

# HOW TO MAKE ALGEBRAIC COMPUTATIONS GRH FREE?

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# INTRODUCTION

## POLYNOMIAL FACTORING

The Problem

GRH Connection

Finite Algebra Questions

## STANDARD ALGEBRAIC TERMS

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OUR RESULTS: NONCOMMUTATIVE ALGEBRAS

PROOF OF THE MAIN RESULT

# Part I

## INTRODUCTION

# OUTLINE

## POLYNOMIAL FACTORING

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## STANDARD ALGEBRAIC TERMS

## POLYNOMIAL FACTORING OVER FINITE FIELDS

- Given a polynomial  $f(x) \in \mathbb{F}_q[x]$  we want a nontrivial factor.
- It is not only a fundamental problem but also has practical applications: coding theory, integer factoring algorithms, cryptography, computer algebra, ...
- Berlekamp (1967) showed that the problem reduces in deterministic polynomial time to the problem of: *factoring a degree  $n$  polynomial with  $n$  distinct roots in a prime field  $\mathbb{F}_p$ .*

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## POLYNOMIAL FACTORING METHODS

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- Factoring is very well studied: (Legendre 1700s), (Berlekamp 1967), (Moenck 1977), (Rabin 1980), (Cantor, Zassenhaus 1981), (Camion 1983), (Huang 1985), (Schoof 1985), (von zur Gathen 1987), (Mignotte, Schnorr 1988), (Evdokimov 1989, 1994), (von zur Gathen, Shoup 1992), (Kaltofen, Shoup 1995), (Cheng, Huang 2000), (Bach, von zur Gathen, Lenstra 2001), (Gao 2001), (Stein 2001), (van de Woestijne 2005), (Kedlaya, Umans 2008), (Ivanyos, Karpinski, Saxena 2009), (Zrątek 2010),.....
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## REMINDER: RANDOMIZED FACTORING

- The simplest (and practical) algorithm was already suggested by Legendre (1752-1833).
- Given  $f(x)$  of degree  $n$  having that many roots in  $\mathbb{F}_p$ .
- Choose a *random*  $a \in \mathbb{F}_p$ .
- Compute  $g(x) := \gcd(f(x+a), x^{\frac{p-1}{2}} - 1)$ .
- With more than 50% chance  $g(x)$  is a nontrivial factor!
- Key fact:  $(x^{\frac{p-1}{2}} - 1)$  'collects' the *squares mod  $p$* , and is easy to compute (mod  $f(x)$ ).



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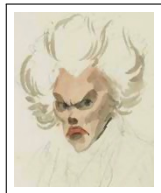
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The Problem

**GRH Connection**

Finite Algebra Questions

## STANDARD ALGEBRAIC TERMS

# RIEMANN HYPOTHESIS & POLYNOMIAL FACTORING

## GENERALIZED RIEMANN HYPOTHESIS (GRH)

For any Dirichlet character  $\chi$  and a complex root  $s$  of the Dirichlet  $L$ -function  $L(\chi, s) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$ : if  $\text{Re}(s) \in [0, 1]$  then  $\text{Re}(s) = \frac{1}{2}$ .

- Generalized Riemann Hypothesis (GRH) has been useful in understanding the deterministic complexity of polynomial factoring, albeit only in special cases.
- Most prominently, a degree  $n$  polynomial  $f(x)$  can be nontrivially factored in deterministic  $\text{poly}(\log p, n^{\log n})$  time by GRH (Evdokimov 1994).
- From such results we eliminate GRH (with a caveat!).

