

# Partially Ordered Sets

- Relations over a given set.

- Ex.  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$  : " $\leq$ " :  $a \leq b$  or  
(or,  $a < b$  or  $a > b$ )  $a \geq b$  or  $a = b$ .

- reflexive, symmetric, antisymmetric, transitive.

→ equivalence relations.

→ posets / totally ordered sets.

Defn: Relation  $R$  from  $S$  to  $T$  is a subset of  $S \times T$ ; i.e.  $R \subseteq S \times T$ , or  $R \in 2^{S \times T}$ .

-  $S \times T$  :=  $\{(a, b) \mid a \in S, b \in T\}$ .

▷ Relation may not be a function / map.  
▷ Function / map is a relation.

- eg. less / greater / equal (on numbers),  
divides (""),  
friends (on people),  
contained in (on sets).

- We'll be interested in relation of  $S$  to  $S$ .

- Defn: Relation  $R$  on set  $S$  to  $S$  is:

- Reflexive:  $\forall s \in S, (s, s) \in R$ .
- Symmetric:  $\forall s, t \in S, (s, t) \in R \Rightarrow (t, s) \in R$ .
- Antisymmetric: " ,  $(s, t) \& (t, s) \in R \Rightarrow s = t$
- Transitive:  $\forall s, t, u \in S; (s, u), (u, t) \in R \Rightarrow (s, t) \in R$ .

Exercise: Pick a subset of axioms. Construct an  $R$  that doesn't have the remaining properties?

Qn: Is a relation always symm. or antisymm.?  
- eg.  $R = \{(1, 2)\} \times$  ;  $R = \{(1, 2), (2, 1), (1, 3)\} \checkmark$

Qn: What properties equality ( $=$ ) satisfy?  
↳ All four!

## Equivalence Relations

- Defn:  $R$  is an equivalence if  $R$  is:  
reflexive, symm., transitive.

- " $\text{mod } m$ " =  $R$  on  $\mathbb{Z}$ :  $a \equiv b \pmod{m}$  if  $\begin{matrix} a \% m = \\ b \% m \end{matrix}$   
i.e.  $m \mid (a-b)$ .

▷ " $\text{mod } m$ " is an equivalence relation.

Theorem: Equiv. relation  $R$  on  $S$ , partitions  $S$   
into equivalence classes!

Pf: • Consider  $\underline{R(s)} := \{t \in S \mid (s, t) \in R\}$ ;  
for  $s \in S$ .

$\Delta (s, t) \in R \iff R(s) = R(t)$ .

Pf:  $\Leftarrow$ :  $R(s) = R(t) \implies t \in R(s) \implies (s, t) \in R$ .

$\Rightarrow$ :  $(s, t) \in R$  &  $u \in R(s) \implies (s, u), (u, s) \in R$

$\implies (u, t) \in R \implies u \in R(t)$

$\implies R(s) \subseteq R(t) \implies \dots \implies R(s) = R(t)$ .

$\Delta (s, t) \notin R \implies R(s) \cap R(t) = \emptyset$ .  $\square$

Pf: • Assume  $(s, t) \notin R$  &  $u \in R(s) \cap R(t)$ .

$$\Rightarrow (s, u), (t, u) \in R \Rightarrow (s, t) \in R \Rightarrow \text{⚡}$$

$$\Rightarrow R(s) \cap R(t) = \emptyset. \quad \square$$

$$\square \bigcup_{s \in S} R(s) = S.$$

↙ ↘

$\Rightarrow \{ \text{Distinct } R(s) \}$  partition  $S$ .  $\square$

Observation: Any partition of  $S$  defines an equivalence relation on  $S$ .

Pf:

- Say,  $S =: S_1 \cup S_2 \cup \dots \cup S_c$
- Define  $(a, b) \in R$  if  $\exists i \in [c], a, b \in S_i$ .  $\square$

- Eg. Color the vertices of a square R/B.

• Two colorings are related if second can be obtained from the first, using:

rotation / reflect / rotate-reflect.

→ It's an equivalence relation.

Q<sub>1</sub>: What are its classes?

ex.  $\{RRRR\}$ ,  $\{RRRB, BRRR, RBRR, RRBR\}$ ,  
 $\{RRBB, \dots\}$ ,  $\{RBRB, BRBR, \dots\}$ ,  $\dots$

# Partial Order

- Now, we move to inequalities.

Defn: • Relation  $R$  is a partial order if it's: reflexive / antisymm. / transitive.

• Partial order  $R$  is total order if  $\forall s, t \in S: (s, t) \in R$  or  $(t, s) \in R$ .

$\rightarrow$   $(\mathbb{R}, \leq)$  is total order. Defn:  $(\mathbb{R}, <)$   $\times$   
 $(\mathbb{R}, \geq)$  " " " " .



