

# A Largish Sum-of-Squares Implies Circuit Hardness and Derandomization

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tMeet @CSE, IIT Madras (Online)

1. Introduction: Sum-of-squares (SOS)
2. Basic Algebraic Complexity
3. SOS-hardness and VP vs. VNP
4. Sum-of-cubes (SOC) model and Blackbox-PIT
5. Conclusion

## **Introduction: Sum-of-squares (SOS)**

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Trivially,  $S_{\mathbb{F}}(f) \leq 2 \cdot (|f|_0 + 1)$ , for any  $f \in \mathbb{F}[\mathbf{x}]$ .

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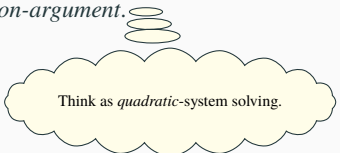
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    - Assume,  $\mathbb{F} = \mathbb{C}$ .



Think as *quadratic*-system solving.



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- **Overall Goal (informally):** Show that solving Open Problem implies  $VP \neq VNP$  (and  $PIT \in SUBEXP$ ).





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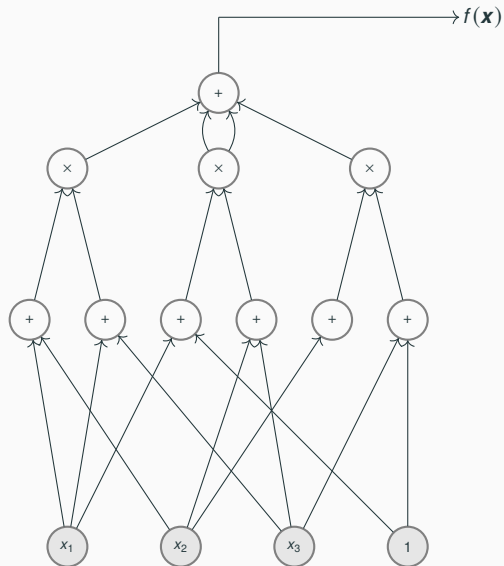


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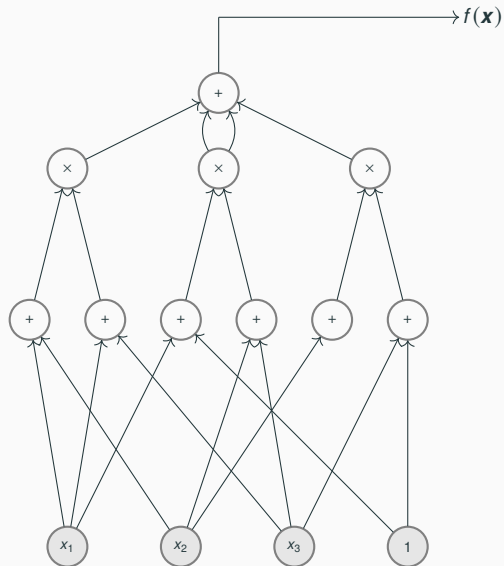
## **Basic Algebraic Complexity**

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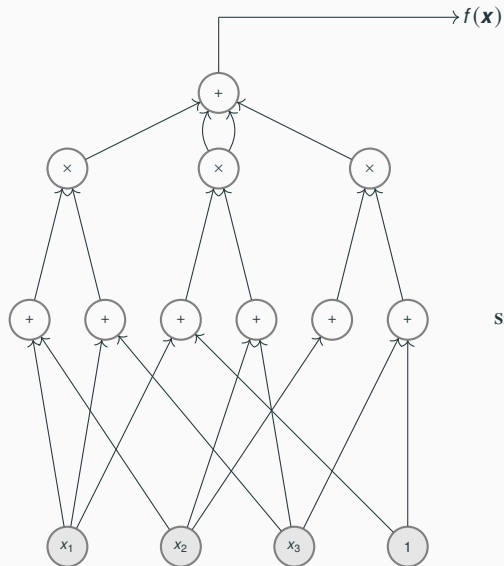
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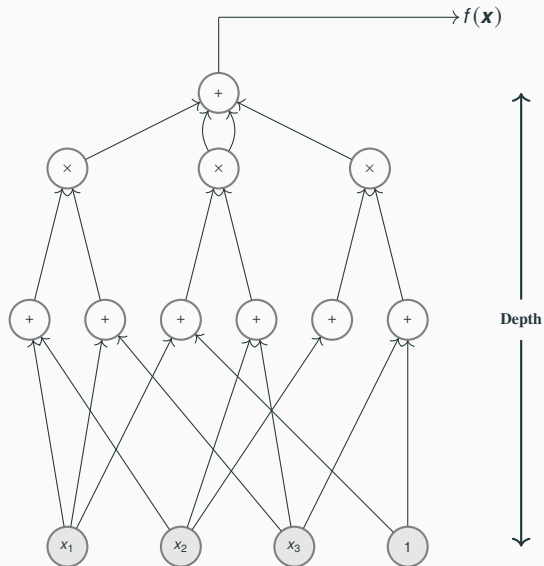


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**Size** = number of nodes + edges

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# Polynomial Identity Testing

**Polynomial Identity Testing (PIT):** Given a circuit  $C$ , test whether  $C \equiv 0$  (deterministically).

- *Blackbox-PIT* asks for an algorithm to test the zeroness of a given algebraic circuit via mere *query access*.

