Primality Testing : AKS Algorithm

Sumit Sidana, PhD CSE

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Idea Algorithm and Its Correctness

Generalization of Fermat's Little Theorem

Important Result

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Generalization of Fermat's Little Theorem

Important Result

• Let $a \in \mathbb{Z}$, $n \in \mathbb{N}$, $n \geq 2$, (a, n) =1. Then n is prime if and only if $(X + a)^n = X^n + a(mod n).$ Proof. For 0 < i < n, the coeffecient of x' in $((X + a)^n - (X^n + a) \text{ is } \binom{n}{2}a^{n-i}.$ Suppose n is prime. Then $\binom{n}{i} = 0 \pmod{n}$ and hence all coeffecients are zero. Suppose n is composite. Consider a prime q that is a factor of n and let $q^k | n$. Then q^k does not divide $\binom{n}{q}$ and is coprime to a^{n-q} and hence the coeffecient of X^q is not zero(mod n). Thus $((X + a)^n - (X^n + a))$ is not identically zero over \mathbb{Z}_n

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Problem

- However , the above test takes time $\Omega(n)$ because we need to evaluate n coeffecients in the LHS in the worst case .
- There are two problems which we are facing right now :
 - -Evaluating $(X + a)^n$ requires n multiplications.

 $-(X+a)^n$ has n+1 coeffecients which take $\omega(n)$ time in worst case to evaluate .

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Solutions to Problems

Solutions

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Solutions

• Use repeated Squaring to calculate $(X + a)^n$.

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- All Primes n satisfy the equation for all values of a and r .
- Problem Now is that some composites n may also satisfy the equation for few values of a and r .

Solution to the above Problem

- We show for an appropriately chosen r if the equation is satisfied for several a's then n must be a prime power .
- The number of a's and the appropriate r are both bounded by a polynomial in log n.







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1. If $(n = a^b \text{ for } a \in \mathbb{N} \text{ and } b > 1)$, output COMPOSITE. 2. Find the smallest r such that $o_r(n) > log^2 n$. 3. If 1 < (a,n) < n for some $a \le r$ output COMPOSITE. 4. If $n \le r$, output PRIME. 5. For a = 1 to $2\sqrt{rlog(n)do}$ if $((X + a)^n \ne X^n + a(mod X^r - 1, n))$, output COMPOSITE; 6. Output Prime.

If n is prime steps (1),(3) and (5) cannot return Composite
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- If step(4) returns prime then n must be prime .
- If it would not have been prime then step(3) would have found a prime p|n output COMPOSITE.
- Therefore, algorithm returns prime if n is prime .

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• (n,r) = 1
$$\Rightarrow p, n \in Z_r^*$$

• Also let
$$I = 2\sqrt{r}\log n$$
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General Definitions

Introspective Numbers

Call a number m introspective if

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- If m_1 and m_2 are introspective numbers then so is m_1m_2
- Proof-

$$(X + a)^{m_2} - (X^{m_2} + a) = (X^r - 1)g(x) + p.h(x)$$
 for some $g(x), p(x)$

$$\Rightarrow (X^{m_1} + a)^{m_2} - (X^{m_1m_2} + a) = (X^{m_1r} - 1)g(X^{m_1}) + p.h(X^{m_1}) = 0(mod X^r - 1, p)$$

$$\Rightarrow (X+a)^{m_1m_2} = (X^{m_1}+a)^{m_2}$$

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p and n as Introspective Numbers .

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- Hence for each m of the form $p^{i}n^{j}$ we have $(X + a)^{m} = X^{m} + a$ for a = 1...l

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Two sets I and P

We now define two sets I and P .

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• Clearly , Every member of set I is introspective for every member of set P .

• Also, let
$$\hat{\mathsf{I}} = {\boldsymbol{p}}^i {\boldsymbol{n}}^j | \mathsf{0} \leq i,j \leq \sqrt{t}$$
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- Let h(x) be one such irreducible factor .
- Since $o_r(p) > 1$, degree of h(X) is greater than 1.
- Let F be field which consists of the set of all residues of Polynomials in P modulo h(X) .

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- Consider the Polynomial $Z^{m_1}-Z^{m_2}$ has several roots namely, X+a , for a = 1,2,...,l .
- If $m_1, m_2 \in \hat{1}$ are such that $(X + a)^{m_1} = (X + a)^{m_2} (mod \ X^r 1, p)$ for a = 1, 2, ..., l then we want conditions under which $m_1 = m_2$

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- We want to show that it has more roots than its degree . If we can show that , we will force $m_1 = m_2$.
- In a field , a non zero polynomial of degree d has atmost d roots .
- If we show $m_1 = m_2$ then $p^{i1}n^{j1} = p^{i2}n^{j2} \Rightarrow$ n is a prime power.

• If η is the primitive r^{th} root of unity, then $\eta + a$ is the root of the equation $h(Z) = Z^{m_1} - Z^{m_2}$.

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- Also note that if α and β are the roots of h then so are $\alpha\beta$.

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• Let S =
$$(\prod_{a=1}^{l} (\eta + a)^{e_a} | e_a \in 0, 1)$$

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- Let S = $(\prod_{a=1}^{l} (\eta + a)^{e_a} | e_a \in 0, 1)$
- Each element of S is the root of h .
- If we force number of roots to be greater than degree we get $2^l>n^{2\sqrt{t}}\Rightarrow l>2\sqrt{t}\log n$ Then we force $m_1=m_2$.

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- If we force number of roots to be greater than degree we get $2^l>n^{2\sqrt{t}}\Rightarrow l>2\sqrt{t}\log n$ Then we force $m_1=m_2$.
- Now we need to force each root of S to be distinct for above claim to be true .

If we take our earlier P = ∏^l_{a=0}(X + a)^{e_a}|e_a ≥ 0 they are all distinct polynomials of F_p[X] if a=1....l do not divide n (and p)

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- We also need to show : If f(X) and g(X) are two distinct elements of P , then so are g(η) andf(η)

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• Proof - For every

$$m = p^i n^j$$
, $g(X)^m = g(X^m) (modX^r - 1, p)$. Hence if $f(X)$
and $g(X)$ are two distinct elements of P such that
 $f(\eta) = g(\eta) \Rightarrow g(\eta)^m = g(\eta^m) = f(\eta)^m = f(\eta^m)$

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- This shows η^m is the root of Q(X) = f(X) g(X) for every $m \in G$.
- So there are at least t roots of Q(X) in F.

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- Since these polynomials are of degree atmost | If we ensure that t > |, we show Q(X) = 0⇒ f(X) = g(X)
- We want to ensure $t > l = 2\sqrt{r}\log n > 2\sqrt{t}\log n \Rightarrow t > 4(\log^2 n) + 2$ and since $t > ord_r(n)$
- It is enough to show $ord_r(n) > 4(log^2n) + 2$.

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- Therefore if we take $r > T^2 \log n + 1$,we are sure to r such that $ord_r(n) \ge T = 4 \log^2 n + 2$.
Finding such an r

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- Therefore if we take $r > T^2 \log n + 1$,we are sure to r such that $ord_r(n) \ge T = 4 \log^2 n + 2$.
- Hence there is a number $r = O(\textit{log}^5 n) \geq T$.