- Ex.  $(\mathbb{Q}, \leq)$  not total, as  $(0, \sqrt{-1})$  is incomparable.

$\hookrightarrow$  is partial order.

- Ex.  $(2^{[n]}, \subseteq)$  not total, as  $(\{1\}, \{2\}) \dots$

$\hookrightarrow$  is partial order.

- Notation: Partial order on  $S$  is denoted by

$(S, \leq)$ .

• " $a < b$ " denotes  $a \leq b$  &  $a \neq b$  (in  $S$ ).

▷ # (total orders on  $S$ ) =  $|S|!$   
↑  $|S| < \infty$ .

- eg.  $(\mathbb{Q}, \leq)$  :  $a \leq b$  if  $|a| \leq |b|$   
→ is not antisymm., eg.  $(\sqrt{-1}, -\sqrt{-1})$   
⇒ is not an order.

- Qn: Is there another partial order on  $\mathbb{C}$ ?

- We can represent finite posets as a diagram:

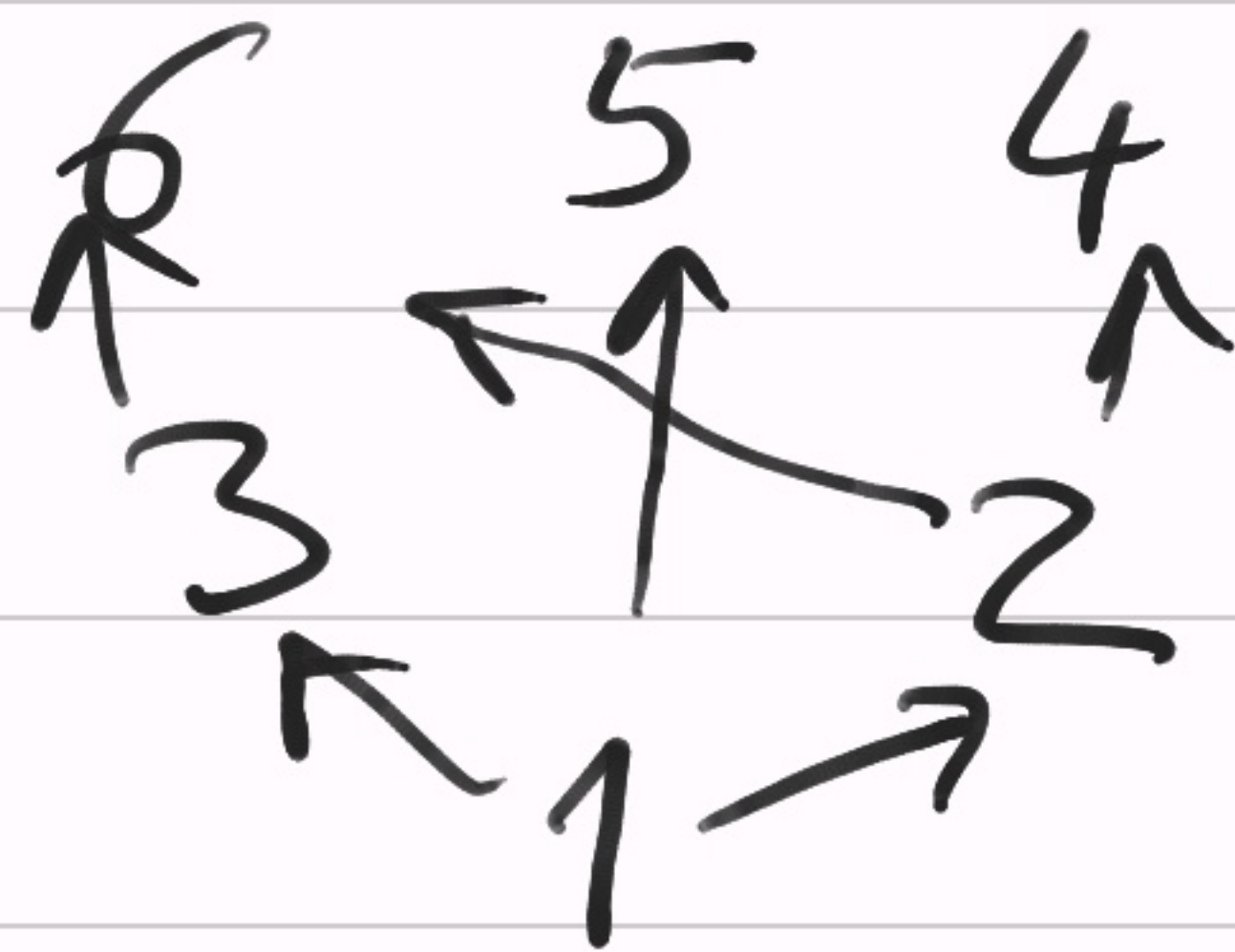
# Hasse Diagram (for poset)

- Let  $R$  be partial order on  $S$ .

