we have already discussed. For a given permutation domain Ω , the groups $\text{Sym}(\Omega)$ and $\text{Alt}(\Omega)$ are called giants. The alternating group $\text{Alt}(\Omega)$ is set of all even permutations of the members of the domain i.e. $\sigma \in \text{Alt}(\Omega)$ can be obtained by using even number of transpositions. The distinction whether the group whose action is being considered at any point during the algorithm, is a giant or not will be crucial to the timing of the algorithm.

Chapter 3

Trivalent Case

To proceed further, we would need to understand an important framework upon which Babai's Algorithm is based. This framework is the one developed by Eugene Luks in this paper [1]. Valence, here, can be used interchangeably with degree. This framework essentially takes forward the group theoretic framework we discussed in the previous chapter.

To understand and appreciate Luks's framework, we begin by trying to solve the problem for graphs having degree 3. We would later extend these arguments to degree n .

3.1 Reducing GI to Graph Automorphism

3.1.1 Automorphisms

An automorphism of a graph $X = (V, E)$ is an isomorphism to itself. It is denoted by $Aut(X)$. In other words, it is a permutation of the vertex set V that preserves the structure of the graph by mapping edges to edges and non-edges to non-edges.

$$Aut(X) \subseteq Sym(V)$$

3.1.2 The Graph Automorphism problem

Given a graph $X = (V, E)$. The Graph Automorphism problem requires us to determine a set of generators for $Aut(X)$. $\forall \sigma \in Aut(X)$, $X = (V, \sigma(E))$ where the action of σ on the set of edges is as defined previously. The following is a polynomial time reduction of GI to graph automorphism problem.

3.1.3 The reduction

Consider two graphs $X_1 = (V_1, E_1)$ and $X_2 = (V_2, E_2)$. We need to determine whether they are isomorphic. We proceed in the following manner. We take the disjoint union of the two graphs and construct another graph $X = X_1 \cup X_2$. We have $X = (V_1 \cup V_2, E_1 \cup E_2)$. We solve the automorphism problem on this graph X . Let us try to characterize the members of $Aut(X)$. Any member to $Aut(X_1)$ can be extended to $Aut(X)$ by letting it permute the elements of V_1 and having identity map on the elements of V_2 . Similarly, we can extend any member of $Aut(X_2)$. An important observation here is that if $X_1 \cong X_2$, then $\exists \sigma \in Aut(X)$ which maps V_1 to V_2 and still preserves the structure of the disjoint graph. The converse is also true. Upon solving for automorphism, we pick every $\sigma \in Aut(X)$'s generator set, and check whether it maps V_1 to V_2 , upon success, we say "YES" to GI otherwise "NO". Reduction complete.

3.2 Reducing the Trivalent case

3.2.1 Problem Statement

Consider two $X_1 = (V_1, E_1)$ and $X_2 = (V_2, E_2)$, each of degree 3. We are required to determine whether $X_1 \cong X_2$. One way to proceed is to reduce using the above methodology. But, here we are dealing with a special case of degree 3 and hence there is room for some exploitation.

3.2.2 A clever reduction

We observe that, if $X_1 \cong X_2$, then any given $e_1 \in E_1$ must be mapped to some $e_2 \in E_2$. Using this observation, we fix an edge $e_1 \in E_1$ and pick an edge $e_2 \in E_2$. We take one point on each of these edges (v_1 on e_1 and v_2 on e_2) and join the two points, call this new edge e . Let X be the resultant graph. Note that X will also be trivalent. We define $Aut_e(X) \subseteq Aut(X)$ as the subgroup consisting of all those $\sigma \in Aut(X)$ that fix the edge e . This group, as will see prove later turns out to be a 2-group for the trivalent case. Now, if there is indeed an isomorphism from X_1 to X_2 , that maps e_1 to e_2 , then $\exists \sigma \in Aut_e(X)$ that maps v_1 to v_2 and vice versa. Therefore, we solve for $Aut_e(X)$. We pick every $\sigma \in Aut(X)$'s generator set, and check whether it maps v_1 to v_2 . If yes, we say "YES" to GI and terminate. If no, we pick another $e_2 \in E_2$ and repeat the process. If we exhaust all edges in E_2 , we say "NO" to GI. Reduction complete.

