

## Det. poly-time primality

- The previous primality tests solve the problem practically.  
They can also be derandomized assuming GRH.
- An unconditional derandomization was given by Agrawal-Kayal-S (Aug 2002).
  - First, generalize the Fermat identity to polynomials:  $(\forall a \in (\mathbb{Z}/n\mathbb{Z})^*)$ 
    - $n$  is prime iff  $(x+a)^n \equiv x^n + a \pmod{n}$ .  
*Pf.:*  $\begin{aligned} \Rightarrow: (x+a)^n &= \sum_{i=0}^n \binom{n}{i} \cdot a^i \cdot x^{n-i} \\ &\equiv x^n + a^n \pmod{n} \\ &\equiv x^n + a \pmod{n} \end{aligned}$
    - $\Leftarrow:$  Suppose  $n$  is composite & prime  $p \mid n$ .
      - Then  $\binom{n}{p} \not\equiv 0 \pmod{n}$ .  $\Rightarrow (x+a)^n \not\equiv x^n + a \pmod{n}$ . (Exercise) □

- The computation  $(x+a)^n \bmod n$  is infeasible, as it involves  $(n+1) > 2^{\ell \cdot n}$  terms!
- But, we could compute  $(x+a)^n \bmod \langle n, Q(x) \rangle$  for low-degree polynomials  $Q(x)$ .

[ By  $f(n) \bmod \langle n, Q(x) \rangle$  we mean to denote the residue of  $f$  in the ring  $(\mathbb{Z}/n\mathbb{Z})[x]/\langle Q(x) \rangle$ . Note that the elements here require only  $(\deg Q) \cdot (\lg n)$  bits to represent. Hence, the arithmetic operations have  $\tilde{O}(\deg Q \cdot \lg n)$  time complexity. ]

- This idea was employed by (Agrawal & Biswas, 1999) to devise a randomized test:  
Test  $(x+1)^n = x^n + 1 \bmod \langle n, Q(x) \rangle$  for a random  $Q(x) \in (\mathbb{Z}/n\mathbb{Z})[x]$  of degree  $\sim \lg n$ .  
If  $n$  passes the test, OUTPUT prime.

- AKS (2002) derandomized it by studying  $(x+a)^n - (x^n + a) \pmod{\langle n, x^r - 1 \rangle}$ .

AKS test: (Input:  $n \in \mathbb{Z}_{>2}$  in binary.)

1) If  $\exists a, b > 1, n = a^b$  then OUTPUT composite.

2) Compute the smallest  $r \in \mathbb{N}, \text{ord}_2(n) > 4 \cdot \lg^2 n$ .

3) If  $\exists a \in [r], 1 < (a, n) < n$  then  
OUTPUT composite.

4) For  $1 \leq a \leq \lceil 2\sqrt{r} \cdot \lg n \rceil =: \ell$ ,  
if  $(x+a)^n \not\equiv x^n + a \pmod{\langle n, x^r - 1 \rangle}$   
then OUTPUT composite.

5) Else OUTPUT prime.

- Firstly, how big is  $r$ ?

- Say,  $\forall r \leq R, \text{ord}_2(n) \leq 4 \cdot \lg^2 n$ . Then,  
 $\forall r \leq R, r | \prod := (n-1)(n^2-1) \dots (n^{[4\lg^2 n]} - 1)$ .

$$\Rightarrow \text{lcm}\{r \mid r \in [R]\} \mid \pi.$$

- We know that  $\begin{cases} \pi \leq n^{16\lg^4 n}, & \& \\ \text{lcm}\{r \mid r \leq R\} \geq 2^R. \end{cases}$

(e.g., see prime number estimates.)

$$\Rightarrow 2^R \leq n^{16\lg^4 n}.$$

$$\Rightarrow R \leq 16 \cdot \lg^5 n.$$

▷ AKS test has time complexity  $\ell \cdot \lg n \cdot \tilde{O}(r \lg n)$   
 $= \tilde{O}(\lg^3 n \cdot \ell^{3/2}) = \tilde{O}(\lg^{10.5} n).$

Lemma 1:  $n$  is prime  $\Rightarrow$  AKS outputs "prime".

Pf:  $\because (x+a)^n \equiv x^n + a \pmod{\langle n, x^2 - 1 \rangle}$ . □

Lemma 2:  $n$  is composite  $\Rightarrow$  AKS outputs "composite".

Proof:

- Ideas: Chinese remaindering on  $\mathbb{Z}/n\mathbb{Z}$  &  $(\mathbb{Z}/p\mathbb{Z})[x]/\langle x^2 - 1 \rangle$ . Interplay of two groups  $\mathcal{I}$  &  $\mathcal{J}$ .

- Suppose for a composite  $n$  all the congruences in Step 4 hold.

Let prime  $p \mid n$ .