- Connect  $x, y \in S$  by a line if  $x < y$  &  
 $\nexists z \in S: x < z < y$ . (skip self-loops)

- Ex.  $(\mathbb{N}, \leq)$ :  $0 \rightarrow 1 \rightarrow 2 \rightarrow 3 \rightarrow \dots$

- Ex.  $(\mathbb{N}, \leq := \text{divides})$ :



$\Delta (\forall x \in S, \text{ if there is unique } y \text{ s.t.}$

" $x \rightarrow y$ " appears)  $\iff R$  is total order. (Ex.)

→ Hasse diagram removes the edges which can be obtained from reflexivity & transitivity.

- Defn:

- An element  $x \in S$  maximal if no element appears on "top" of  $x$ .
- An element  $x \in S$  minimal if no element appears "below"  $x$ .

→ Are these unique? No.

# Chains & Anti-chains

- Defn:
- Chain  $C \subseteq S$  s.t. any two elements in  $C$  are comparable.
  - Anti-chain  $A \subseteq S$  s.t. no two elements in  $A$  are comparable.

- eg. What's the longest chain in  $(\mathcal{P}^{[n]}, \subseteq)$ ?  
↳ eg.  $C = \{\emptyset, \{1\}, \{1,2\}, \{1,2,3\}, \dots, [n]\}$ .

Ex.: longest is  $(n+1)$ .

- Qn: longest anti-chain?

▷ All subsets of size  $\lfloor n/2 \rfloor$  is anti-chain.

▷  $\hookrightarrow$  both have length  $\binom{n}{\lfloor n/2 \rfloor} \approx 2^n$ .

Theorem (Sperner, 1928): Longest anti-chain is only one of these. [ $\Rightarrow$  n even, it's unique.]

Pf: • Defn: A maximal chain (m-chain)  $C$   
be  $\emptyset = S_0 \subset S_1 \subset S_2 \subset \dots \subset S_{n-1} \subset S_n = [n]$

▷  $\#(\text{m-chains}) = n!$ .

— Let A be an anti-chain.

▷  $|C \cap A| \leq 1$ . [ $\leq 1$  element of  $C$  in  $A$ .]

— Let's count  $\#(m\text{-chains containing } S \subseteq [n])$ :

— Say,  $C = \{ \phi = S_0 \subset \dots \subset \underline{S} \subset \dots \subset S_n = [n] \}$  <sup>size =  $k$</sup>

▷  $\#(m\text{-chains with } S) = k! \cdot (n-k)! \quad (\text{Prod. Rule})$   
 $\geq \lfloor \frac{n}{2} \rfloor! \cdot \lfloor \frac{n}{2} \rfloor! \quad (\text{Ex.})$

— Consider  $m$ -chains:  $C_1, C_2, \dots, C_n!$

— Our anti-chain  $A =: \{ S_1, S_2, \dots, S_a \}$

▷  $\forall i, S_i$  appears in  $\geq m = \frac{n!}{\binom{n}{\lfloor n/2 \rfloor}}$  chains.

$$\Rightarrow \# \text{ green-parts} \leq n! / m = \binom{n}{\lfloor n/2 \rfloor}$$

$$\triangleright \text{a} \stackrel{!}{=} |A| \leq \binom{n}{\lfloor n/2 \rfloor}.$$

- Note: The above proof shows that to get largest  $|A|$ ,  $\forall i, |S_i| = \lfloor n/2 \rfloor$  or  $\lceil n/2 \rceil$ .

[Pf: Make each " $\leq$ " to " $=$ " in the above calculations.]

$\hookrightarrow$  Thm done for even  $n$ .

$\triangleright$  Odd  $n \Rightarrow \forall i, |S_i| = k$  or  $k+1 = k'$ .



Lemma: If a longest  $A$  contains an  $S_i$  of size  $k$ , then it contains all  $k$ -subsets.

Prf: • Let  $S$  be a  $k$ -subset in our longest anti-chain  $A$ .

• Let  $S' \neq S$  be some  $k$ -subset of  $[n]$ .

