Multimodal Biometric Recognition using Iris, Knuckleprint and Palmprint

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Ph.D Defense Seminar at Indian Institute of Technology, Kanpur

February 5, 2015

Introduction

- Problem Definition
- Modes of Operation
- Trait, Motivation, Challenges and Issues

2 Proposed System

- ROI Extraction
 - Iris ROI Extraction
 - Knuckleprint ROI Extraction
 - Palmprint ROI Extraction
- Quality Estimation
 Iris Quality Estimation
 Knuckleprint Quality Estimation
- Preprocessing
- Feature Extraction
- Matching: Lukas Kanade Tracking
- 3 Database Specifications
- 4 Comparative Performance Analysis
- 5 Multimodal Fusion
 - Publications

Problem Definition

- Biometric based Personal authentication systems are in demand.
- Several biometric traits are studied such as face, iris, palmprint, ear, fingerprint etc.
- Biometrics based PAS:
 - Enrollment Problem Features extracted are saved in database to enroll any Subject.
 - Authentication Problem One to One matching and decide using thresholding (Verification).
 - Identification Problem One to Many matching and best matching scores and corresponding subjects are reported (Recognition problem)

Enrollment



Flow diagram of Enrollment Process

Authentication - (EER)



Flow diagram of Authentication Process

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Identification - (CRR)



Flow diagram of Identification Process

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Genuine Vs Imposter Graph



Several Biometric Traits Available







(b) Fingerprint



(c) 4-Slap



(d) Ear



(g) Iris Aditya Nigam (CSE, IITK)



(e) Vein Pattern



(h) Knuckleprint Defense Seminar at IIT, Kanpu



(f) Footprint



(i) Palmprint February 5, 2015

Biometric Trait's Properties

- 1. **Uniqueness:** The features associated with the biometric trait should be different for everyone.
- 2. Universality: The biometric trait should be owned by everyone and should not be lost easily.
- 3. Circumvention: The biometric trait should not be spoofed or forged easily.
- 4. **Collectability:** The biometric trait should be able to acquire by some digital sensor.
- 5. **Permanence:** The features associated with the biometric trait should be time invariant (*i.e.* temporally stable).
- 6. Acceptability: The biometric trait should be accepted by the society without any objection.

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Trait-wise Challenges and Issues

Modality	Motivation	Challenges	Issues
Face [58]	Most obvious, Non intru-	Pose, Expres-	Too many Chal-
	sive, Acceptance, Universal-	sion, Illumi-	lenges
	ity, Cheap Sensors	nation, Aging,	
		Rotation, Trans-	
		lation, Occlusion	
		and Background	
Fingerprint	Unique, Easier to acquire,	Rotation and	Acceptance
[16]	Less cooperative, Cheap	Translation	_
	Sensor		
Iris [72]	Unique, Well Protected,	Segmentation,	Acquisition,
	Highly Discriminative	Occlusion and	Cooperation,
		Illumination,	Acceptance
		Rotation, Off-	_
		angle, Motion	
		Blur	
Palmprint	Touch-less, Lesser Intrusive,	Illumination,	Acquisition,
[11]	Bigger ROI, Faster	Rotation and	Cooperation,
		Translation	Acceptance
Knuckleprint	Unique, Well Protected,	Illumination,	Acquisition,
[84]	Highly Discriminative, Ro-	Rotation and	Cooperation,
	bust Features, Cheap Sen-	Translation	Acceptance
	sor		-
Ear [42]	Non-Intrusive, Acceptance,	Illumination,	Acquisition,
	Universal, Cheap Sensor,	Rotation in/out	Cooperation,
	Robust Shape	plane, Scale,	Acceptance
		Pose and Trans-	
		lation	

Motivation (Multimodal)

Why do we require several biometric traits Because none of them can be considered as perfect.

- The performance of any unimodal biometric system is often got restricted by varying environmental and uncontrolled conditions.
- Also the performance got restricted by sensor precision and reliability as well as several trait specific challenges such as pose, expression, aging etc for face recognition.
- Hence fusing more than one biometric samples, traits or algorithms in pursuit of superior performance can be very useful idea.

Motivation (Traits)

 Out of the all the traits listed in previous slide fingerprint is used and accepted widely worldwide. But stills cons are Fail to acquire specially for cultivators and workers, low public acceptance as connected to criminals and Dirty.

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Pros of Iris, Knuckle and Palmprint

- Iris have the most unique and discriminative texture (Considered as the best biometric trait).
- No expression and pose (All three).
- No occlusion, less cooperation an inexpensive sensors (Knuckle and Palmprint).
- Larger ROI ensures abundance of structural features including principle lines, wrinkles, creases and texture pattern even in low resolution palmprint images (Knuckle and Palmprint).

Fingerprint Vs Knuckleprint



(h) fkp 1 (i) fkp 2 (j) fkp 3 (k) fkp 4 (l) fkp 5 (m) fkp 6 (n) fkp 7

Fingerprint Vs Knuckleprint (Row 1 shows fingerprints while second row shows the corresponding knuckleprints)

Discriminative Features



(a) Iris Anatomy

(b) Knuckle Anatomy

(c) Palmprint Anatomy

Sample Acquisition : Initially the raw data is captured using the data sensor. It may require a setup and its own software routine. This is very critical and important stage as what ever is acquired in this stage will be used as the input to all the subsequent stages.

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- Seature Extraction and Matching : In this stage robust feature vectors are computed and stored. Then they are used to compute a score for any given matching which can decide whether a it is genuine or imposter.