## Polynomial Identity Lemma (Ore, Demillo-Lipton, Schwartz, Zippel)

If  $P(\mathbf{x})$  is a nonzero polynomial of degree  $d$ , and  $S \subseteq \mathbb{F}$  is finite, then

$$\text{Prob}_{\mathbf{a} \in S^n} [P(\mathbf{a}) = 0] \leq d/|S|.$$

- The above lemma puts  $\text{PIT} \in \text{RP}$ .

## Hardness-to-randomness (Kabanets-Impagliazzo'04)

$\text{VP} \neq \text{VNP} \implies \text{PIT} \in \text{SUBEXP}$ .

- $\text{VNP}$  is exponentially harder than  $\text{VP} \implies \text{PIT} \in \text{QP}$ .

- Efficient PIT  $\stackrel{?}{\implies}$   $\text{VP} \neq \text{VNP}$ .

Explicitness is important.

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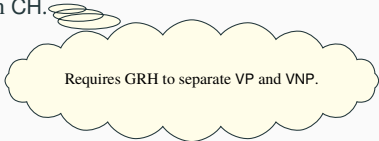


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Requires GRH to separate VP and VNP.



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$(x + 1)^d$  has  $\text{poly}(\log d)$ -size circuit.

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- ❑ [Raz'08] Super-poly-elusive functions eluding degree-2 maps (generic *multivariate*).



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- Restrict the degrees of  $f_i$  to be  $d \cdot o(\log d)$  and the top-fanin  $s = d^{o(1)}$ .
- A stronger SOS-hardness notion with *constant*  $\varepsilon$ , gives an *exponential* separation between  $VP$  and  $VNP$ . This proof has many technical differences.

## **SOS-hardness and VP vs. VNP**

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Can we *improve*  $s'$  to  $\text{poly}(sd)$ ?



**Algebraic branching programs (ABP).** An ABP is a directed acyclic graph with a *starting vertex*  $s$  with in-degree zero, an *end vertex*  $t$  with out-degree zero. The edge labels are  $a_1x_1 + \dots + a_nx_n + c \in \mathbb{F}[\mathbf{x}]$ , where  $a_i, c \in \mathbb{F}$ .

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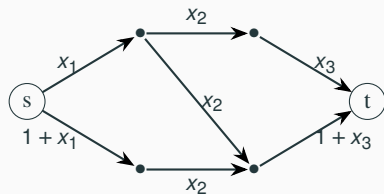
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This ABP computes

$$x_1x_2x_3 + x_1x_2(1+x_3) + (1+x_1)x_2(1+x_3)$$

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- Write each product  $f_i \cdot f'_i = 1/4 \cdot (f_i + f'_i)^2 - 1/4 \cdot (f_i - f'_i)^2$ , which finally gives the desired decomposition.

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Recall Theorem 1: If an explicit  $f_d(x)$  is SOS-hard i.e.  $S_{\mathbb{F}}(f_d) \geq d^{1/2+\varepsilon}$  for  $\varepsilon = \omega(\sqrt{\log \log d / \log d})$ , then  $VP \neq VNP$ .

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- Thus,  $S_{\mathbb{F}}(f_d) \leq d^{o(\varepsilon)+1/2+\varepsilon/2} = o(d^{1/2+\varepsilon})$ , a contradiction!

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## **Sum-of-cubes (SOC) model and Blackbox-PIT**

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➤ Denote the *minimal size* by **support-union**  $U_{\mathbb{F}}(f, s)$ .

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- SOC is a *complete* model for  $\text{char}(\mathbb{F}) \neq 2, 3$  because for any  $f(\mathbf{x})$ :

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- ❑ Proof by contradiction and use *useful SOC Decomposition*: Any polynomial  $f$  of degree  $d$  of circuit-size  $s$  can be written as  $f = \sum_{i=1}^{\text{poly}(s,d)} c_i Q_i^3$ , where  $\deg(Q_i) \leq 4d/11$ .  $[1/3 < 4/11 < 1/e]$

## Theorem 2: SOC-hardness to PIT

### Theorem 2 (Efficient derandomization)

If there is an SOC-hard polynomial family, then blackbox-PIT  $\in \mathsf{P}$ .

**Proof Idea.** Assume  $f_d$  is SOC-hard for some  $\varepsilon'$ .

- ❑ Convert it to  $k = O(1)$ -variate, ideg- $n$ ,  $\text{poly}(n^k)$ -time-explicit polynomial  $P_{n,k}$ , using inverse-Kronecker map on  $f_d$  i.e.  $P_{n,k}(x, x^{n+1}, \dots, x^{(n+1)^{k-1}}) = f_d$ .
- ❑ Prove that  $(P_{n,k})_n$  is a constant-variate circuit-hard family i.e.  $\text{size}(P_{n,k}) = n^{\Omega(1)}$ . Then, use [Guo-Kumar-Saptharishi-Solomon'19] directly to conclude that PIT  $\in \mathsf{P}$ .
- ❑ Proof by contradiction and use *useful SOC Decomposition*: Any polynomial  $f$  of degree  $d$  of circuit-size  $s$  can be written as  $f = \sum_{i=1}^{\text{poly}(s,d)} c_i Q_i^3$ , where  $\deg(Q_i) \leq 4d/11$ . [ $1/3 < 4/11 < 1/e$ ]
- ❑ A binomial counting argument shows that small size of  $P_{n,k}$  implies  $U_{\mathbb{F}}(f_d, d^{\varepsilon'}) = o(d)$ , a contradiction!



## **Conclusion**

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