- We will consider the size of the two associated groups (multiplicative):

$$(i) \quad \mathcal{G} := \langle n, p \pmod{n} \rangle.$$

Note that  $(x+a)^n \equiv x^n + a \pmod{\langle p, x^2 - 1 \rangle}$   
 $\Rightarrow (x+a)^{n \cdot p^j} \equiv x^{n \cdot p^j} + a \pmod{\langle p, x^2 - 1 \rangle}$

for all  $i, j \in \mathbb{N}$ .

$\Rightarrow \mathcal{G}$  is motivated by the exponents in Step 4.

$$\triangleright t := \#\mathcal{G} \geq \text{ord}_p(n) > 4 \cdot \ell^2 n.$$

Pf: Simply, because  $\mathcal{G}$  has  $\{n, n^2, \dots\} \pmod{n}$ .  $\square$

(ii) Let  $h \mid \frac{x^2-1}{x-1}$  be an irreducible factor over  $\mathbb{F}_p$ .

Define another group

$$\mathcal{J} := \langle x+1, x+2, \dots, x+\ell \pmod{p, h} \rangle.$$

Note that  $(x+a)^n \equiv x^n + a \pmod{\langle p, h(x) \rangle}$ ,  $a \in [e]$ ,

implies that also for  $f(x) := \prod_{a \in [t]} (x+a)^{i_a}$

$$f(x^n) \equiv f(x^n) \pmod{\langle p, h \rangle}.$$

$\Rightarrow J$  is motivated by the base in Step 4.

$$\triangleright \#J \geq 2^{\min(t, t)} > n^{2\sqrt{t}}$$

Pf: • Let  $f, g$  be product of  $\leq t$  many  $(x+a)$ 's.

• If  $f \equiv g \pmod{\langle p, h \rangle}$  then by Step 4:

$$\forall m \in \mathbb{F}, \quad f(x^m) \equiv g(x^m) \pmod{\langle p, h \rangle}$$

$\Rightarrow f(Y) - g(Y)$  has  $\#f = t$  distinct roots in the field  $\mathbb{F}_p[x]/\langle h(x) \rangle$ , though its deg  $< t$ .

$$\Rightarrow f - g = 0.$$

$\Rightarrow \#J \geq \#\{\text{deg} \leq t \text{ polynomials formed by multiplying } x+a \text{'s}\} \geq 2^{\min(t, t)}.$

• Note that  $\min(t, t) \geq \min(2\sqrt{t} \cdot \lg n, t)$   
 $\geq \min(2\sqrt{t} \cdot \lg n, t) > 2\sqrt{t} \cdot \lg n.$   
 $\Rightarrow \#J > n^{2\sqrt{t}}$  □

▷  $J$  is a cyclic group.

- $\because \#J = t, \exists (i, j) \neq (i', j'), 0 \leq i, j, i', j' \leq t$   
st.  $n^i p^j \equiv n^{i'} p^{j'} \pmod{g_2}$ .

$$\Rightarrow \forall f \in J, f(x^{n^i p^j}) \equiv f(x^{n^{i'} p^{j'}}) \pmod{\langle p, h \rangle}$$
$$\Rightarrow (\text{Step 4}) \quad f^{n^i p^j} \equiv f^{n^{i'} p^{j'}} \pmod{\langle p, h \rangle}$$

$$\Rightarrow n^i p^j \equiv n^{i'} p^{j'} \pmod{\#J}$$

- As  $|n^i p^j|, |n^{i'} p^{j'}| \leq n^{2\sqrt{t}} < \#J$ ,

we deduce  $n^i p^j = n^{i'} p^{j'}$

$\Rightarrow n$  is a power of  $p$ , a  $\checkmark$ .

- The contradiction means that  $n$  is prime at Step 5.

□

# RSA (Public-key cryptosystem)

- Cryptology is a major consumer of number theory.
- Primality & integer factoring appear in a cryptosystem by Rivest, Shamir & Adleman (1977).

## Preprocessing:

- 1) Carefully choose prime  $p \neq q$ .
- 2)  $n := p \cdot q$  &  $\phi(n) := (p-1) \cdot (q-1)$ .
- 3) Choose  $1 < e < \phi(n)$  coprime to  $\phi(n)$  &  $n$ .  
 $(n, e)$  is the public key.
- 4)  $d := e^{-1} \bmod \phi(n)$  is the private key.

Encryption:  $m \mapsto m^e \bmod n$ .

Decryption:  $c \mapsto c^d \bmod n$ .

$$\triangleright m \mapsto m^e \mapsto (m^e)^d \equiv m^{1+k \cdot \varphi(n)} \equiv m \pmod{n}.$$

OPEN: Given  $(n, e)$ , is there an efficient way to compute  $e^{-1} \pmod{\varphi(n)}$  (or  $c^{1/e} \pmod{n}$ )?

Exercise:  $\varphi(n)$  & factoring  $n$  are equivalent up to randomized poly-time.

$\triangleright$  Integer factoring cracks RSA.

(RSA problem)  $\triangleright$   $e$ -th root finding (i.e.  $c^{1/e} \pmod{n}$ ) also cracks RSA.

OPEN: Is RSA problem equivalent to integer factoring up to randomized poly-time?