

# TWO PROBLEMS OF NUMBER THEORY

Manindra Agarwal

IIT Kanpur

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# OUTLINE

1 INTRODUCTION

2 FERMAT'S LAST THEOREM

3 COUNTING PRIMES

# NUMBER THEORY

- **Number Theory is the study of properties of numbers.**
- Here, by numbers, we mean **integers**.
- Properties of reals and complex numbers fall in a different area called **Analysis**.

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# FUNDAMENTAL THEOREM OF ARITHMETIC

- The study starts with **Fundamental Theorem of Arithmetic**: every number can be written **uniquely** as a product of prime numbers.
- Hence, prime numbers are of great importance in number theory.
- Most of the problems of numbers translate to problems on prime numbers via the Fundamental Theorem.

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- Most of the problems of numbers translate to problems on prime numbers via the Fundamental Theorem.

# DIOPHANTINE PROBLEMS

- A class of problems, called **Diophantine Problems**, address the question whether an equation has integer solutions.

- For example, consider

$$x^2 + y^2 = z^2.$$

- Are there integer values of  $x$ ,  $y$ , and  $z$  that satisfy this equation?

- Answer: yes!

$$x = 3, y = 4, z = 5$$

is one solution.

- In fact, for any pair of integers  $u$  and  $v$ ,

$$x = u^2 - v^2, y = 2uv, z = u^2 + v^2$$

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- The solutions are called **Pythagorean triples**.

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# DIOPHANTINE PROBLEMS

- Another example is **Pell's equations**:

$$x^2 - ny^2 = 1$$

for non-square  $n$ .

- A solution of Pell's equation gives a good rational approximation of  $\sqrt{n}$ :

$$\left(\frac{x}{y}\right)^2 = n + \frac{1}{y^2}.$$

- **Budhayana (ca. 800 BC)** gave two solutions of  $x^2 - 2y^2 = 1$ : (17, 12) and (577, 408).
- **Lagrange (1736 - 1813)** showed that all Pell's equations have infinitely many solutions.
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# COUNTING PRIME NUMBERS

- Many questions on prime numbers are about counting:
  - ▶ How many prime numbers exist? [infinite]
  - ▶ How many prime numbers are less than  $n$ ? [About  $\frac{n}{\ln n}$ ]
  - ▶ How many twin primes (primes numbers at distance 2) are there?
  - ▶ What is the maximum gap between two consecutive primes?
- The first question was answered by Euclid (ca. 300 BC):
  - ▶ Assume there are finitely many primes.
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# TWO SPECIAL PROBLEMS

- In this talk, we consider two problems.
- First problem: how many solutions exist for the equation

$$x^n + y^n = z^n$$

when  $n > 2$ ?

- Second problem: how many prime numbers exist less than  $x$ ?
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# FERMAT'S LAST THEOREM



## THEOREM

*There are no non-zero integer solutions of the equation  $x^n + y^n = z^n$  when  $n > 2$ .*

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Towards the end of his life, Pierre de Fermat (1601-1665) wrote in the margin of a book:

I have discovered a truly remarkable proof of this theorem, but this margin is too small to write it down.

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1660s: Fermat proved the theorem for  $n = 4$ .

1753: Euler proved the theorem for  $n = 3$ .

1825: Dirichlet and Legendre proved the theorem for  $n = 5$ .

1839: Lamé proved the theorem for  $n = 7$ .

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# THE OUTLINE OF PROOF

- The proof transforms the problem to a problem in **Geometry** and then to a problem in **Complex Analysis**!
- The proof came after more than 325 years and was more than 100 pages long!
- First observe that we can assume  $n$  to be a prime number:
  - ▶ Suppose  $n = p \cdot q$  where  $p$  is prime, and let solution  $(a, b, c)$  satisfy  $x^n + y^n = z^n$ .
  - ▶ Then  $(a^q, b^q, c^q)$  satisfies  $x^p + y^p = z^p$ .
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# ELLIPTIC CURVES

## DEFINITION

An elliptic curve is given by equation:

$$y^2 = x^3 + Ax + B$$

for numbers  $A$  and  $B$  satisfying  $4A^3 + 27B^2 \neq 0$ .