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Sample Database Images



(a) Casia Interval Iris Database



(b) Casia Lamp Iris Database



(c) PolyU Knuckleprint Database











(d) Casia Palmprint Database











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Iris ROI Extraction

► Seg



Segmented Iris



Knuckleprint ROI Extraction - FKP Area



► Seg

Raw knuckleprint



Annotated Knuckleprint



Knuckleprint ROI



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Knuckleprint ROI Extraction - Gabor Filter



$$G(x, y; \gamma, \theta, \psi, \lambda, \sigma) = \underbrace{e^{-(\frac{X^2 + Y^2 \cdot \gamma^2}{2 \cdot \sigma^2})}}_{\text{Gaussian Envelope}} \times \underbrace{e^{i(\frac{2\pi X}{\lambda} + \psi)}}_{\text{Complex Sinusoid}}$$

$$x * \cos(\theta) + y * \sin(\theta)$$

$$Y = -x * sin(\theta) + y * cos(\theta)$$

X =

Knuckleprint ROI Extraction - Gabor Filter



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$$X = x * \cos(\theta) + y * \sin(\theta) \quad X = x * \cos(\theta) + y * \sin(\theta) + c * (-x * \sin(\theta) + y * \cos(\theta))^2$$

 $Y = -x * sin(\theta) + y * cos(\theta) Y = -x * sin(\theta) + y * cos(\theta)$ c is Curvature Parameter

Knuckleprint ROI Extraction - Knuckle Filter



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Knuckleprint ROI Extraction



Curvature Knuckle Filter



Knuckle Filter Response



Knuckle ROI

Correctly Segmented Knuckleprint ROI



Palmprint ROI Extraction





(a) Original (b) Contour (c) Key Points (d) Palmprint ROI

Sample Biometric ROI Images



Iris Quality - Focus



(a) Focused



(b) De-Focused

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Iris Quality - Occlusion



Iris Quality - Dilation, Specular Reflection

Dilation

dilation =
$$\frac{\text{Area of iris}}{\text{Area of iris outer circle}} = 1 - \frac{r_p^2}{r_c^2}$$

2



Specular Reflection

Specular Reflection is detected using iterative thresholding staring with a high value.



Knuckleprint Quality Estimation - Focus and Clutter


Knuckleprint Quality Estimation - Non Uniform Texture





(a) Non Uniform Texture(0.221)(b) Uniform Texture(0.622)

- Well focus and Vertical long edges are extracted.
- These pixels are clustered using K-mean clustering.
- Texture uniformity is computed using some statistical and geometrical values of the obtained clusters.

Knuckleprint Quality Estimation - Entropy



(c) High Informative

(d) Significant Blocks

$$e = -\sum_{i=0}^{255} hist[i] * \log(2 * hist[i])$$

- Divide image in blocks of size 5×5 compute block-wise entropy.
- Add the entropy of significant blocks (blocks having well focus vertical long edges more than a threshold).

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Knuckleprint Quality - Specular Reflection and Contrast



- Specular reflection is computed using iterative thresholding.
- **Contrast** : Features may be lost if image is either too light or too dark. Hence the number of pixels belonging to gray level range (76, 235) can be used to indicate pixels in moderate intensity range.

Biometric Sample ROI Enhancement

- The sample ROI is divided into blocks and the mean of each block is considered as the coarse illumination of that block which is expanded to the original block size.
- The estimated illumination of each block is subtracted from the corresponding block of the original image to obtain the uniformly illuminated *ROI*.
- The contrast of the resultant *ROI* is enhanced using Contrast Limited Adaptive Histogram Equalization (*CLAHE*).
- Finally, Wiener filter is applied to reduce noise to obtain the enhanced texture.

Biometric Sample ROI Enhancement



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Sign of local Gradient (SLG) based Transformation

 Apply horizontal direction sobel filter on A to obtain its vertical edge map. The sign_code value for every pixel A_{j,k} in the vertical edge map is evaluated, defined as a 8 bit binary number S whose ith bit is

where Neigh[i], i = 1, 2, ...8 are the horizontal gradient of 8 neighboring pixels centered at pixel $A_{j,k}$.

 In gradient_code, every pixel is represented by its sign_code which is an encoding of edge pattern in its 8-neighborhood



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Sign of local Gradient (SLG) based Transformation



⁽c) Palm *hcode*

Sign of local Gradient (SLG) - Illumination Invariance



Iris Based Recognition System: Steps Involved



Knuckleprint Based Recognition System: Steps Involved



Palmprint Based Recognition System: Steps Involved



Feature Extraction [2]

- Eigen values of autocorrelation matrix *M* is used to calculate good corner features.
- Matrix M can be defined for any pixel at ith row and jth column of edgecode as:

$$M(i,j) = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$$
(2)

such that

$$\begin{split} A &= \sum_{-K \leq a, b \leq K} w(a, b). l_x^2 (i + a, j + b) \\ B &= \sum_{-K \leq a, b \leq K} w(a, b). l_x (i + a, j + b). l_y (i + a, j + b) \\ C &= \sum_{-K \leq a, b \leq K} w(a, b). l_y (i + a, j + b). l_x (i + a, j + b) \\ D &= \sum_{-K \leq a, b \leq K} w(a, b). l_y^2 (i + a, j + b) \end{split}$$

where w(a, b) is the weight given to the neighborhood, $I_x(i + a, j + b)$ and $I_y(i + a, j + b)$ are the partial derivatives sampled within the $(2K + 1) \times (2K + 1)$ window centered at each selected pixel.



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Matching (Lukas Kanade Tracking) [1]

$$I(x, y, t) \approx I(x + \Delta x, y + \Delta y, t + \Delta t)$$
(3)

$$I_X V_X + I_Y V_Y = -I_t \tag{4}$$

where V_x , V_y are the respective components of the optical flow velocity for pixel I(x, y, t) and I_x , I_y and I_t are the derivatives in the corresponding directions.

