

- Exercise: Show that if Merlin errs then Arthur will detect it with high probability.

- Now we prove some lemmas to be used later.

Lemma (Babai, Fortnow, Nisan, Wigderson 1993):

$$\text{EXP} \subseteq P/\text{poly} \Rightarrow \text{EXP} = \text{MA}.$$

Proof:

- Suppose $\text{EXP} \subseteq P/\text{poly}$.
- We will first show that $\text{EXP} \subseteq \Sigma_2$:
 - Let $L \in \text{EXP}$ & N be its exp-time TM.
 - Since the j -th bit in the i -th configuration of $N(x)$ is computable in EXP ,
 \exists poly-sized circuit $C(x, i, j)$ computing it.
 - Now, $x \in L \Leftrightarrow \exists C, \forall i, \forall j, [C(x, i, j) \rightarrow C(x, i+1, j) \text{ is a valid step of } N]$.

• This just means that $L \in \Sigma_2$.

$$\Rightarrow EXP \subseteq \Sigma_2.$$

$$\Rightarrow EXP = \Sigma_2.$$

• Also, $\Sigma_2 \subseteq Pspace = IP \subseteq EXP$.

$$\Rightarrow Pspace = IP = EXP \subseteq P/poly.$$

• Thus, any $L \in EXP$ has an interactive protocol.

Moreover, Merlin can be seen as a Pspace-machine, hence, can be simulated by a poly-sized circuits family $\{C_n\}_n$.

• This suggest a single-round protocol to convince Arthur that $x \in L$:

(1) Merlin sends a circuit C , claiming to be C_n , $n := |x|$.

(2) Arthur runs the protocol on x , using C instead of Merlin.

$\Rightarrow L \in MA$

$\Rightarrow EXP \subseteq MA \Rightarrow EXP = MA. \quad \square$

- The final lemma is the most advanced.
We will prove it after we have covered pseudo-random generators.

Lemma (Impagliazzo, Kabanets, Wigderson 2001):
 $NEXP \subseteq P/poly \Rightarrow NEXP = EXP.$

- Finally, we can prove the PIT-theorem:
 $PIT \in P \Rightarrow NEXP \not\subseteq P/poly$ OR $per \notin P/poly.$

Proof:

• Suppose $PIT \in P, NEXP \subseteq P/poly.$

$\Rightarrow NEXP = EXP = MA.$

• Also, $MA \subseteq PH \subseteq P^{per}$ [Today's theorem]

$\Rightarrow NEXP \subseteq P^{per}.$

• Assuming $per \in P/poly$ implies $P^{per} \subseteq NP.$

\Rightarrow $NEXP \subseteq NP$,
which contradicts the nondet.-time
hierarchy.

• Thus, either $NEXP \not\subseteq P/poly$ OR
 $per \not\subseteq algP/poly$. \square

(Circuit) Lower bounds

- It is believed that $NP \neq P$.

One way to prove that could be
to show a stronger result: $SAT \not\subseteq P/poly$.

It is a more algebraic question.

- This approach was tried in the 70/80s
& lower bounds for special circuit
models were obtained.

Probabilistic methods were used!

Definition: • $AC^0 := \{L \subseteq \{0,1\}^* \mid \exists \text{ poly}(n)\text{-sized, } O(1)\text{-depth boolean circuits for } L\}$.

• Modular gate $\text{mod}_m : \{0,1\}^n \rightarrow \{0,1\}$;
 $(x_1, \dots, x_n) \mapsto \begin{cases} 1, & \text{if } \sum x_i \not\equiv 0 \pmod{m}, \\ 0, & \text{else.} \end{cases}$

• AC^0 with counters, $ACC^0[m] := \{L \subseteq \{0,1\}^* \mid \exists \text{ poly}(n)\text{-sized, } O(1)\text{-depth boolean circuit family, using } \text{mod}_m \text{ gates, solving } L\}$.

- We would suspect $\text{mod}_2 \notin AC^0$, and in general, $\text{mod}_p \notin ACC^0[q]$ for distinct primes p, q .

Theorem (Razborov '87, Smolensky '87): For primes $p \neq q$, $\text{mod}_p \notin ACC^0[q]$.

Proof:

• Idea - "Approximate" an $ACC^0[q]$ circuit by

a polynomial over \mathbb{F}_q .

- We will exhibit the proof for $p=2, q=3$.

Lemma 1: Let C be a depth- d $\text{Acc}^0[3]$ circuit on n inputs and size- s .

allows us to
make deg \rightarrow
 $\ll n$.

There is a polynomial in $\mathbb{F}_3[\bar{x}]$ of $\text{deg} \leq (2s)^d$ which agrees with $C(\bar{x})$ on $\geq \left(1 - \frac{s}{2^d}\right)$ fraction of the inputs.

Lemma 2: No polynomial in $\mathbb{F}_3[\bar{x}]$ of $\text{deg} \leq \sqrt{n}$ can agree with mod_2 on ≥ 0.99 fraction of the inputs.

\Rightarrow If mod_2 has a size- s $\text{Acc}^0[3]$ circuit then, by Lemma 1 for $l := \frac{1}{2}n^{1/2d}$, \exists polynomial of $\text{deg} \leq \sqrt{n}$ agreeing with mod_2 on $\geq \left(1 - \frac{s}{2^d}\right)$ fraction of the inputs.

Now, by Lemma 2, $1 - \frac{s}{2^d} < 0.99$