- We will be interested in curves for which both  $A$  and  $B$  are rational numbers.
- Elliptic curves have truly amazing properties as we shall see.

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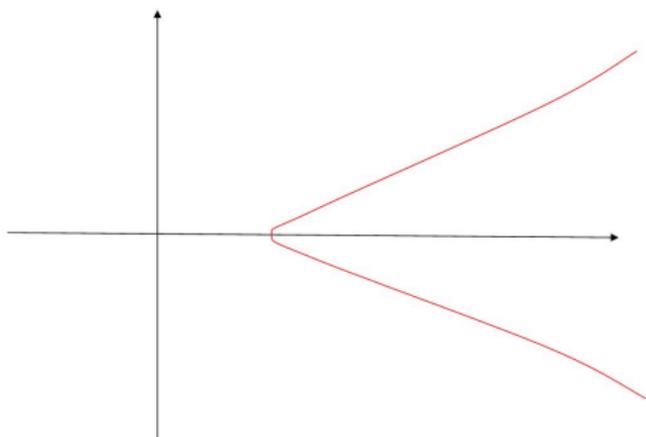
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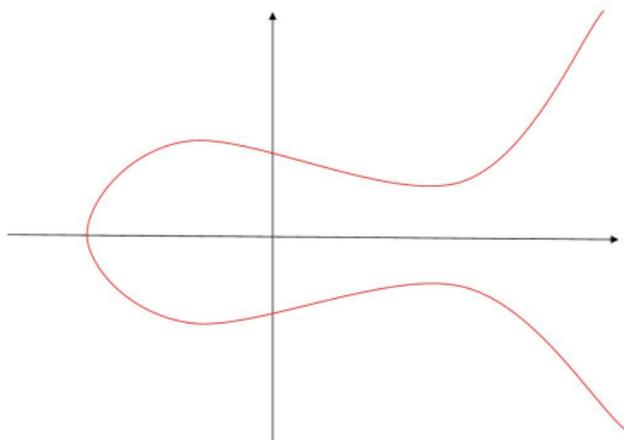
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# ELLIPTIC CURVE EXAMPLES



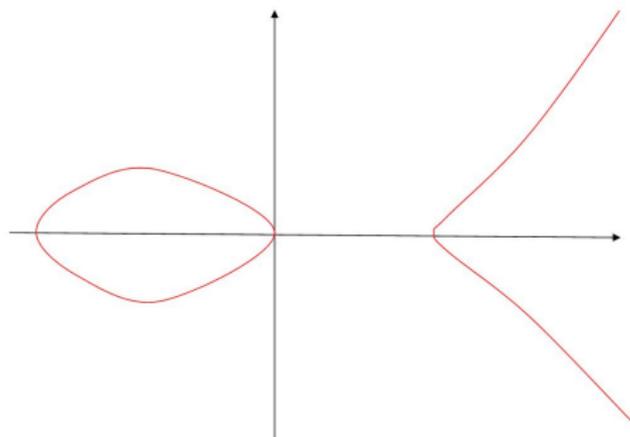
$$y^2 = x^3 - 1$$

# ELLIPTIC CURVE EXAMPLES



$$y^2 = x^3 - 3x + 3$$

# ELLIPTIC CURVE EXAMPLES



$$y^2 = x^3 - x$$

# DISCRIMINANT OF AN ELLIPTIC CURVE

- Let  $E$  be an elliptic curve given by equation  $y^2 = x^3 + Ax + B$ .
- **Discriminant  $\Delta$**  of  $E$  is the number  $4A^3 + 27B^2$ .
- We require the discriminant of  $E$  to be non-zero.
- This condition is equivalent to the condition that the three (perhaps complex) roots of the polynomial  $x^3 + Ax + B$  are distinct. [Verify!]
- If  $x^3 + Ax + B = (x - \alpha)(x - \beta)(x - \gamma)$  then

$$\Delta = (\alpha - \beta)^2(\beta - \gamma)^2(\gamma - \alpha)^2.$$

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# RATIONAL POINTS ON AN ELLIPTIC CURVE