Spatial Coherency: Spatial coherency assumes that a local patch of of size 5 × 5 neighborhood (*i.e* 25 neighboring pixels, P₁, P₂ . . . P₂₅) moves coherently .

$$\underbrace{\begin{pmatrix} l_x(P_1) & l_y(P_1) \\ \vdots & \vdots \\ l_x(P_{25}) & l_y(P_{25}) \end{pmatrix}}_{\mathsf{C}} \times \underbrace{\begin{pmatrix} \mathsf{V}_x \\ \mathsf{V}_y \end{pmatrix}}_{\mathsf{V}} = -\underbrace{\begin{pmatrix} l_t(P_1) \\ \vdots \\ l_t(P_{25}) \end{pmatrix}}_{\mathsf{D}}$$
(5)

where rows of the matrix C represent the derivatives of image I in x, y directions and those of D are the temporal derivative at 25 neighboring pixels. The 2 \times 1 matrix $\hat{\mathbf{V}}$ is the estimated flow of the current feature point determined as

$$\widehat{\mathbf{V}} = (C^T C)^{-1} C^T (-D) \tag{6}$$

Proposed Geometric and Statistical Constraints

▶ Algo

- **Vicinity Bound**: The euclidean distance between a(i, j) and its estimated tracked location should be less than or equal to a pre-assigned threshold **Th**_d.
- **Patch-wise Error Bound**: The tracking error T_{error} defined as pixel-wise sum of absolute difference between a local patch centered at a(i,j) and that of its estimated tracked location patch should be less than or equal to a pre-assigned threshold \mathbf{Th}_{e} .
- **Correlation Bound**: The phase only correlation *POC* between a local patch centered at a(i,j) and that of its estimated tracked location patch should be more than or equal to a pre-assigned threshold **Th**_p.

However all tracked corners may not be the true matches, because of noise, local non-rigid distortions and less difference in inter class matching as compared with intra class matching. Hence a notion of consistent optical flow is introduced. The fraction of matchings FAILED to satisfy the above mentioned bounds is considered as the dissimilarity score.

Database Specifications

Subject	Pose	Total	Training	Testing	Genuine Match- ing	Imposter Match- ing			
Casia V4 Interval (Iris)									
249 (395 Iris)	7	2,639	First 3	Rest	3,657	1,272,636			
Casia V4 Lamp (Iris)									
411 (819 Iris)	20	16,212	First 10	Last 10	78,300	61,230,600			

Subject	Pose	Total	Training	Testing	Genuine Matching	Imposter Matching				
	PolyU (Knuckleprint)									
165 (660 Knuckles)	12	7920	First 6	Last 6	23,760	$15,\!657,\!840$				

Subject	Pose	Total	Training	Testing	Genuine	Imposter			
					Matching	Matching			
Casia (Palmprint Left hand)									
290 Palms	8	2,320	First 4	Last 4	4,640	1,340,960			
Casia (Palmprint Right hand)									
276 Palms	8	2,208	First 4	Last 4	4,416	1,214,400			
	Casia (Palmprint Left $+$ Right hand)								
566 Palms	8	4,528	First 4	Last 4	9,056	$5,\!116,\!640$			
		PolyU	U (Palmpri	nt Left ha	und)				
193 Palms	20	3,860	First 10	Last 10	19,300	3,705,600			
		\mathbf{PolyU}	(Palmprin	t Right h	and)				
193 Palms	20	3,860	First 10	Last 10	19,300	3,705,600			
	PolyU (Palmprint Left + Right hand)								
386 Palms	20	7,720	First 10	Last 10	38,600	$14,\!861,\!000$			

Performance Analysis - Unimodal Iris

▶ ROC

Systems	Interval						
	DI	CRR%	$\mathrm{EER\%}$				
Daugman [24]	1.96	99.46	1.88				
Li Ma [67]	-	95.54	2.07				
Masek $[50]$	1.99	99.58	1.09				
K. Roy [67]	-	97.21	0.71				
Proposed	2.02	100	0.109				
		Lamp					
Daugman [24]	1.2420	98.90	5.59				
Proposed	1.50	99.87	1.300				

Performance Analysis - Unimodal Knuckleprint

Algorithm	Equal Error Rate
Compcode [39]	1.386
BOCV [3]	1.833
ImCompcode and MagCode [78]	1.210
MoriCode [32]	1.201
MtexCode [32]	1.816
MoriCode and MtexCode [32]	1.0481
vcode	1.5151
hcode	4.2929
vcode + hcode	0.934343

Performance Analysis - Unimodal Palmprint

Approach	Database	CRR $\%$	EER $\%$
PalmCode [82]	Palm (CASIA)	99.62	3.67
PalmCode [82]	Palm (PolyU)	99.92	0.53
CompCode [39]	Palm (CASIA)	99.72	2.01
CompCode [39]	Palm (PolyU)	99.96	0.31
OrdinalCode [72]	Palm (CASIA)	99.84	1.75
OrdinalCode [72]	Palm (PolyU)	100.00	0.08
Palm-Zernike [11]	Palm (CASIA)	99.75	2.00
Palm-Zernike [11]	Palm (PolyU)	100.00	0.2939
Proposed	Palm (CASIA)	100.00	0.1551
Proposed	Palm (PolyU)	99.95	0.4145

Performance Analysis - Bi-Modal Iris + Knuckleprint

Subject	Pose	Total	Training	Testing	Genuine	Imposter			
_					Matching	Matching			
		Casia V4 Interval (Iris	s)						
249 (395 Iris)	7	2,639	First 3	Rest	3,657	1,272,636			
Casia V4 Lamp (Iris)									
411 (819 Iris)	20	16,212	First 10	Last 10	78,300	61,230,600			
		PolyU (Knuckleprint))						
165 (660 Knuckleprint)	12	7920	First 6	Last 6	23,760	15,657,840			
	I	Multimodal (Iris Interval + Knucklep	rint PolyU)	MM1					
395 (Iris Fused Knuckleprint)	7	2,639 Iris and 2,639 Knuckleprint	First 3	Rest	3,657	1,272,636			
Multimodal (Iris Lamp + Knuckleprint PolyU) MM2									
660 (Iris Fused Knuckleprint)	12	16,212 Iris and 16,212 Knuckleprint	First 6	Last 6	23,760	15,657,840			

Description	DI	EER(%)	Accuracy(%)	EUC	CRR(%)				
Iris Casia Interval Database									
fusion	2.0182	0.1093	99.910	0.0009	100				
Iris Casia Lamp Database									
fusion	1.5045	1.3005	98.859	0.2407	99.8722				
	Kn	uckleprint	PolyU Database						
fusion	2.0374	0.9343	99.2550	0.2566	99.7979				
Multimoda	al (Iris Int	erval + Kn	uckleprint Poly	J) MM1 D	atabase				
fusion	2.3191	0.0273	99.975	0.0006	100				
Multimod	Multimodal (Iris Lamp + Knuckleprint PolyU) MM2 Database								
fusion	2.2545	0.08330	99.927	0.002	100				