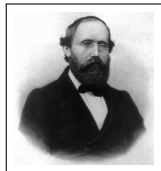


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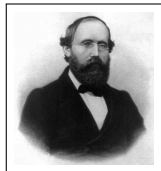


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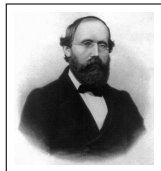


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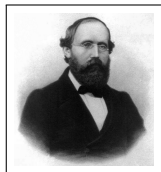


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## FINITE ALGEBRA OVER A FINITE FIELD

- Polynomial factoring applies to structural questions in finite algebras.
- Friedl & Rónyai (1985) showed that finding **zero divisors** in finite algebras over finite fields reduces to polynomial factoring.
- Thus, under GRH, they gave a  $\text{poly}(\log p, n^{\log n})$  time deterministic algorithm for finding zero divisors.
- Our methods, in noncommutative algebras, make this algorithm **completely** GRH free.

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## HOW TO MAKE OUR WORLD GRH FREE?

- Assuming GRH, there is a poly-time algorithm to compute  $\sqrt[r]{a} \pmod{\rho}$  (Huang 1985).
- Any algorithm that assumes GRH, invokes the above routine to compute  $r$ -th roots in an algebra  $\mathcal{A}$ .
- What if instead of computing the  $r$ -th root explicitly, we use an **implicit** root?
- I.e., we simply go to the extension algebra  $\mathcal{A}[\zeta_r][\sqrt[r]{a}]$ , explicitly  $\mathcal{A}[X, Y]/(\sum_{i=0}^{r-1} X^i, Y^r - a)$ .
- We make this idea work by developing a **Galois theory** for algebras.



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## REMINDER: GALOIS THEORY

- Galois (1811-1832) studied *fields* by *groups*.
- For a field extension  $K \subset L$  consider the group  $G_L$  of *automorphisms* of  $L$  that *fix*  $K$  elementwise.
- Essentially,  $[L : K] = |G_L|$ .
- Essentially, there is a 1-1 *correspondence* between the subfields of  $L$  and subgroups of  $G_L$ .
- The first triumph of Galois theory: *quintic polynomials cannot be solved by radicals*.
- 'Positive' side-effect: for special polynomials the theory gives a systematic way to express roots using radicals!



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## MODULE TERMS

- Let  $R$  be a ring. A **left  $R$ -module**  $M$  consists of an abelian group  $(M, +)$  and a *scalar multiplication*  $R \times M \rightarrow M$  satisfying natural conditions.
- Just  *$R$ -module* when scalar multiplication commutes.
- **Free  $R$ -module**  $M$  if there is a **free basis**  $B \subset M$  s.t. every element in  $M$  has a *unique* representation as  $\sum_{b \in B} r_b b$  ( $r_b \in R$ ).
- **Rank**  $\text{rk}_R M$  is *the* size of a free basis.
- Example: a vector space is a free module of rank equal to its dimension.

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# INPUT/OUTPUT REPRESENTATION

- We only consider finite algebras over finite fields.
- An algebra  $\mathcal{A}$  over a finite field  $\mathbb{F}$  is given in **basis form**.
- **Basis elements**  $b_1, \dots, b_n \in \mathcal{A}$  are given together with the relations  $b_i \cdot b_j = \sum_{\ell=1}^n \alpha_{i,j,\ell} b_\ell$  ( $\alpha$ -s in  $\mathbb{F}$ ).
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## Part II

# COMMUTATIVE

# OUTLINE

## OUR RESULTS: COMMUTATIVE ALGEBRAS

### NEW CONCEPTS / TOOLS

Semiregularity

Lagrange Resolvent

Kummer Extension

### A WARMUP APPLICATION

### PROOF OF THE MAIN RESULT

## EITHER FACTOR OR FIND AN AUTOMORPHISM

- Input: A polynomial  $f(x)$ , over a finite field  $\mathbb{F}$ , of degree  $n$ .
- Output: *Either* we find a nontrivial factor of  $f(x)$  *or* a nontrivial automorphism  $\sigma$ , of  $\mathcal{A} = \mathbb{F}[x]/(f(x))$ , of order  $n$ .
- Complexity: Deterministic  $\text{poly}(\log |\mathbb{F}|, n^{\log n})$  time.
- In a sense we do find all the roots of  $f(X)$ . But they live in  $\mathcal{A}$ , namely,  $x, \sigma(x), \dots, \sigma^{n-1}(x) \in \mathcal{A}$ .
- Such a  $\sigma$  is easy to find in  $\mathbb{F}[x]/(x^2 - a)$ , eg.  $x \mapsto -x$  works. But in other cases is a very nontrivial question.

