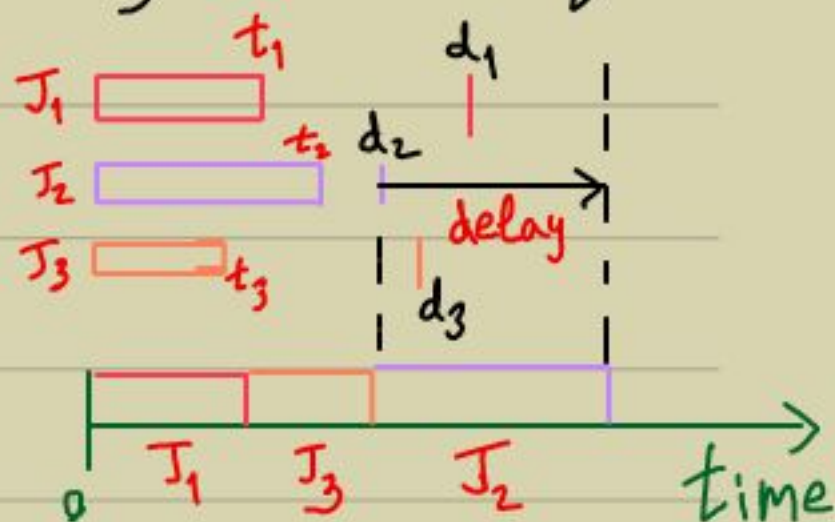


# Job Scheduling

- Input:
- There are  $n$  jobs  $\{J_1, \dots, J_n\}$ .
  - Job  $J_i$  takes  $t_i$  time to complete.
  - Job  $J_i$  has deadline  $d_i$ .

Output: Schedule them on a single server such that the maximum delay is minimized.

- Qn: Is this a hard problem?



- Ideas:
- 1) Schedule in the order of  $t_i$ 's.
  - 2) " " " " " "  $d_i$ 's,

- Shortest job vs Earliest deadline

- Counterexample for Idea-1:

$\{J_2, J_3\}$  above. It is better if  $J_2$  is scheduled first.

▷ For two jobs, Idea-2 always works.

Proof: • Let  $\{J_1, J_2\}$  be the jobs.

• If  $J_1$  is scheduled first, the delay

$$\max\left(\frac{t_1 - d_1}{t_1 + t_2 - d_2}\right) \text{ is } t_1 + t_2 - d_2.$$

• If  $J_2$  is scheduled first, then the delay is  $t_1 + t_2 - d_1$ .

$\wedge$   
 $\max\left(\frac{t_2 - d_2}{t_1 + t_2 - d_1}\right) \Rightarrow$  delay is minimized if we schedule according to the earliest deadline.  $\square$

- Qn: What to do with  $n > 2$  jobs?

- Consider a scheduling in the order  $(J_1, J_2, \dots, J_n)$ . Focus on  $\{J_i, J_{i+1}\}$ .

• If  $d_i > d_{i+1}$ , then swapping them gives us a "better" scheduling.

$\Rightarrow$  Thus, in an optimal schedule we have  $d_1 \leq d_2 \leq \dots \leq d_n$  (without loss of generality).

Theorem: Job Scheduling (min max delay) can be done in  $O(n \log n)$  time.

# Greedy Paradigm

- In the last algorithm we used a local approach to get a global one.  
(From  $n=2$  to  $n>2$ .)

- Given an optimization problem P,  
with instance A of size  $n$ :

- Greedy step identifies an instance A' of size  $n' < n$ .
- In the Proof you are required to formally show that:

A lemma is needed  $\rightarrow$   $OPT(A')$  follows from  $OPT(A)$ ,  
&  $OPT(A)$  " "  $OPT(A')$ ,

$\rightarrow$  This is what gives the pseudocode.

- Greedy paradigm is a very powerful technique.

In the end, you only need sorting.

## Binary Coding of files

- Suppose a file  $F$  has  $m$  letters & there are  $n$  alphabets in the language.
- Qn: How large is the binary coding?
- Ans: At least  $m \cdot \lg n$ .
- Additional assumption: Suppose we know the frequency distribution of the alphabets in  $F$ .

Can we use the distribution to have a smaller coding of the file?

- Eg. in English texts 'e' appears 13%, 't' appears 9%, but 'j' 'q' 'x' 'z' appear  $< \frac{1}{2}\%$ !

- Idea: More frequent alphabets should map to shorter strings.

- Eg. Alphabet A: a b c d e  
Frequency f: 0.45 0.18 0.15 0.12 0.10  
Coding  $\gamma$ : 0 10 110 101 111

- This gives an average bit length ABL of:  
 $0.45 \times 1 + 0.18 \times 2 + (0.15 + 0.12 + 0.10) \times 3$   
 $= 1.92 = \sum_{a \in A} f(a) \cdot |\gamma(a)|$

$\Rightarrow$  A file of size  $m$  has an encoding of size  $\approx 1.92m$ , which is smaller than  $3m$ .