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Performance Analysis - Bi-Modal Palmprint + Knuckleprint

		Traits / Fusion Specifications	Training	Testing	Genuine	Imposter
			Images	Images	Matches	Matches
Dat	aset	τ	JNIMODAI			
PALM ((CASIA)	LEFT HAND	$290 \times 4 =$	$290 \times 4 =$	4640	1340960
			1160	1160		
PALM ((CASIA)	RIGHT HAND	$276 \times 4 =$	$276 \times 4 =$	4416	1214400
			1104	1104		
PALM ((CASIA)	FULL DB (LEFT + RIGHT)	$566 \times 4 =$	$566 \times 4 =$	9056	5116640
			2264	2264		
PALM (POLYU)	LEFT HAND	$193 \times 10 =$	$193 \times 10 =$	19300	3705600
			1930	1930		
PALM (POLYU)	RIGHT HAND	$193 \times 10 =$	$193 \times 10 =$	19300	3705600
			1930	1930		
PALM (POLYU)	FULL DB (LEFT + RIGHT)	$386 \times 10 =$	$386 \times 10 =$	38600	14861000
			3860	3860		
KNUCKLI	E (POLYU)	LEFT HAND	$330 \times 6 =$	$330 \times 6 =$	11880	3908520
			1980	1980		
KNUCKLI	\in (POLYU)	RIGHT HAND	$330 \times 6 =$	$330 \times 6 =$	11880	3908520
			1980	1980		
KNUCKLI	s (POLYU)	FULL DB (LEFT + RIGHT)	$660 \times 6 =$	$660 \times 6 =$	23760	15681600
			3960	3960		
Dataset	Traits	M	ULTIMOD	AL .		
A1	2	ALL PALM(CASIA).	$566 \times 4 =$	$566 \times 4 =$	9056	5116640
	-	ALL.KNUCKLE(POLYU)	2264	2264	0000	0110010
A2	2	ALL.PALM(POLYU).	$386 \times 6 =$	$386 \times 6 =$	13896	5349960
	-	ALL.KNUCKLE(POLYU)	2316	2316		
A3	6	L.PALM(CASIA), R.PALM(CASIA),	$165 \times 4 =$	$165 \times 4 =$	2640	432960
		LINDEXKNUCKLE(POLYU).	660	660		
		L.MIDDLEKNUCKLE(POLYU),				
		R.INDEXKNUCKLE(POLYU),				
		R.MIDDLEKNUCKLE(POLYU)				
A4	3	L.PALM(CASIA), R.PALM(CASIA),	$276 \times 4 =$	$276 \times 4 =$	4416	1214400
		ALL.KNUCKLE(POLYU)	1104	1104		
A5	3	ALL.PALM(CASIA),	$330 \times 4 =$	$330 \times 4 =$	5280	1737120
		L.KUNCKLE(POLYU)[LI+LM],	1320	1320		
		R.KUNCKLE(POLYU)[RI+RM]				
A6	6	L.PALM(POLYU),	$165 \times 6 =$	$165 \times 6 =$	5940	974160
		R.PALM(POLYU),	990	990		
		L.INDEXKNUCKLE(POLYU),				
		L.MIDDLEKNUCKLE(POLYU),				
		R.INDEXKNUCKLE(POLYU),				
		R.MIDDLEKNUCKLE(POLYU)				
A7	3	L.PALM(POLYU),	$193 \times 6 =$	$193 \times 6 =$	6948	1334016
		R.PALM(POLYU),	1158	1158		
1.0		ALL.KNUCKLE(POLYU)			11000	2000520
A8	3	ALL.PALM(POLYU),	$330 \times 6 =$	$330 \times 6 =$	11880	3908520
		L.KNUCKLE(POLYU)[LI+LM],	1980	1980		
		R.KUNCKLE(POLYU)[RI+RM]		1		

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Performance Analysis - Bi-Modal Palmprint + Knuckleprint

		Traits / Fusion Specifica-	ď	CRR	EER
		tions			
Dataset	Traits Fused	MULTIMOI	DAL		
A1	2	ALL.PALM(CASIA),	2.78	100	0.02
		ALL.KNUCKLE(POLYU)			
A2	2	ALL.PALM(POLYU),	2.43	100	0.04
		ALL.KNUCKLE(POLYU)			
A3	6	L.PALM(CASIA),	4.62	100	0.0
		R.PALM(CASIA),			
		L.INDEXKNUCKLE(POLYU),			
		L.MIDDLEKNUCKLE(POLYU),		
		R.INDEXKNUCKLE(POLYU),			
		R.MIDDLEKNUCKLE(POLYU)		1
A4	3	L.PALM(CASIA),	3.90	100	0.0
		R.PALM(CASIA),			
		ALL.KNUCKLE(POLYU)			
A5	3	ALL.PALM(CASIA),	3.39	100	0.0
		L.KUNCKLE(POLYU)[LI+LM]	,		
		R.KUNCKLE(POLYU)[RI+RM	[]		
A6	6	L.PALM(POLYU),	2.89	100	0.02
		R.PALM(POLYU),			
		L.INDEXKNUCKLE(POLYU),			
		L.MIDDLEKNUCKLE(POLYU),		
		R.INDEXKNUCKLE(POLYU),			
		R.MIDDLEKNUCKLE(POLYU)		
A7	3	L.PALM(POLYU),	2.95	100	0.0
		R.PALM(POLYU),			
		ALL.KNUCKLE(POLYU)			
A8	3	ALL.PALM(POLYU),	2.53	100	0.04
		L.KNUCKLE(POLYU)[LI+LM]	,		
		R.KUNCKLE(POLYU)[RI+RM	[]		

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Tri-Modal (Iris + Knuckleprint + Palmprint) - 1 Iris : Interval, Knuckleprint : PolyU, Palmprint : Casia.

