

- Suppose Halt can be solved by using an alien's machine! Does it mean that every problem can be solved?  
How do we formalize such questions?

## Oracles (& Relativizing proofs)

Defn: We call a TM  $M$  an oracle TM using a language  $O$  if  $M$  has

- three special states  $q_{\text{query}}$ ,  $q_{\text{yes}}$ ,  $q_{\text{no}}$
- a special oracle-tape,

such that when  $M$  enters  $q_{\text{query}}$  with a string  $y$  on the oracle-tape, in the next step it is in  $q_{\text{yes}}$  (resp.  $q_{\text{no}}$ ) if  $y \in O$  (resp.  $y \notin O$ ).

Defn: •  $P^O := \{L \mid L \text{ has a poly-time oracle TM using } O\}$ .

•  $NP^O := \{L \mid L \text{ has a poly-time oracle NDTM using } O\}$ .

Proposition: (1)  $\overline{O} \in P^O$ .

(2) If  $O \in P$  then  $P^O = P$ .

(3) Let  $\text{Expcom} := \{(M, x, 1^n) \mid \text{TM } M \text{ accepts } x \text{ in } \leq 2^n \text{ steps}\}$ . Then,  
 $P^{\text{Expcom}} = EXP = NP^{\text{Expcom}}$ .

Proof:

(1) Negate the answer of  $O$ .

(2) Ignore the oracle-tape; instead use the poly-time TM.

(3) Show the easy consequences,

$$EXP \subseteq P^{\text{Expcom}} \subseteq NP^{\text{Expcom}} \subseteq EXP$$

□

Defn: A proof about complexity classes,  $C_1 = C_2$  (resp.  $C_1 \neq C_2$ ), is said to be relativizing if  $\forall O, C_1^O = C_2^O$  (resp.  $C_1^O \neq C_2^O$ ) also follows.

-  $C_1 = C_2$  may not mean  $C_1^O = C_2^O$ !

▷ Diagonalization proofs till now are all relativizing.

Pf: Properties (1) & (2) before. □

$P \stackrel{?}{=} NP$  requires a non-relativizing proof

Theorem (Baker, Gill, Solovay, 1975):  $\exists$  languages  $A$  &  $B$  s.t.  $P^A = NP^A$  &  $P^B \neq NP^B$ .

Proof: • We have already seen  $A := \text{Expcom}$ .

• Now we design  $B$  via diagonalization!

• For any  $B$ , the related unary language  $U_B := \{1^n \mid \exists x \in B, |x|=n\} \in NP^B$ .

- So, we design a  $B$  s.t.  $U_B \notin P^B$  by recursion.

• Let  $\{M_i \mid i \text{ is an oracle TM description}\}$  be an enumeration of oracle TMs in the increasing order of  $i$ .

- We incrementally construct  $B$ . In the  $i$ -th stage we ensure that  $M_i^B$  does not decide  $U_B$  in  $(2^n - 1)$  steps.

Initially,  $B = \emptyset$ .

- In the  $i$ -th stage:

We have declared only a finite number of strings in/out of  $B$ . Choose  $n_i$  to be larger than the length of those strings.

Run  $M_i^B$  on  $1^{n_i}$  for  $(2^{n_i} - 1)$  steps as:

- (1) If  $M_i$  queries  $B$  on strings whose status is undetermined, we declare them not in  $B$ .

(2) If  $M_i$  queries  $B$  on strings whose status is determined, then be consistent.

(3) If, eventually in  $(2^{n_i} - 1)$  steps,  $M_i^B$  accepts  $1^{n_i}$ , then declare all strings of length  $n_i$  out of  $B$ .

else, we put a string of length  $n_i$  in  $B$  that has not been queried by  $M_i$ . (There exists such a string!)

► At the  $i$ -th stage,  $M_i^B$  does not decide  $u_B$  in  $(2^{n_i} - 1)$  steps.

• So, if  $u_B \in P^B$  we can consider a large enough  $j$  s.t.  $M_j^B$  decides  $u_B$  in poly-time.  
This gives a contradiction.

$$\Rightarrow u_B \notin P^B$$

$$\Rightarrow P^B \neq NP^B.$$

□