- But, this coding has ambiguity.  
01010111 is abbe  
adae

$\triangleright$  'b' is a prefix of 'd'.

- Prefix coding: If  $\nexists x \neq y \in A$  s.t.  
 $\gamma(x)$  is a prefix of  $\gamma(y)$ .

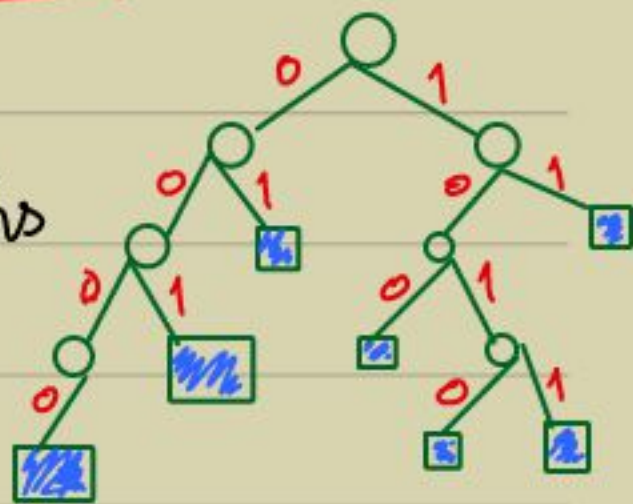
- Algorithmic problem:

Given  $A$  of  $n$  alphabets with their frequencies, compute a prefix encoding  $\gamma$  s.t.  $ABL(\gamma)$  is minimum.

- Brute-force: A naive algorithm would go over all the  $n$ -subsets of  $[2^n]$ .  $\rightarrow$  all  $(\leq \lg n)$ -bit strings.  
 $\Rightarrow 2^{\Omega(n)}$ -time taken.

- Instead, we can model a prefix code as a labeled binary tree.

- E.g. the blue leaves' paths form a prefix code!



(Exercise)

# Huffman Code - Optimal prefix code

- We make the following observations about the labelled binary tree  $T$  of the optimal prefix code  $\gamma$ .

↳ out-deg = 2 per internal node

Lemma 1:  $T$  must be a full binary tree.

Proof:

• If there is a node with out-degree  $\leq 1$  then we can shrink it.

eg.



$\Rightarrow$  Every node has out-deg = 2.

$\Rightarrow T$  is full.  $\square$

Lemma 2: More frequent alphabets are close to the root.

Proof:

• If  $f(a_1) < f(a_2)$  &  $a_2$  is deeper in  $T$  than  $a_1$ , then swapping them reduces  $\sum_{a \in A} f(a) \cdot |\gamma(a)|$ .  $\square$

Lemma 3: Let  $A = \{a_1, \dots, a_n\}$  &  $f(a_1) \leq \dots \leq f(a_n)$ .

There is an optimal  $T$  where  $a_1$  &  $a_2$  are siblings in the deepest level.

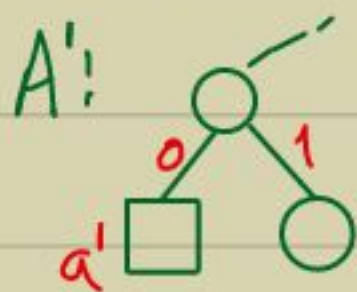
Proof:

• Suppose  $b$  is a sibling of  $a_1$  &  $f(a_1) \leq f(a_2) \leq f(b)$ .  
 $\Rightarrow$  Wlog we can swap  $b$  &  $a_2$ , getting  $a_2$  in the deepest level with  $a_1$ .  $\square$

— By Lemma 3 we can modify the instance  $A = \{a_1, a_2, \dots, a_n\}$  to  $A' := \{a_3, a_4, \dots, a_n\} \cup \{a'\}$  by merging the two alphabets  $a_1$  &  $a_2$  to  $a'$ .

$\triangleright$  If we set  $f(a') := f(a_1) + f(a_2)$  then  $\text{OPT}(A')$  will also give us  $\text{OPT}(A)$ .

Pf:



due to reduced ht.  $\swarrow$

$$\text{OPT}(A') = \sum_{b \in A'} f(b) \cdot |\gamma'(b)| = \text{OPT}(A) - f(a')$$

minimizing  $\text{ABL}(\gamma)$ .  $\square$



- Developing the algorithm to find  $T$ :

store the frequencies  $\rightarrow$   
in an AVL tree

- First, compute  $T'$  for alphabet  $A'$ .
- Where is  $a'$ ? A leaf in  $T'$ .
- Replace it by



- Return this tree  $T$ .

- Time: It takes  $O(n \lg n)$  time as we reduce the alphabet size by one.

$$T(n) = T(n-1) + O(\lg n)$$

Theorem (Huffman '52): Optimal prefix code can be found in  $O(n \lg n)$  time.

Note: AVL tree & labelled binary tree have size  $O(n \lg n)$ .

$\rightarrow$  This technique is the mother of all data compression schemes!