

## Applications - Error-reduction using Expanders

- Recall that a problem  $L \in \text{BPP}$  with an algorithm  $M(x)$  of error  $\leq 1/3$  (using  $r$  random bits) can also be solved in error  $\leq 2^{-k}$ .

The naive way of repeating  $M(x)$   $k$  times requires  $rk$  random bits. Can this be improved?

- We will show that expander walk reduces the random bits to  $r + O(k)$ !

Idea:

- Suppose we have a  $(2^r, d, 1/10)$ -expander  $G$  for a constant  $d$ , where the neighbours of any vertex are listable in  $\text{poly}(r)$  time.
- Choose a vertex  $v_0 \in V(G)$  at random & do a random-walk for  $k$  steps; going to vertices  $v_1, v_2, \dots, v_k$ .
- Use these vertex labels as random bits

to run  $M(x)$   $(k+1)$ -times.

Clearly, we needed  $\leq r + kld$  random bits. We will show that the probability of the majority-vote being wrong is  $< 2^{-k}$ .

- First, we bound the probability of the walk being confined to bad vertices.

Theorem (Ajtai, Komlós, Szemerédi, 1987): Let  $G$  be an  $(n, d, \lambda)$ -expander &  $B \subseteq V(G)$ ,  $|B| = \beta n$ .  
Then,  $\Pr_{\text{walk in } G} [\forall i \in [0..k], v_i \in B] \leq (\beta + \lambda)^k$ .

Proof:

- Let  $A$  be the normalized adjacency matrix of  $G$ .
- The idea is to express the intersection probability as a matrix product & then analyze using the spectral norm.

- Let  $P = P_B$  be the  $n \times n$  identity matrix with the rows corresponding to  $[n] \setminus B$  set to zero.

$$\Delta \text{Pr}_{\text{walk in } G} [v_i, v_i \in B] = \|(PA)^k \cdot P\bar{1}\|_1.$$

$$\|u\|_1 := \sum_i |u_i|$$

Pf:

- Clearly, the prob. of  $v_0 \in B$  is  $\|P\bar{1}\|_1$ .
- Prob. of being in B after one step is  $\|PA \cdot P\bar{1}\|_1$ .

• This easily generalizes to  $k$  steps □

• Now we will study the spectral norm of  $PAP$ , i.e. the factor by which it shrinks a vector.

Claim:  $\forall \bar{v} \in \mathbb{R}^n, \|PAP\bar{v}\| < (\beta + \lambda) \cdot \|\bar{v}\|.$

Pf:

• We could assume that  $\bar{v}$  is supported on B. (Otherwise, we replace  $\bar{v}$  by

$B \neq \emptyset$

- $P\bar{v}$ . This only changes the RHS but cannot increase it, i.e.  $\|P\bar{v}\| \leq \|\bar{v}\|$ .)
- Similarly, we assume  $\bar{v}$  to be nonnegative &  $\|\bar{v}\|_1 = 1$ .

- Express  $P\bar{v} = \bar{v} = \alpha \cdot \bar{1} + \bar{z}$ , where  $\bar{z} \in \bar{1}^\perp$ .

Since  $\langle n\bar{1}, \bar{v} \rangle = 1$ , we get  
 $1 = \alpha \cdot \langle n\bar{1}, \bar{1} \rangle$ . Thus,  $\bar{v} = \bar{1} + \bar{z}$ .

$$\Rightarrow PAP\bar{v} = PA \cdot \bar{1} + PA \cdot \bar{z} = P \cdot \bar{1} + PA \bar{z}$$
$$\Rightarrow \|PAP\bar{v}\| \leq \|P\bar{1}\| + \|PA\bar{z}\|.$$

- We now bound these by  $\beta \|\bar{v}\|$  resp.  $\lambda \|\bar{v}\|$ , which together prove the claim.

$$\triangleright \|P\bar{1}\| \leq \beta \|\bar{v}\|.$$

Pf:

- By Cauchy-Schwarz we deduce:

$\langle e_B, \bar{v} \rangle \leq \|e_B\| \cdot \|\bar{v}\|$ , where  $e_B$  is zero at  $[n] \setminus B$  & one at  $B$  positions.  
 $\Rightarrow 1 \leq \sqrt{\beta n} \cdot \|\bar{v}\|$

• Also,  $\|P\bar{1}\| = \sqrt{\beta n \cdot \frac{1}{n^2}} = \sqrt{\beta/n}$ .

$\Rightarrow \|P\bar{1}\| = \beta \cdot \frac{1}{\sqrt{\beta n}} \leq \beta \cdot \|\bar{v}\|$ .  $\square$

$\triangleright \|PA\bar{z}\| < \lambda \cdot \|\bar{v}\|$ .

Pf<sub>1</sub>

• Since  $\bar{z} \in \bar{1}^\perp$ , we have  $\|A\bar{z}\| \leq \lambda \cdot \|\bar{z}\|$ .  
 $\Rightarrow \|PA\bar{z}\| \leq \|A\bar{z}\| \leq \lambda \cdot \|\bar{z}\|$ .

• We know that  $\bar{v} = \bar{1} + \bar{z}$  is an orthogonal decomposition.

$$\Rightarrow \|\bar{v}\|^2 = \|\bar{1}\|^2 + \|\bar{z}\|^2$$

$$\Rightarrow \|\bar{z}\| < \|\bar{v}\|$$

$$\Rightarrow \|PA\bar{z}\| < \lambda \|\bar{v}\|$$
.  $\square$

$\square$  (Claim)

- Once we know that the spectral norm of  $PAP$  is at most  $(\beta + \lambda)$ , we can estimate the matrix product:

(Cauchy-Schwarz)

$$\begin{aligned}
 \|(PA)^k P \bar{1}\|_1 &\leq \sqrt{n} \cdot \|(PA)^k \cdot P \bar{1}\| \\
 &= \sqrt{n} \cdot \|(PAP)^k \cdot \bar{1}\| \\
 &< \sqrt{n} \cdot (\beta + \lambda)^k \cdot \|\bar{1}\| \\
 &= (\beta + \lambda)^k. \quad \square \text{ (Thm)}
 \end{aligned}$$

- The above technique is strong enough to estimate the probability of being in  $B$  at specified steps:

Corollary: For  $I \subseteq [0 \dots k]$ ,

$$\Pr_{\text{walk in } G} [ \forall i \in I, v_i \in B ] < (\beta + \lambda)^{|I|-1}.$$

- Say, algorithm  $M(x)$  uses  $r$  random bits and has error  $\leq \beta$ .

- We intend to employ an  $(N=2^k, d, \lambda)$ -expander  $G$  to walk.

Let  $B \subseteq \{0,1\}^r = V(G)$  be the bad vertices for  $M(x)$ ,  $|B| \leq \beta N$ .

Let  $v_0, v_1, \dots, v_k$  be the walk.

$\Rightarrow$  majority-vote of  $\{M(x, v_i) \mid i\}$  is wrong.

iff  $\exists I \subseteq [0 \dots k]$ ,  $|I| \geq \frac{k+1}{2}$  s.t.

$\forall i \in I, v_i \in B$ .

- By the union-bound & the Corollary, the latter event has Prob.  $< 2^k \cdot (\beta + \lambda)^{\frac{k+1}{2}}$ .

- Assuming  $\beta + \lambda \leq 1/8$ , we get the error-prob.  $O(2^{-k/2})$  using only  $(r + k \cdot \log d)$  random bits.