Biom	etric Trait	$s \Rightarrow$	Iris		Knuckle		Palmprint		Fusion	
Testing	Total	Total	FA	FR	FA	FR	FA	FR	FA	FR
Strategy	Genuine	Imposter	EER	CRR	EER	CRR	EER	CRR	EER	CRR
1Tr-1Test	349	121452	240	0.66	1849	5	235.33	0.66	0	0
111-11050	049	121402	0.19	99.80	1.47	97.80	0.19	99.90	0	100
1Tr-4Test	1210	494919	476.33	1.33	7300	21	948.66	2.66	0	0
111-41030	11-41est 1219 4	121212	0.11	99.91	1.72	97.62	0.22	99.83	0	100
2Tr-1Test	698 242904	2/2004	464	1.33	3670	10.66	464	1.66	0	0
211-11050	030	242904	0.19	100	1.51	99.13	0.19	100	0	100
2Tr-4Tost	2438	848494	1033	3	14616	42	1971.33	5.66	0	0
211-41050	2400	040424	0.12	100	1.72	99.23	0.23	99.91	0	100
2Tr-1Tost	10.47	264256	696	2	5568	16	694	2	0	0
31r-11est 1047	1047	004000	0.19	100	1.72	99.67	0.24	99.91	0	100
20 40 at 2657	1976203	1392	4	21923	63	3007	9	0	0	
511-41est	0007	12/0293	0.10	100	1.72	99.67	0.24	99.91	0	100

Database Specifications for Interval_Casia containing images from 349 Subjects in (3 + 4) = 7 different poses. First 3 images are considered for training and Last 4 are taken as testing.

Aditya Nigam (CSE, IITK)

Tri-Modal (Iris + Knuckleprint + Palmprint) - 2 Iris : Interval, Knuckleprint : PolyU, Palmprint : PolyU.

Biome	etric Trait	$s \Rightarrow$	Iris Knuckle		ckle	Palmprint		Fusion		
Testing	Total	Total	FA	FR	FA	FR	FA	FR	FA	FR
Strategy	Genuine	Imposter	EER	CRR	EER	CRR	EER	CRR	EER	CRR
1Tr-1Teet	340	191459	240	0.66	1849	5	617.66	1.66	0	0
111-11050	049	121402	0.19	99.80	1.47	97.80	0.49	99.33	0	100
1 Tr 4 Toet	1910	494919	476.33	1.33	7300	21	1564.66	4.33	0	0
111-41050	41est 1219 42421	424212	0.11	99.91	1.72	97.62	0.36	99.67	0	100
2Tr-1Toet	608	949004	464	1.33	3670	10.66	1325	3.66	0	0
211-11050	030	242904	0.19	100	1.51	99.13	0.53	99.52	0	100
2Tr-4Test	9/38	848494	1033	3	14616	42	3188.66	9	0	0
211-41030	2450	040424	0.12	100	1.72	99.23	0.37	99.86	0	100
2Tr-1Toet	1047	364356	696	2	5568	16	2088	6	0	0
511-11est	-11est 1047 3043	004000	0.19	100	1.72	99.67	0.57	99.71	0	100
2Tr 4Test	2657	1976902	1392	4	21923	63	4872	14	0	0
511-41est	3037	1210295	0.10	100	1.72	99.67	0.38	99.91	0	100

Database Specifications for Interval_PolyU containing images from 349 Subjects in (3 + 4) = 7 different poses. First 3 images are considered for training and Last 4 are taken as testing.

Aditya Nigam (CSE, IITK)

Tri-Modal (Iris + Knuckleprint + Palmprint) - 3 Iris : Lamp, Knuckleprint : PolyU, Palmprint : PolyU.

Biometric Traits \Rightarrow			Iris		Knuckle		Palm	\mathbf{rint}	Fusion	
Testing	Total	Total	FA	FR	FA	FR	FA	FR	FA	FR
Strategy	Genuine	Imposter	EER	CRR	EER	CRR	EER	CRR	EER	CRR
1Tr-1Test	386	148610	2435	6.33	2181	5.66	1026.6	2.66	0	0
			1.64	97.23	1.46	98.01	0.69	99.13	0	100
1 Tr -6 Test	2316	891660	11896.6	31	16168.6	42	5647	14.66	0	0
			1.33	97.85	1.81	97.39	0.63	99.4	0	100
2Tr-1Test	772	297220	5135	13.33	4107	10.66	2053.3	5.33	0	0
			1.72	98.87	1.38	99.22	0.69	99.48	0	100
2Tr-6Test	4632	1783320	23873	62	32818	85.33	1293.6	29.33	0.66	0
			1.33	99.32	1.84	98.90	0.63	99.78	0.000019	100
3Tr-1Test	1158	445830	4715.5	12.25	7122.7	18.5	4138.2	10.75	0	0
			1.05	99.64	1.59	99.61	0.92	99.54	0	100
3Tr-6Test	6948	2674980	36720.2	95.5	44253.7	115	22522.2	58.5	103.2	0.5
			1.37	99.08	1.65	99.56	0.84	99.8	0.0055	100
4Tr-1Test	1544	594440	6288.6	16.33	9624	25	5580.3	14.66	0	0
			1.05	99.91	1.61	99.65	0.94	99.74	0	100
4Tr-6Test	9264	3566640	48894	127	58804.3	152.6	30028.3	78	191.33	0.66
			1.37	99.35	1.64	99.78	0.84	99.83	0.00628	100
5Tr-1Test	1930	743050	8661.5	22.5	1551.1	30	6929	18	0	0
			1.16	100	1.55	99.74	0.93	99.74	0	100
5Tr-6Test	11580	4458300	60251	156.5	72765	189	37416	97	374	1
			1.35	99.65	1.63	99.87	0.83	99.91	0.0085	100
6Tr-1Test	2316	891660	10780	28	13090	34	8065	21	0	0
			1.20	100	1.46	99.74	0.9	99.74	Ó	100
6Tr-6Test	13896	5349960	70833	184	87401	227	43504	113	385	1
			1.32	99.87	1.63	99.87	0.81	99.91	0.0071	100

Database Specifications for Lamp_PolyU containing images from 386 Subjects in (6+6) = 12 different poses. First 6 images are considered for training and Last 6 are taken as testing.