- Let  $E(\mathbb{Q})$  be the set of **rational points** on the curve  $E$ .
- We add a “point at infinity,” called  $O$ , to this set.

## AMAZING FACT

We can define an “addition” operation on the set of points in  $E(\mathbb{Q})$  just like integer addition.

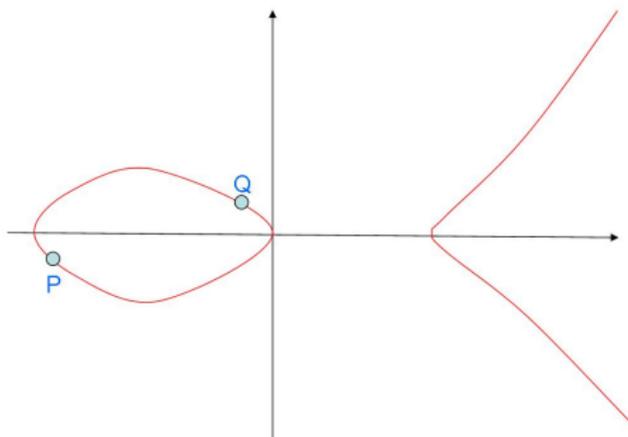
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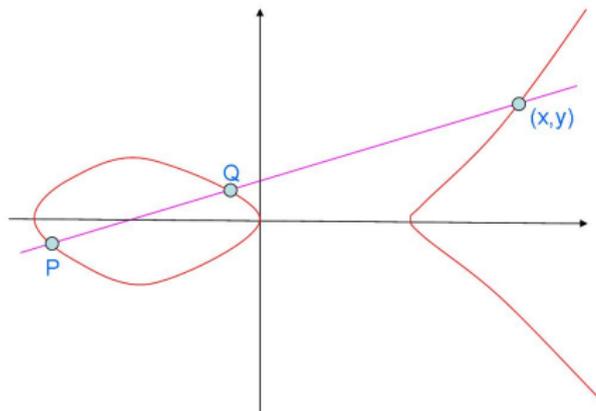
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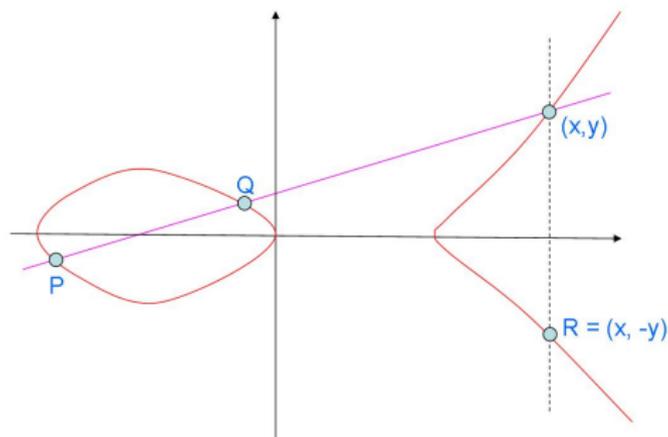