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- Input: Given a commutative semisimple algebra  $\mathcal{A}$ , over a finite field  $\mathbb{F}$ .
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- Complexity: Deterministic quasipolynomial time.

## APPLICATION TO CYCLOTOMIC POLYNOMIALS

- Our methods can be used to actually factor certain polynomials.
- Let  $\Phi_m(x)$  be the  $m$ -th cyclotomic polynomial.
- Examples:  $\Phi_1(x) = (x - 1)$ ,  $\Phi_2(x) = (x^2 - 1)/\Phi_1(x)$ ,  
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## OTHER APPLICATIONS

- Our methods also “eliminate” GRH from other known results.

### USING GALOIS GROUP

Let  $f(x)$  have a *Galois group* over  $\mathbb{Q}$  of size  $m$ . Then we can either factor  $f(x) \pmod{p}$  or find an automorphism of  $\mathbb{F}_p[x]/(f(x))$  of order  $\deg f$ , in  $\text{poly}(m, \log p)$  time.

### USING SPECIAL FIELDS

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# OUTLINE

OUR RESULTS: COMMUTATIVE ALGEBRAS

NEW CONCEPTS / TOOLS

**Semiregularity**

Lagrange Resolvent

Kummer Extension

A WARMUP APPLICATION

PROOF OF THE MAIN RESULT

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- Let  $G$  be a subgroup of  $\text{Aut}_{\mathbb{F}}(\mathcal{A})$ . We denote by  $\mathcal{A}_G$  the elements of  $\mathcal{A}$  fixed by  $G$ .
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## REMINDER: CLASSICAL LAGRANGE RESOLVENT

- As we know: *a cubic polynomial is solvable by radicals.*
- Lagrange (1736-1813) gave an elegant formula by reducing cubic to a *quadratic*.
- Say  $\alpha, \beta, \gamma$  are roots of a cubic  $f(x)$  (in  $\mathbb{C}$ ).
- Say  $\omega$  is a primitive 3-rd root of unity.
- Lagrange considered the combinations:  
 $r_1 := (\alpha + \omega\beta + \omega^2\gamma)$  and  $r_2 := (\alpha + \omega^2\beta + \omega\gamma)$ .
- These are **Lagrange resolvents**.
- Note:  $\sigma(r_1) = \omega r_1$  where  $\sigma$  permutes the roots.

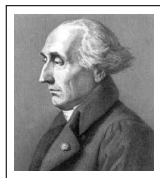


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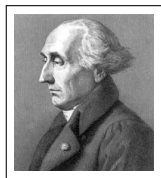


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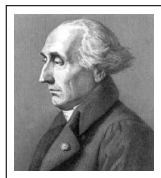


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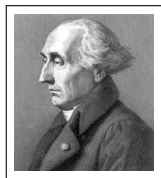


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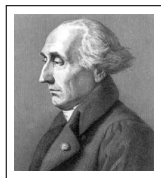


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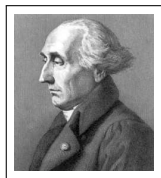


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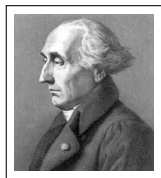