3.3 Solving for $Aut_e(X)$

Consider a trivalent graph X , and an edge e on the graph. The subgroup $Aut_e(X)$ is determined iteratively using the following scheme. X_r is a subgraph of X consisting of all vertices and all edges of X which appear in paths of length $\leq r$ through e . Therefore, X_1 will simply be the edge e and X_{n-1} will be X itself. Notice that X_r will be embedded inside X_{r+1} , by the very definition. Also note that, we may not have to go until X_{n-1} to get the graph X . We now focus on $Aut_e(X_r)$'s. The groups are related via the following homomorphism:

$$\pi_r : Aut_e(X_{r+1}) \rightarrow Aut_e(X_r)$$

3.3.1 Understanding π_r

Consider $\sigma \in Aut_e(X_{r+1})$. The permutation domain here is the vertex set of $Aut_e(X_{r+1})$. Now, let us focus on the embedding of X_r inside X_{r+1} . Any $\sigma \in Aut_e(X_{r+1})$ would fix the edge and also preserve the structure around it as it is an automorphism. Therefore, all the vertices belonging to this embedding of X_r will have to be mapped among themselves by $\sigma \in Aut_e(X_{r+1})$. Also, the vertices outside this embedding would have to be mapped among themselves to preserve the structure. So, if we restrict the domain of $\sigma \in Aut_e(X_{r+1})$ to $V(X_r)$, we know that resulting permutation would stay in that domain. This restriction is precisely what $\pi_r(\sigma)$ is.

3.3.2 $Ker(\pi_r)$ and $Img(\pi_r)$

Let K be the set of generators for $Ker(\pi_r)$. And I be set of pre-image for the set of generators of $Img(\pi_r)$. Then, it is a trivial exercise to show that $K \cup I$ generates $Aut_e(X_{r+1})$. Note that, $Aut_e(X_1)$ is the trivial subgroup would be the starting point of our iteration. Thus, $Img(\pi_1)$ would be a 2-group. This observation would come in handy as will realize that subsequent $Img(\pi_r)$'s would be 2-groups.

3.3.3 A scheme for characterizing $Ker(\pi_r)$

From the above description of restriction, the action of any $\sigma \in Ker(\pi_r)$ on the embedded structure X_r would be the identity permutation. We focus our attention back to X_{r+1} . Consider $V' = V(X_{r+1}) \setminus V(X_r)$. Any vertex $v \in V'$ would be connected to either 1, 2 or 3 vertices in $V(X_r)$. Not more than 3 because of trivalency. To codify this relationship, we define set A as the set of all subsets of $V(X_r)$ of size 1, 2 or 3. We declare a function called

the father function f as follows:

$$f : V' \rightarrow A$$

The function takes as input a member of V' and returns who are its fathers from the set $V(X_r)$. Note that, there can't be more than two vertices with the same set of fathers to preserve trivalency. Such vertices, if any, will be called twins. Take any $\sigma \in \text{Aut}(X_{r+1})$, and see it's action on $v \in V'$. As σ is an automorphism, $\sigma(v)$ should be a member of V' such that the structure is preserved. Thus, the following is implied:

$$f\sigma(v) = \sigma(f(v))$$

But, if $\sigma \in \text{Ker}(\pi_r)$, then $\sigma(f(v)) = f(v)$ as $f(v) \in V(X_r)$. Therefore, for $\sigma \in \text{Ker}(\pi_r)$:

$$f(\sigma(v)) = f(v)$$

This means that either, $\sigma(v) = v$ or v and $\sigma(v)$ are twins. Doing this, we have completely characterized the action of every $\sigma \in \text{Ker}(\pi_r)$ over the permutation domain $V(X_{r+1})$. For the embedded structure $V(X_r)$, the action is simply identity and for $V' = V(X_{r+1}) \setminus V(X_r)$, the action is to simply interchange the twins. Consider the group generated by the transpositions mapping a twin to its brother. This group is precisely the $\text{Ker}(\pi_r)$, evident from the discussions mentioned above. It is easy to show that this group will be elementary abelian 2-group.

Chapter 4

Future Work

4.1 To be done in the coming semester

1. Solving the case for graphs of general bounded valence
2. Extending Luks's ideas to Babai's Algorithm
3. Understanding Babai's algorithm in totality

4.2 Proposed improvement in the report

1. Supply the short and interesting proofs
2. Add figures for easier understanding
3. Include time complexity analysis
4. Include complete characterization of $\text{Img}(\pi_r)$

References

- [1] Isomorphism of graphs of bounded valence can be tested in polynomial time <http://www.sciencedirect.com/science/article/pii/S0022000082900095>