Adding points P & Q on curve  $y^2 = x^3 - x$

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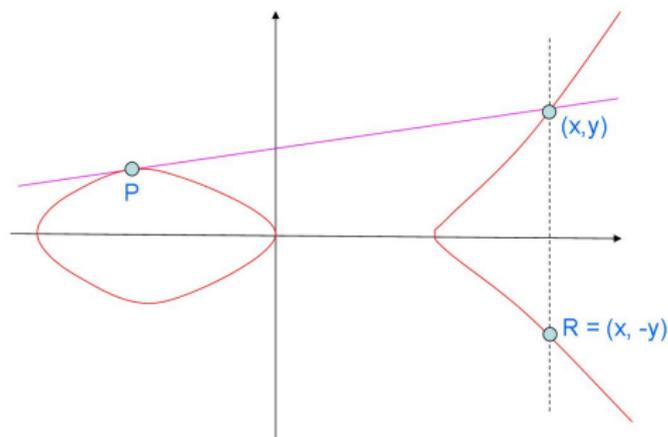


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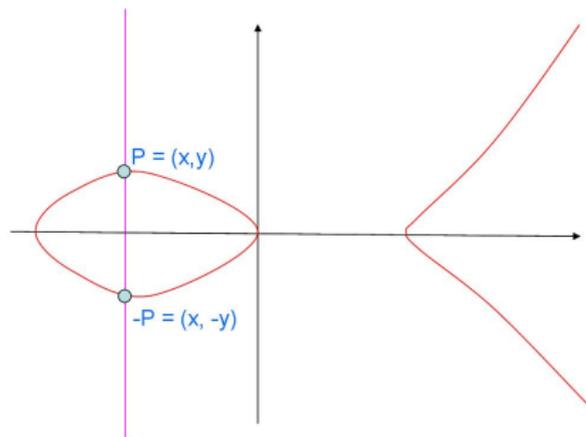
$$P + Q = R$$

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# ADDITION OF POINTS ON $E$



$$P + (-P) = O$$

# ADDITION OF POINTS ON $E$

- Observe that if points  $P$  and  $Q$  on  $E$  are rational, then point  $P + Q$  is also rational. [Verify!]
- The point addition obeys same laws as integer addition with point at infinity  $O$  acting as the “zero” of point addition.
- The point addition has some additional interesting properties too.

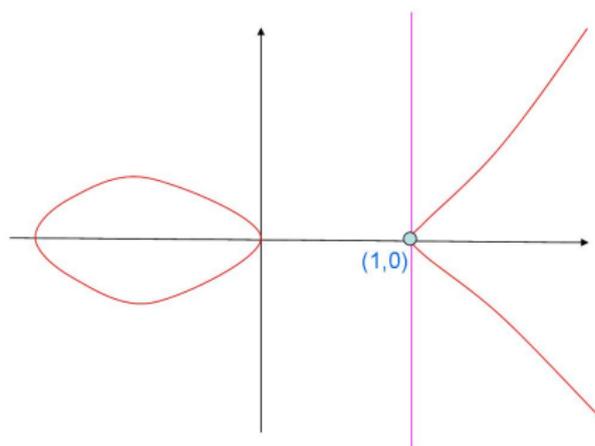
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# ADDITION OF POINTS ON $E$



$$(1,0) + (1,0) = O$$

# A SPECIAL ELLIPTIC CURVE

Let  $(a, b, c)$  be an integer solution of the equation  $x^n + y^n = z^n$  for some prime  $n > 2$ .

## DEFINITION

Define an elliptic curve  $E_n$  by the equation:

$$y^2 = x(x - a^n)(x + b^n).$$

- Discriminant of this curve is:

$$\Delta_n = (a^n)^2 \cdot (b^n)^2 \cdot (a^n + b^n)^2 = (abc)^{2n}.$$

- So the discriminant is  $2n$ th power of an integer.

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$$y^2 = x(x - a^n)(x + b^n).$$

- Discriminant of this curve is:

$$\Delta_n = (a^n)^2 \cdot (b^n)^2 \cdot (a^n + b^n)^2 = (abc)^{2n}.$$

- So the discriminant is  $2n$ th power of an integer.