Aditya Nigam (CSE, IITK)

Tri-Modal (Iris + Knuckleprint + Palmprint) - 4 Iris : Lamp, Knuckleprint : PolyU, Palmprint : Casia.

${\bf Biometric \ Traits} \Rightarrow$			Iris		Knuckle		Palmprint		Fusion	
Testing	Total	Total	FA	FR	FA	FR	FA	FR	FA	FR
Strategy	Genuine	Imposter	EER	CRR	EER	CRR	EER	CRR	EER	CRR
1Tr-1Test	566	319790	4970.33	8.66	6027.66	10.66	385.33	0.66	0	0
			1.54	97.29	1.88	97.17	0.11	99.94	0	100
1Tr-4Test	2264	1279160	16521.33	29.33	24268.66	43	2260	4	0	0
			1.29	97.76	1.8982	97.15	0.17	99.83	0	100
2Tr-1Test	1132	639580	10735.6	19	12338.6	22	753.3	1.33	0	0
			1.67	98.93	1.93	98.70	0.11	100	0	100
2Tr-4Test	4528	2558320	33465.6	59.33	48589	86	4906.3	8.66	0	0
			1.30	99.23	1.89	98.86	0.19	99.94	0	100
3Tr-1Test	1698	959370	14690	26	20118.5	35.5	1130	2	0	0
			1.53	99.38	2.09	98.85	0.11	100	0	100
3Tr-4Test	6792	3837480	51091.5	90.5	74019.5	131	6095	11	0	0
			1.33	99.51	1.92	99.18	0.16	99.97	0	100
4Tr-1Test	2264	1279160	18189	32	27119	48	1130	2	0	0
			1.41	99.64	2.12	99.29	0.08	100	0	100
4Tr-4Test	9056	5116640	66217	117	99902	177	7912	14	0	0
			1.29	99.77	1.95	99.55	0.15	100	0	100

Database Specifications for Lamp_Casia containing images from 566 Subjects in (4 + 4) = 8 different poses. First 4 images are considered for training and Last 4 are taken as testing.

Aditya Nigam (CSE, IITK)

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- Aditya Nigam and Phalguni Gupta, "Personal Authentication System using Iris and knuckleprint", 10th International Conference on Intelligent Computing (ICIC 2014), Taiyuan, China, August 3-6, 2014.
- Aditya Nigam and Phalguni Gupta, "Designing An Accurate Hand Biometric Based Authentication System Fusing Finger Knuckleprint with Palmprint" Neurocomputing, Elsevier (Impact Factor: 1.634), [Accepted].
- Aditya Nigam and Phalguni Gupta, "Quality Assessment of Knuckleprint Biometric Images" IEEE 20th International Conference on Image Processing (ICIP 2013), Melbourne, Australia, September 15-18, 2013. [Cited by - 01]
- Aditya Nigam and Phalguni Gupta, "Multimodal Personal Authentication System Fusing Palmprint" 9th International Conference on Intelligent Computing (ICIC 2013), Nanning, China, July 28-31, 2013.

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- Aditya Nigam, Anvesh T. and Phalguni Gupta, "Iris Classification Based on its Quality" 9th International Conference on Intelligent Computing (ICIC 2013), Nanning, China, July 28-31, 2013.
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- Aditya Nigam , Phalguni Gupta "Comparing Human Faces using Edge Weighted Dissimilarity Measure" 11th International Conference on Control, Automation, Robotics and Vision (ICARCV 2010) Singapore December, 2010. [Cited by - 11]
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Thank You



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Iris ROI Extraction - (I)

Back



(e) Modified Hough

(f) Integro-Differential

Iris ROI Extraction - 1

Back

Algorithm 4.1 Pupil Segmentation

Require:

It is image I of dimension $m \times n$, $p_{r_{min}}$: minimum pupil radius, $p_{r_{max}}$: maximum pupil radius, t: binary threshold.

Ensure:

Pupil center c_p with co-ordinates as (c_n^x, c_n^y) , Pupil radius p_r .

- 1: $I_t \leftarrow threshold(I,t)$; // generate binary image after thresholding
- 2: $I_{tf} \leftarrow remove_specular_reflection(I_t);$ // flood filling
- 3: $I_g, I_{gh}, I_{gv} \leftarrow Sobel(I_{tf});$ // Sobel edge detection
- 4: $I_{ab} \leftarrow Threshold_Gradient(I_a); // choosing the best edge points$
- 5: $\vec{E} \leftarrow$ white pixels in I_{gb} ; // collect the edge points in I_{qb}
- 6: for all Edge pixels $(x, y) \in E$ do

 $\theta(x,y) \leftarrow tan^{-1} \left(\frac{I_{gv}(x,y)}{I_{ab}(x,y)} \right); // \text{ edge orientation at a point}$ 7: 8: end for

9: $A(m, n, p_{r_{max}}) \leftarrow 0; //$ 3-D array initialization for voting

- for all Edge pixels $(x, y) \in E$ do 10:
- for $r = p_{r_{min}}$ to $p_{r_{max}}$ do 11:
- Compute (c_1^x, c_2^y) , (c_2^x, c_2^y) by putting (x, y) and $\theta(x, y)$ in eqs. (4.3)-(4.6); 12:
- if Point (c_1^x, c_1^y) lies within I_{qb} image then 1.3:
- $A(c_1^x, c_1^y, r) \leftarrow A(c_1^x, c_1^y, r) + 1; // \text{Vote Casting}$ 14:
- end if 15:

```
16:
          if Point (c_2^x, c_2^y) lies within I_{gb} image then
```

```
A(c_2^*, c_2^*, r) \leftarrow A(c_2^*, c_2^*, r) + 1; // \text{Vote Casting}
17:
```

- 18: end if
- end for 19.
- 20: end for

```
21: c_n^x \leftarrow argmax_{(i)} A(i, j, k);
```

- 22: $c_p^y \leftarrow argmax_{(j)} A(i, j, k);$
- 23: $p_r \leftarrow argmax_{(k)} A(i, j, k);$

Iris ROI Extraction - 2 (I)

Back

Algorithm 4.2 Iris Segmentation

Require:

Iris image I of dimension $m \times n$, $i_{r_{min}}$: minimum iris radius, $i_{r_{max}}$: maximum iris radius, $(c_p^{\sigma}, c_p^{\sigma})$: Pupil center, p_r : pupil radius, W: search window, α_{ranne} : angular range defining the occlusion free sectors.