•  $S =: S_0 \subset T_1 \supset S_1 \subset T_2 \supset \dots \subset T_\ell \supset S_\ell =: S'$

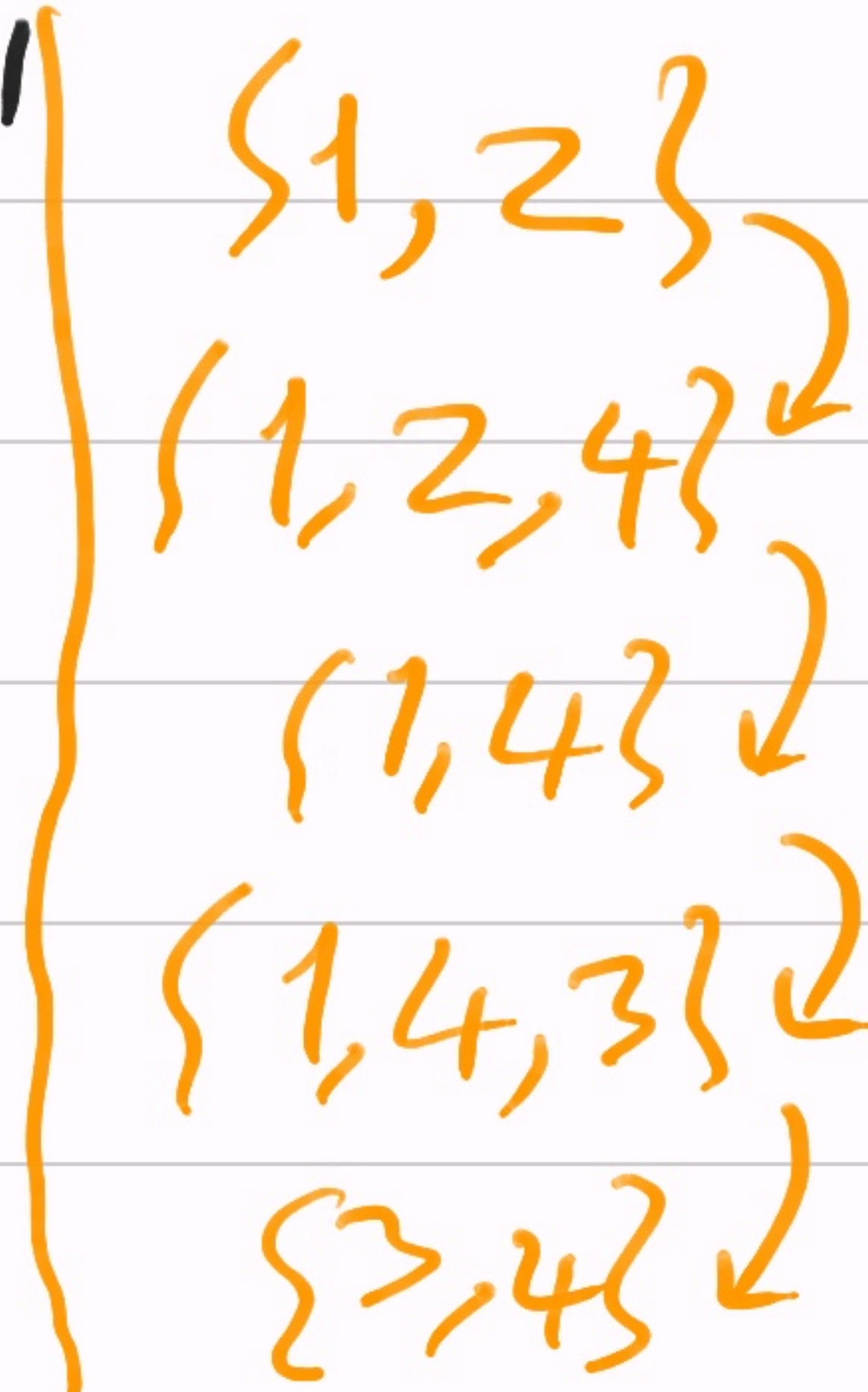
Size =  $k \quad k+1 \quad k \quad k+1 \quad k+1 \quad k$

$\Rightarrow T_1 \notin A$ . Qn: Is  $S_1 \in A$ ?

- Consider an  $m$ -chain  $\dots \supset T_1 \supset S_1 \supset \dots$

$\therefore T_1 \notin A$ , hence  $S_1 \in A$ .

- Repeat this:  $S_2 \in A, S_3 \in A, \dots, S_\ell \in A$ .



$\Rightarrow$  Every  $k$ -subset is in  $A$ .  $\square$

$\Rightarrow$   $[n \text{ odd}] \quad A = \binom{[n]}{k}$  or  $\binom{[n]}{k+1}$ .

set of all the  $k$ -subsets <sup>$i$</sup>

set of all  $(k+1)$ -subsets

Key: Relation between chains & anti-chains.  $\square$

(Mirsky thm, '71) If the longest chain in poset has length  $r$ , the poset has a partition into  $r$  anti-chains.

[Dilworth's thm, '50] If largest anti-chain in poset has length  $r$ , then the poset has a partition into  $r$  chains.