

- In the factoring algorithms, the time spent depends on the bound  $y$  & the probability bound.
  - A time complexity of  $L_n(\alpha, c)$ ,  $\alpha < 1$ , is termed subexponential, in contrast to the exponential  $L_n(1, c)$ .  
Eg. Eratosthenes sieve takes  $L_n(1, \frac{1}{2})$  time.
- 

## Factoring algorithms

- We will start with algorithms that are better than the brute-force on certain  $n$ .

### Pollard's rho method (1975)

- Idea is to exploit the presence of a "small" prime factor  $p | n$ .

Input: odd  $n > 1$  & a pseudorandom function  $f(x)$   
(say,  $f(x) = x^2 + 1 \pmod n$ ).

Output: Factors  $n$  heuristically in  $\tilde{O}(\sqrt{p} \cdot \lg n)$  time.

- 1) Randomly pick  $x$ ;  $y = x$ ;  $d = 1$ .
- 2) While  $d = 1$ 
  - $x = f(x)$ ;  $y = f(y)$ .
  - $d = \gcd(x - y, n)$ .
- 3) If  $d \neq n$  then OUTPUT  $d$ , else FAIL.

Assumption:  $p$  is the smallest prime factor of  $n$   
&  $\{f^i(x) \mid i \geq 0\}$  is a random sequence.

Lemma: Wthp  $p \mid (x - y)$  after  $O(\sqrt{p})$  iterations.

Pf:

- Consider the sequence  $\{f^i(x) \pmod p \mid 0 \leq i \leq j\}$ .
- The probability of them being distinct  
 $\leq \frac{p}{p} \cdot \frac{p-1}{p} \cdots \frac{p-j}{p} \approx e^{-\left(\frac{1}{p} + \frac{2}{p} + \cdots + \frac{j}{p}\right)} \approx e^{-j^2/p}$ .

$\Rightarrow$  For  $j = O(\sqrt{p})$ , the probability of a repetition is good. (Birthday paradox)

• Thus,  $\exists 0 \leq i_1 < i_2$ ,  $i_2 - i_1 = O(\sqrt{p})$  st.  
 $f^{i_1}(x) \equiv f^{i_2}(x) \pmod{p}$ .

$\Rightarrow$  After the  $i_1$ -th iteration the period  
 $r \leq i_2 - i_1 = O(\sqrt{p})$ .

$\Rightarrow$  At the  $(i_1 + t)$ -th iteration we get  
a collision if  $(i_1 + t) \equiv 2(i_1 + t) \pmod{r}$ ,

$\Rightarrow t = r - i_1$  works

$\Rightarrow p \mid x - y$  at the  $O(\sqrt{p})$ -th iteration whp.  $\square$

- Thus, whp  $p \mid d$  in  $\tilde{O}(\sqrt{p} \cdot \lg n)$  time.

- Heuristically, we can say that this  $d$   
will factor  $n$ .

Success: Brent & Pollard (1980) factored Fermat  
number  $F_8 = 2^{2^8} + 1$  into primes of

16 & 62 digits in 2 hours on a UNIVAC.

## Pollard's $p-1$ method (1974)

- It exploits the smoothness of  $(p-1)$ , for a prime factor  $p|n$ .

Input: odd  $n > 1$  not a perfect power.

Output: Factors  $n$ .

1) For  $r = 2, 3, 4, \dots$

- Randomly pick  $a \in (\mathbb{Z}/n\mathbb{Z})^*$
- $d = (a^k - 1, n)$ ,  $k = (r!)^{\lceil \lg n \rceil}$ .
- If  $d \notin \{1, n\}$ , then OUTPUT  $d$ .

Assumption:  $\exists$  distinct primes  $p, q|n$  s.t.  $(p-1)$  is  $R$ -smooth but  $(q-1)$  is not.

Lemma: Whp  $n$  is factored in  $\tilde{O}(R \cdot \lg^2 n)$  time.

Proof: • When  $r$  reaches  $R$ :  $\forall a \in (\mathbb{Z}/n\mathbb{Z})^*$ ,  
 $a^k \equiv 1 \pmod{p}$  [ $\because (p-1) \mid k$ ].

• But, for  $< \frac{1}{2}$  of the  $a \in (\mathbb{Z}/n\mathbb{Z})^*$ ,  
 $a^k \not\equiv 1 \pmod{q}$ .

$\Rightarrow$  Whp  $p \mid (a^k - 1)$  &  $q \nmid (a^k - 1)$ .

• Time to compute  $a^k - 1 \pmod{n}$  is:

$$\tilde{O}(\lg k \cdot \lg n) = \tilde{O}(\lg n \cdot r \cdot \lg n).$$

$\Rightarrow$  Time overall (doing binary search  $\leq R$ )  
 $= \tilde{O}(R \cdot \lg^2 n)$ .  $\square$

$\triangleright$  If we run this for a single (large enough)  
 $r$ , then the complexity is  $\tilde{O}(r \cdot \lg^2 n)$ .

Success: In GIMPS (Great Internet Mersenne Prime Search), this is used to eliminate composites.

$\triangleright$  In RSA,  $(p-1)$  &  $(q-1)$  should not be smooth!

## Fermat's method

- Tries to write  $n = a^2 - b^2$ .

Works well when a factor of  $n$  is very close to  $\sqrt{n}$ .

$$\triangleright n = cd = \left(\frac{c+d}{2}\right)^2 - \left(\frac{c-d}{2}\right)^2$$

<sup>a</sup> both are odd.

$$\triangleright c \approx \sqrt{n} \Leftrightarrow d \approx \sqrt{n} \Leftrightarrow (c-d) \text{ is "small"}$$

- So, we can find  $\frac{c-d}{2}$  by brute-force.

Algo: 1) For  $x = 1, 2, 3, \dots$

• If  $(n+x^2)$  is square, then compute  $y = \sqrt{n+x^2}$  & OUTPUT  $(y-x)$ .

$\triangleright$  It has time complexity  $\tilde{O}(m \cdot \lg n)$ , where  $m := \min\{c-d \mid n = c \cdot d\}$ .

- (Lehman '74) made this general purpose with

time complexity  $\tilde{O}(n^{1/3})$ .

Success: The fundamental idea of finding two squares, congruent modulo  $n$ , appears in many advanced algorithms.

Also, known as Kraitchik's family of algorithms. Starting idea from 1920s:

- Consider  $Q(x) := X^2 - n$ .
- Find  $x_1, \dots, x_k$  s.t.  $Q(x_1) \dots Q(x_k)$  is a square  $v^2$ .

$$\Rightarrow (x_1 \dots x_k)^2 \equiv v^2 \pmod{n}.$$

$\Rightarrow \gcd(x_1 \dots x_k - v, n)$  might factor  $n$ !

Modification by Lehmer & Powers (1931).

- Compute the continued fraction (say, for  $k$  terms):  $\sqrt{n} = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}}$