# A SPECIAL ELLIPTIC CURVE

- So if there is no elliptic curve whose discriminant is a  $2n$ th power for some prime  $n > 2$  then FLT is true.
- Ribet (1988) showed that any elliptic curve of this kind is not modular.
  - ▶ Modularity is a property of a function related to a curve.
  - ▶ This function is defined over complex numbers.

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# WILES THEOREM



THEOREM (WILES, 1994)

*Every elliptic curve is modular.*

# OUTLINE

1 INTRODUCTION

2 FERMAT'S LAST THEOREM

3 COUNTING PRIMES

# DENSITY OF PRIME NUMBERS

- Define  $\pi(x)$  to be the number of primes less than  $x$ .
- We wish to obtain an estimate for  $\pi(x)$ .
- It is easier to count prime numbers with their “weights”. Let

$$\psi(x) = \sum_{1 \leq n < x} \Lambda(n)$$

where

$$\Lambda(n) = \begin{cases} \ln p, & \text{if } n = p^k \text{ for some prime } p \\ 0, & \text{otherwise} \end{cases}$$

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# BERNHARD RIEMANN (1826 - 1866)



- Riemann was a student of Gauss.
- In 1859, he wrote a paper on estimating  $\psi(x)$  which had immense impact on the development of mathematics.

# ESTIMATING $\psi(x)$

- It is generally easier to handle infinite series.
- So we will extend the sum in  $\psi(x)$  to an infinite sum.
- Define

$$\delta(x) = \begin{cases} 1, & \text{if } x > 1 \\ 0, & \text{if } 0 < x < 1 \end{cases}$$

- Then we can write

$$\psi(x) = \sum_{n \geq 1} \Lambda(n) \delta\left(\frac{x}{n}\right)$$

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$$\delta(x) = \int_{c-i\infty}^{c+i\infty} \frac{x^s}{s} ds$$

for any  $c > 0$ .

- This is shown using **Cauchy's Theorem** which states that

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for any closed contour  $C$  in the complex plane, for any differentiable function  $f$  that has no **poles** inside  $C$ .

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- The same approach gives an approximation of  $\delta$  too:

$$\delta(x) = \int_{c-iR}^{c+iR} \frac{x^s}{s} ds + O\left(\frac{x^c}{R|\ln x|}\right)$$

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- This approximation will be more useful for us.
- We can write:

$$\begin{aligned}\psi(x) &= \sum_{n \geq 1} \Lambda(n) \delta\left(\frac{x}{n}\right) \\ &= \sum_{n \geq 1} \Lambda(n) \int_{c-iR}^{c+iR} \frac{x^s}{xn^s} ds + O\left(\sum_{n \geq 1} \frac{\Lambda(n)x^c}{Rn^c|\ln \frac{x}{n}|}\right)\end{aligned}$$

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- Taking the sum inside the integral, we get

$$\begin{aligned}\psi(x) &= \int_{c-iR}^{c+iR} \frac{x^s}{s} \sum_{n \geq 1} \frac{\Lambda(n)}{n^s} ds + O\left(\sum_{n \geq 1} \frac{\Lambda(n)x^c}{Rn^c |\ln \frac{x}{n}|}\right) \\ &= \int_{c-iR}^{c+iR} \frac{x^s}{s} \sum_{n \geq 1} \frac{\Lambda(n)}{n^s} ds + O\left(\frac{x \ln^2 x}{R}\right)\end{aligned}$$

for  $c = 1 + \frac{1}{\ln x}$ .