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- Let  $\sigma$  be of prime order  $r$  and  $\zeta$  be a primitive  $r$ -th root of unity in  $\mathcal{A}_{\sigma}$ .
- We call a nonzero element  $x \in \mathcal{A}$  **Lagrange resolvent**, if  $\sigma(x) = \zeta x$ .
- **Theorem:** Given  $\mathcal{A}$ ,  $\sigma$  and  $\zeta$ , we can efficiently compute a Lagrange resolvent.
- *Proof idea:* We pick a  $y \in \mathcal{A} \setminus \mathcal{A}_{\sigma}$ . Consider  $(y, \zeta^j) := \sum_{i=0}^{r-1} \zeta^{ij} \sigma^i(y)$ .
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- Let  $\sigma$  be of prime order  $r$  and  $\zeta$  be a primitive  $r$ -th root of unity in  $\mathcal{A}_{\sigma}$ .
- We call a nonzero element  $x \in \mathcal{A}$  **Lagrange resolvent**, if  $\sigma(x) = \zeta x$ .
- **Theorem:** Given  $\mathcal{A}$ ,  $\sigma$  and  $\zeta$ , we can efficiently compute a Lagrange resolvent.
- *Proof idea:* We pick a  $y \in \mathcal{A} \setminus \mathcal{A}_{\sigma}$ . Consider  $(y, \zeta^j) := \sum_{i=0}^{r-1} \zeta^{ij} \sigma^i(y)$ .
- One of these  $(y, \zeta^j)$  gives the Lagrange resolvent!

# OUTLINE

OUR RESULTS: COMMUTATIVE ALGEBRAS

NEW CONCEPTS / TOOLS

Semiregularity

Lagrange Resolvent

**Kummer Extension**

A WARMUP APPLICATION

PROOF OF THE MAIN RESULT

## REMINDER: CLASSICAL KUMMER EXTENSION

- Kummer (1810-1893) developed them while studying *Fermat's last "theorem"*.
- A field extension  $K \subset L$  is called **Kummer extension** if :
  - $K$  has an  $r$ -th primitive root of unity, and
  - $G_L$  is *abelian* of size  $r$ .
  - For example,  $K[\zeta_r][\sqrt[r]{c}]$  over  $K$  (where  $c \in K[\zeta_r]$  but  $\sqrt[r]{c} \notin K[\zeta_r]$ ).



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## CYCLOTOMIC EXTENSION

- Let  $\mathcal{A}$  be a commutative semisimple  $\mathbb{F}$ -algebra and  $r$  be a prime.
- The  $r$ -th cyclotomic extension is simply  $\mathcal{A}[X]/(\sum_{i=0}^{r-1} X^i)$ , denoted by  $\mathcal{A}[\zeta_r]$ .
- $\mathcal{A}[\zeta_r]$  is also a semisimple  $\mathbb{F}$ -algebra. If  $\mathcal{A} \cong \mathcal{A}_1 \oplus \mathcal{A}_2$  then  $\mathcal{A}[\zeta_r] \cong \mathcal{A}_1[\zeta_r] \oplus \mathcal{A}_2[\zeta_r]$ .
- For  $a \in \mathbb{Z}_r^*$  the map  $\rho_a : \zeta_r \mapsto \zeta_r^a$  is an automorphism of  $\mathcal{A}[\zeta_r]$ .
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- First group: Consider the subgroup  $\mathcal{A}[\zeta_r]_r^*$  of units, in  $\mathcal{A}[\zeta_r]$ , whose order are powers of  $r$ . (*r-Sylow*)
- Its automorphism: Consider the map  $\omega_a : x \mapsto x^{a^{r^u}}$  where  $\text{ord}(x) = r^u$ .
- Second group:  

$$T_{\mathcal{A},r} := \{x \in \mathcal{A}[\zeta_r]_r^* \mid \rho_a(x) = \omega_a(x) \text{ for every } \rho_a \in \Delta_r\}.$$
- This generalizes the classical *Teichmüller subgroup*. It is a subgroup on which  $\Delta_r$ -action is “well behaved”.
- Note: Since  $\rho_a(\zeta_r) = \zeta_r^a = \zeta_r^{a^r} = \omega_a(\zeta_r)$ , thus  $\zeta_r \in T_{\mathcal{A},r}$ .
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- Given a commutative semisimple  $\mathbb{F}$ -algebra  $\mathcal{A}$  and a semiregular automorphism  $\sigma$  of *prime* order  $r$ .
- We could consider  $\sigma$  as also an automorphism of  $\mathcal{A}[\zeta_r]$  by fixing  $\zeta_r$ .
- We can efficiently find an  $x \in T_{\mathcal{A},r}$  which is a Lagrange resolvent i.e.  $\sigma(x) = \zeta_r x$ . As before and a trick!
- Clearly  $\sigma(x^r) = x^r$ , which means that  $c := x^r \in T_{\mathcal{A}_\sigma, r}$ .
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NEW CONCEPTS / TOOLS