Ensure:

Iris center $c_i(c_i^x, c_i^y)$, iris radius i_r .

1: $I_s \leftarrow GaussSmooth(I, \sigma = 0.5, k = 3); // k$: kernel size, Gaussian noise removal 2: $max_{diff} \leftarrow 0; //$ the maximum change in contour summation

3: for all points $(c^x, c^y) \in [W \times W]$ window around (c^x_p, c^y_p) do

4: $prev_{sum} \leftarrow 0$; // previous circular summation of intensity values

5: $start_{flag} \leftarrow True; //$ no circle has yet been summed up

6: for
$$r = i_{r_{min}}$$
 to $i_{r_{max}}$ do

7:
$$c_{sum} \leftarrow 0;$$

8: for all $\alpha \in \alpha_{range}$ do

9: $c_{sum} \leftarrow c_{sum} + I(c^x - r\sin(\alpha), c^y + r\cos(\alpha)); // \text{sector-wise summation}$

10: end for

```
11: diff_{sum} \leftarrow c_{sum} - prev_{sum}; // calculation of difference of sum
```

```
12: prev_{sum} \leftarrow c_{sum};
```

```
13: if diff_{sum} > max_{diff} and start_{flag} \neq True then
```

```
14: max_{diff} \leftarrow diff_{sum};
```

15:
$$c_i^x \leftarrow c^x, c_i^y \leftarrow c^y, i_r \leftarrow r; // \text{ update the parameters}$$

16: end if

17:
$$start_{flag} \leftarrow False; // a circle has been summed up$$

Palmprint ROI Extraction - 1 (I)

▶ Back

Algorithm 6.1 Palmprint ROI Extraction

Require:

Full acquired palmprint image I of dimension $m \times n$ as shown in Fig. 6.2(a). **Ensure:**

The cropped palmprint ROI as shown in Fig. 6.2(d).

- 1: Threshold the palmprint image I_p to extract the hand contour C.
- 2: Over the hand contour C find the coordinates of four key points X_1, X_2, V_1, V_2 as shown in Fig.6.2(b).
- 3: Compute C_1 as the intersection point of hand contour and line passing from V_1 with a slope of 45° .
- 4: Compute C_2 as the intersection point of hand contour and line passing from V_2 with a slope of $60^\circ.$
- 5: Midpoints of the line segments V_1C_1 and V_2C_2 are considered as one side of the required square palmprint ROI.
- 6: Extract the required square palmprint ROI as shown in Fig. 6.2(d).

Knuckleprint ROI Extraction - 1 (I)

▶ Back

Algorithm 5.1 Knuckleprint ROI Detection

Require:

Raw Knuckleprint image I of size $m \times n$.

Ensure:

The knuckleprint ROI FKP_{ROI} , of size $(2 * w + 1) \times (2 * h + 1)$.

- 1: Enhance the FKP image I to I_e using CLAHE;
- 2: Binarize I_e to I_b using Otsu thresholding;
- 3: Apply Canny edge detection over I_b to get I_{cedges} ;
- 4: Extract the largest connected component in I_{cedges} as FKP raw boundary, (FKP_{Bound}^{raw}) ;
- 5: Erode the detected boundary FKP_{Bound}^{raw} to obtain continuous and smooth FKP boundary, FKP_{Bound}^{smooth} ;
- 6: Extract the knuckle area K_a = All pixels in image $I \in$ the $ConvexHull(FKP_{Bound}^{smooth});$
- ConvexHull(FKP_{Bound}^{smooth}); 7: Apply the knuckle filter $F_{kp}^{0.01,30}$ over all pixels $\in K_a$;
- 8: Binarize the filter response using f * max as the threshold;
- 9: The central knuckle line (c_{kl}) , is assigned as that column which is having the maximum knuckle filter response;
- 10: The mid-point of top and bottom boundary points over $c_{kl} \in K_a$, is defined as the central knuckle point (c_{kp}) .
- 11: The knuckle ROI (FKP_{ROI}) is extracted as the region of size $(2*w+1) \times (2*h+1)$ from raw knuckleprint image I, considering c_{kp} as its center point.

Iris Quality - 1

Back

Algorithm 4.3 Eyelid Detection

Require: Normalized Iris image NI of dimension $m \times n$, $s : (s_x, s_y)$: initial seed point in NI, t: region-growing threshold

Ensure: Eyelid region LID, Eyelid Mask Mask_{eyelid}

- 1: $S \leftarrow s$ // Seed point is added to eyelid set S
- 2: $M = NI(s_x, s_y)$ // Mean of set S
- 3: while True do
- $4: \quad min \leftarrow Infinity, \ minPoint \leftarrow \phi$
- 5: for all unallocated neighboring pixels (x, y) of S do
- 6: **if** |NI(x, y) M| < min **then**
- 7: $\min \leftarrow |NI(x, y) M| //$ absolute difference with region's mean
- 8: $minPoint \leftarrow (x, y) // \text{keep track of the best point}$
- 9: end if
- 10: end for
- 11: **if** min > t or size(S) = = size(NI) **then**
- 12: **break** // stop region-growing
- 13: end if
- 14: $S \leftarrow S \cup minPoint // add$ the best point to the set
- 15: $M \leftarrow mean(S) //$ update the mean value
- 16: end while
- 17: $LID \leftarrow S$
- 18: $Mask_{eyelid} \leftarrow NI(LID) //$ eyelid mask
Iris Quality - 2 (I)

Back

Algorithm 4.4 Eyelid Detection

Require: Normalized Iris image NI of dimension $r \times c$

Ensure: Eyelash MaskMaskeyelash

SD ← filter2D(NI, std(3,3))// 2D filtering with 3x3 standard deviation filter
SD ← SD // normalize w.r.t. maximum value
N = NI // standard intensity values(0-1) of NI
F = 0.5 × SD + 0.5 × (1 - N) // fusion of std. deviation and intensity values.
F_H ← imhist(F) // image histogram
Thresh ← Otsu(F_H) // determine Otsu threshold
Mask_{eyelash} ← threshold(F_H, Thresh) // Otsu thresholding: Mask_{eyelash}(x, y) is set only if (x, y) is an eyelash pixel