# THE ZETA FUNCTION

- Let

$$\zeta(s) = \sum_{n \geq 1} \frac{1}{n^s}.$$

- This can be expressed in another way:

$$\begin{aligned}\zeta(s) &= \sum_{n \geq 1} \frac{1}{n^s} \\ &= \prod_{p, p \text{ prime}} \left(1 + \frac{1}{p^s} + \frac{1}{p^{2s}} + \frac{1}{p^{3s}} + \dots\right) \\ &= \prod_{p, p \text{ prime}} \frac{1}{1 - \frac{1}{p^s}}.\end{aligned}$$

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- Taking log, we get:

$$\ln \zeta(s) = - \sum_{p, p \text{ prime}} \ln\left(1 - \frac{1}{p^s}\right).$$

- Differentiating with respect to  $s$ , we get:

$$\begin{aligned} \frac{\zeta'(s)}{\zeta(s)} &= - \sum_{p, p \text{ prime}} \frac{(\ln p)p^{-s}}{1 - \frac{1}{p^s}} \\ &= - \sum_{p, p \text{ prime}} (\ln p)p^{-s} \left(1 + \frac{1}{p^s} + \frac{1}{p^{2s}} + \frac{1}{p^{3s}} + \dots\right) \\ &= - \sum_{p, p \text{ prime}} \sum_{k \geq 1} \frac{\ln p}{p^{ks}} \\ &= - \sum_{n \geq 1} \frac{\Lambda(n)}{n^s}. \end{aligned}$$

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- So if we can estimate the integral

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## ESTIMATING $I(x, R)$

- We again use Cauchy's Theorem.
- Define the contour  $C$  to be  
 $c - iR \mapsto c + iR \mapsto -U + iR \mapsto -U - iR \mapsto c - iR$ .
- However, we need to extend the definition of  $\zeta(s)$  to the entire region as the definition  $\zeta(s) = \sum_{n \geq 1} \frac{1}{n^s}$  diverges for  $\Re(s) \leq 1$ !
- Fortunately, this can be done using some tricks.
- Unfortunately, the function

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with the extended definition has many poles inside  $C$ !

- Some of the poles are at  $s = 0, 1, s = -2m$  for every positive integer  $m$ .
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# HANDLING POLES

- A generalized version of Cauchy's Theorem states that the value of contour integral equals the sum of **residues** of poles inside the contour.
- We find that the residue of  $\frac{\zeta'(s)}{\zeta(s)}$  at  $s = 1$  is  $-1$ , and at all other poles is  $1$ .
- The residue of  $\frac{x^s}{s}$  at  $s = 0$  is  $1$ .
- Hence,

$$-\oint_C \frac{x^s}{s} \frac{\zeta'(s)}{\zeta(s)} ds = x - \sum_{-R \leq \Re(\rho) \leq R} \frac{x^\rho}{\rho} + \sum_{0 < 2m < U} \frac{x^{-2m}}{2m} - \frac{\zeta'(0)}{\zeta(0)}.$$

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## ESTIMATING $I(x, R)$

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- Using this, it is straightforward to show that the integrals from  $c + iR$  to  $-U + iR$  and  $-U - iR$  to  $c - iR$  are bounded by  $O\left(\frac{x \ln^2 R}{R \ln x}\right)$ .
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All the zeroes of  $\zeta(s)$  in  $0 \leq \Re(s) \leq 1$  lie at the line  $\Re(s) = \frac{1}{2}$ .

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# USING RIEMANN HYPOTHESIS

- If the Hypothesis is true, then  $|\frac{x^\rho}{\rho}| = \frac{x^{1/2}}{|\rho|}$ .
- Applying this and simplifying, we get:

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- **Hadamard (1896)** and **Vallee Poussin (1896)** showed that no zero of  $\zeta(s)$  lies on  $\Re(s) = 1$ .
- Using this, they showed that

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or, equivalently

$$\lim_{x \rightarrow \infty} \frac{\pi(x)}{x} \sim \frac{1}{\ln x}.$$

- This is the famous **Prime Number Theorem**.

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# HOW ABOUT RIEMANN HYPOTHESIS?

- Despite attempts for last **150 years**, it remains unproven.
- It is widely considered to be the **most important** unsolved problem of mathematics.
- **There is a \$1 million prize on the proof of the hypothesis!**

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