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A WARMUP APPLICATION

PROOF OF THE MAIN RESULT



## FACTORING CERTAIN CYCLOTOMIC POLYNOMIALS

- Input: Let  $\Phi_m(X) \in \mathbb{F}[X]$  be a cyclotomic polynomial with  $\mathbb{Z}_m^*$  being noncyclic.
- Consider  $\mathcal{A} = \mathbb{F}[X]/(\Phi_m(X))$ . It is a semisimple  $\mathbb{F}$ -algebra.
- For  $i \in \mathbb{Z}_m^*$ , the map  $X \mapsto X^i$  gives an  $\mathbb{F}$ -automorphism of  $\mathcal{A}$ .
- Such maps form a group  $G$  of automorphisms.  $G \cong \mathbb{Z}_m^*$  and hence noncyclic.
- For prime  $r \mid \#G$ , let  $P_r$  be the  $r$ -Sylow subgroup of  $G$  i.e. elements of  $G$  of  $r$ -power order.
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## RECALL THE RESULT

- Given  $\mathcal{A}_0 = \mathbb{F}[X]/(f(X))$ . We want to either find a zero divisor of  $\mathcal{A}_0$  or an automorphism of order  $\deg f$ .
- We will actually solve a more general problem: given commutative semisimple finite algebras  $\mathcal{B} \leq \mathcal{A}$ , we compute either a zero divisor in  $\mathcal{B}$  or a semiregular  $\mathcal{B}$ -automorphism of  $\mathcal{A}$ .
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## THE ALGORITHM $\mathcal{F}(\mathcal{A}, \mathcal{B})$

- Now we sketch the recursive algorithm  $\mathcal{F}$  for inputs  $\mathcal{A}, \mathcal{B}$ .
- Check whether  $\mathcal{A}$  is a free  $\mathcal{B}$ -module. If not then we have a zero divisor in  $\mathcal{B}$ .
- **Case I:**  $m := \dim_{\mathcal{B}} \mathcal{A}$  is even.
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- We intend to bring  $\sigma$  down to  $\mathcal{A}$ . Important:  $\dim_{\mathcal{A}} \mathcal{A}'$  is odd while  $\sigma$  is semiregular of order 2.
- Compute a Lagrange resolvent  $x \in T_{\mathcal{A}', 2}$  i.e.  $\sigma(x) = \zeta_2 x$ .
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## TIME COMPLEXITY OF $\mathcal{F}(\mathcal{A}, \mathcal{B})$

- In any recursive call  $\mathcal{F}(\mathcal{C}, \mathcal{D})$  with  $d := \dim_{\mathcal{D}} \mathcal{C}$  odd, we recurse to a bigger algebra  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$ .  $\dim_{\mathcal{D}} \mathcal{C}$  does not increase but  $\dim_{\mathcal{B}} \mathcal{C}$  increases  $d$  times.
- Whenever we find a zero divisor of  $\mathcal{C}$  we decompose it and always recurse to the smallest component. Thus, halving the  $\dim_{\mathcal{D}} \mathcal{C}$ .
- As we start with dimension  $m = \dim_{\mathcal{B}} \mathcal{A}$ , we are always in algebras of dimension at most  $m^{O(\log m)}$  above  $\mathcal{B}$ .
- Overall the deterministic algorithm takes  $\text{poly}(m^{\log m}, \log |\mathcal{B}|)$  time.