Iris Quality - 3 (I)



(a) Image 1 (b) Image 2 (c) Image 3 (d) Image 4 (e) Image 5

Image	Focus	Blur	Occlusion	Contrast	Dilation	Reflection	Quality
Image 1	0.2034	0.6783	0.8834	0.8873	0.8348	0.9865	5
Image 2	0.1985	0.6138	0.7289	0.8915	0.8517	0.9937	4
Image 3	0.1536	0.4974	0.7049	0.7760	0.7739	0.9103	3
Image 4	0.1648	0.5088	0.6790	0.7954	0.7856	1.0000	2
Image 5	0.1156	0.4067	0.2819	0.6659	0.7840	0.9589	1
Aditya Nigam (CSE, IITK)			Defense Seminar at IIT, Kanpur			February 5, 20	

February 5, 2015

Knuckleprint Quality - 1 (I)

Back

Algorithm 5.2 Uniformity based Quality Attribute (S)

Require: The *vle* and *wf* pixel set for the input image (I) of size $m \times n$.

Ensure: Return the value S for the input image (I).

1. $F_{map} = and(wf, vle); [focus mask]$

2. M_1, M_2 =Mid-point of Left half $(\frac{n}{2}, \frac{n}{2})$ and Right half $(\frac{m+n}{2}, \frac{n}{2})$ of the input image (I);

3. Apply 2-Mean Clustering over pixel set F_{map} ;

4. $C_1, C_2, nc_1, nc_2, std_1, std_2$ =Mean loc., Number of pixels and Standard dev. of Left and Right cluster respectively;

5. d_1, d_2 = Euclidean Distance between point C_1 and M_1 and that of between C_2 and M_2 respectively;

$$\begin{array}{l} 6. \ d=0.7*max(d_1,d_2)+0.3*min(d_1,d_2);\\ 7.p_r=\frac{max(nc_1,nc_2)}{min(nc_1,nc_2)}; [\text{Cluster Point Ratio}]\\ 8.std_r=\frac{max(std_1,std_2)}{min(std_1,std_2)}; [\text{Cluster Standard Dev. Ratio}]\\ 9.comb_r=0.8*p_r+0.2*std_r;\\ 10.D_{std}=1-\frac{d}{\sqrt{std_1^2+std_2^2}};\\ 11.D_{nc}=1-\frac{d}{\sqrt{nc_1^2+nc_2^2}};\\ 12.S=0.5*d+0.2*comb_r+0.15*D_{std}+0.15*D_{nc} \end{array}$$

Proposed Geometric and Statistical Constraints - 1 (I)

▶ Back

Algorithm 5.3 CIOF(knuckle_a, knuckle_b)

Require:

- (a) The vcode I_A^v, I_B^v of two knuckleprint images $knuckle_a, knuckle_b$ respectively.
- (b) The *hcode* I_A^h, I_B^h of two knuckleprint images $knuckle_a, knuckle_b$ respectively.
- (c) N_a^v , N_b^v , N_a^h and N_b^h are the number of corners in I_A^v , I_B^v , I_A^h and I_B^h respectively. **Ensure:** Return $CIOF(knuckle_a, knuckle_b)$.
 - 1: Track all the corners of vcode I_A^v in vcode I_B^v and that of hcode I_A^h in hcode I_B^h .
 - 2: Obtain the set of corners successfully tracked in *vcode* tracking (*i.e.* stc_{AB}^{v}) and *hcode* tracking (*i.e.* stc_{AB}^{h}) that have their tracked position within T_{d} , their local patch dissimilarity under T_{e} and also the patch-wise correlation is at-least equal to T_{cb} .
 - 3: Similarly compute successfully tracked corners of vcode I_B^v in vcode I_A^v (i.e. stc_{BA}^v) as well as hcode I_B^h in hcode I_A^h (i.e. stc_{BA}^h).
 - 4: Quantize optical flow direction for each successfully tracked corners into eight directions (*i.e.* at an interval of $\frac{\pi}{8}$) and obtain 4 histograms H_{AB}^v , H_{AB}^h , H_{BA}^v and H_{BA}^h using these four corner sets stc_{AB}^v , stc_{AB}^h , stc_{BA}^v and stc_{BA}^h respectively.
 - 5: For each histogram, out of 8 bins, the bin (*i.e.* direction) which is having the maximum number of corners is considered as the consistent optical flow direction. The maximum value obtained from each histogram is termed as corners having consistent optical flow represented as cof_{AB}^{v} , cof_{AB}^{h} , cof_{BA}^{v} and cof_{BA}^{h} .
 - 6: $ciof_{AB}^v = 1 \frac{cof_{AB}^v}{N_v^v}$; [Corners with Inconsis. Optical Flow (vcode)]
 - 7: $ciof_{BA}^v = 1 \frac{cof_{BA}^v}{N^v}$; [Corners with Inconsis. Optical Flow (*vcode*)]
 - 8: $ciof_{AB}^{h} = 1 \frac{cof_{AB}^{h}}{N_{a}^{h}}$; [Corners with Inconsis. Optical Flow (*hcode*)]
 - 9: $ciof_{BA}^{h} = 1 \frac{cof_{BA}^{h}}{N^{h}}$; [Corners with Inconsis. Optical Flow (*hcode*)]
- 10: return $CIOF(Knuckle_a, Knuckle_b) = \frac{ciof_{AB}^v + ciof_{AB}^h + ciof_{BA}^b + ciof_{BA}^h + ciof_{BA}^h + ciof_{BA}^h + ciof_{BA}^h + ciof_{BA}^h + ciof_{AB}^h +$

Performance Analysis - Iris(Interval)



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Performance Analysis - Iris(Lamp)



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Performance Analysis - Knuckleprint(PolyU)



Performance Analysis - Palmprint(Casia)



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Performance Analysis - Palmprint(PolyU) (I)