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- Whenever we find a zero divisor of  $\mathcal{C}$  we decompose it and always recurse to the smallest component. Thus, halving the  $\dim_{\mathcal{D}} \mathcal{C}$ .
- As we start with dimension  $m = \dim_{\mathcal{B}} \mathcal{A}$ , we are always in algebras of dimension at most  $m^{O(\log m)}$  above  $\mathcal{B}$ .
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## Part III

# NONCOMMUTATIVE

# OUTLINE

OUR RESULTS: NONCOMMUTATIVE ALGEBRAS

PROOF OF THE MAIN RESULT

## FIND A ZERO DIVISOR

- Input: A noncommutative algebra  $\mathcal{A}$  of dimension  $n$  over a finite field  $\mathbb{F}$ .
- Output: A zero divisor  $z$  in  $\mathcal{A}$ . I.e. for some nonzero  $y, y' \in \mathcal{A}$ ,  $yz = zy' = 0$ .
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## FIND ISOMORPHISM WITH FULL MATRIX ALGEBRA

- Let  $K$  be a finite field and  $M_n(K)$  be the **full matrix algebra**  $K^{n \times n}$ .
- Input: An  $\mathbb{F}$ -algebra  $\mathcal{A}$  that is isomorphic to  $M_n(K)$ , for some  $K \supset \mathbb{F}$ .
- Output: Construct an *explicit* isomorphism  $\mathcal{A} \cong M_n(K)$ .
- Complexity: In deterministic  $\text{poly}(n^{\log n}, \log |K|)$  time.
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# OUTLINE

OUR RESULTS: NONCOMMUTATIVE ALGEBRAS

PROOF OF THE MAIN RESULT

## PREPROCESSING

- Let  $\mathcal{A}$  be the finite noncommutative  $\mathbb{F}$ -algebra whose zero divisor we need to find.
- We could assume it to be *semisimple*, otherwise there are methods to compute the *radical*.
- By a linear system we compute the *center*  $\mathcal{C}$  of  $\mathcal{A}$ , i.e. elements that commute with  $\mathcal{A}$ .
- Let  $\mathcal{C}_1, \dots, \mathcal{C}_r$  be simple components of  $\mathcal{C}$ . (We do not compute them.)
- Now structurally,  $\mathcal{A} \cong \bigoplus_{i=1}^r M_{n_i}(\mathcal{C}_i)$ . (*Artin-Wedderburn*)
- If  $n_i$ -s are not the same then  $\mathcal{A}$  is *not* a free  $\mathcal{C}$ -module. Thus we compute a zero divisor.
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- So we are given an  $\mathbb{F}$ -algebra  $\mathcal{A}$  isomorphic to  $M_n(\mathcal{C})$ .
- *We intend to compute an automorphism of a commutative subalgebra and use it to construct a zero divisor in  $\mathcal{A}$ .*
- **Theorem** (Skolem-Noether): Let  $\sigma$  be a  $\mathcal{C}$ -automorphism of a commutative semisimple  $\mathcal{B} \leq M_n(\mathcal{C})$ . Then  $\exists y \in M_n(\mathcal{C})$  s.t.  $\forall x \in \mathcal{B}, \sigma(x) = y^{-1}xy$ .
- Bottomline: Automorphism gives a **conjugation**.

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- Then it can be shown that  $(X^r - 1)$  is the minimal polynomial of  $y$  over  $\mathbb{F}$ .
- Consequently,  $(y - 1)$  and  $(y^{r-1} + \dots + y + 1)$  are both zero divisors in  $M_n(\mathbb{C}) \cong \mathcal{A}$ .
- This observation suggests us a plan: Find a commutative semisimple  $\mathcal{B} \leq \mathcal{A}$  and
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## CONCLUSION

- We developed a computational version of *Galois theory* for finite semisimple algebras.
- This gave us GRH free ways to compute *semiregular automorphisms* in the commutative case.
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- In some cases we *factor polynomials* too!
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