Cache Coherence

(Architectural Supports for Efficient Shared Memory)

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Agenda

- Setting
  - Software: shared address space
  - Hardware: shared memory multiprocessors
- Cache coherence
- Invariants and implementation
- Cache coherence protocols: MSI, MESI
- Formal definitions

Shared address space

- Part of the address space is shared between multiple threads or processes
  - Achieved by declaring shared variables as global for sharing among threads within a process (POSIX thread model)
  - Achieved by allocating memory through specialized system calls for sharing among different processes (UNIX shmget/shmat)
  - Variables in the shared address space can be read from and written to by multiple different threads/processes (load/store ins)
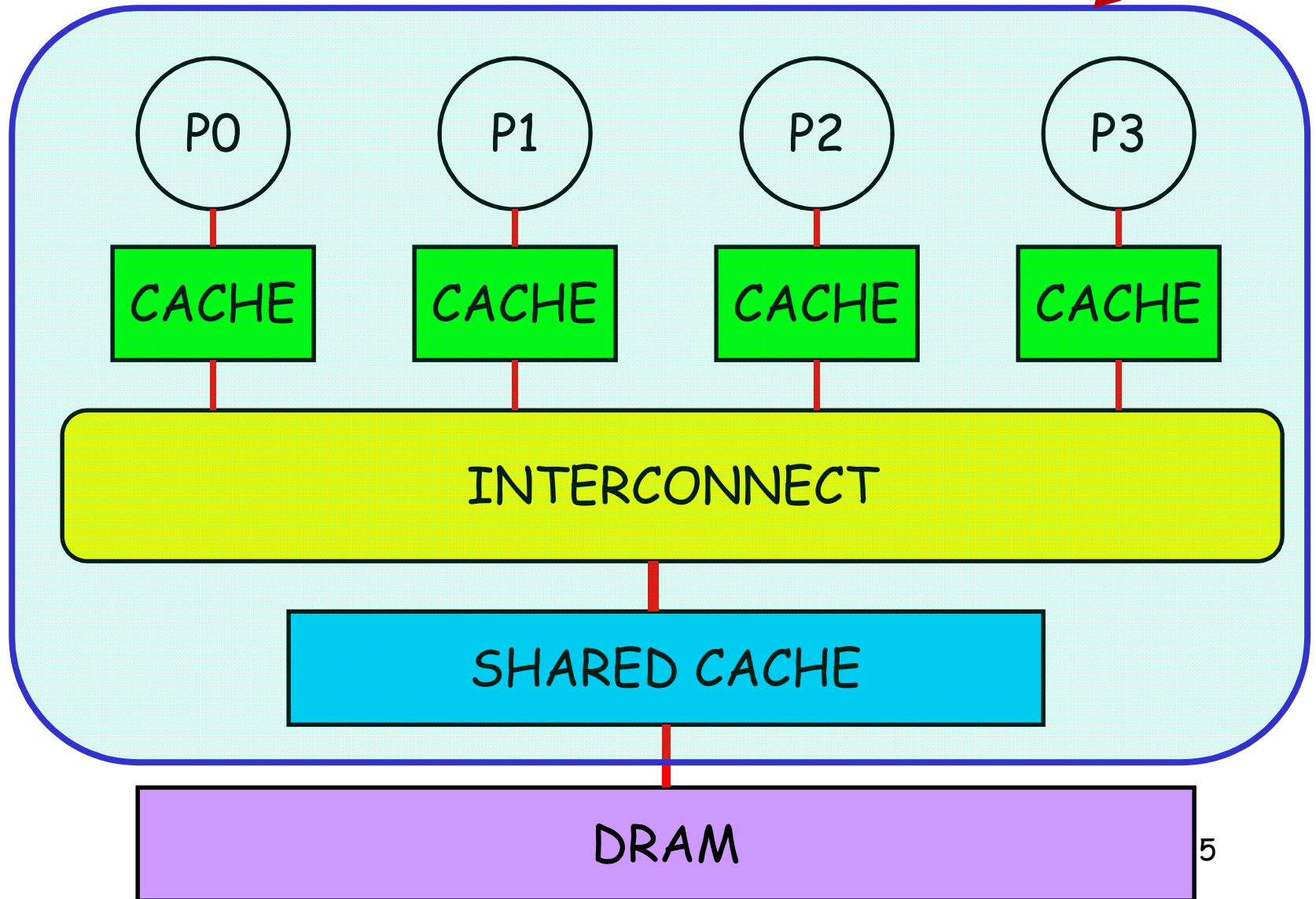 - A mode of communication between threads/processes for exchanging results

Shared memory multiprocessors

- Platform to schedule in parallel multiple threads or processes that share memory

- Many different designs exist
  - We will assume that each processor has a hierarchy of caches (possibly shared)
  - Shared cache: popular in chip-multiprocessors (CMPs)
  - Private cache: found in some CMPs, symmetric multi-processors (SMPs), and multi-node servers
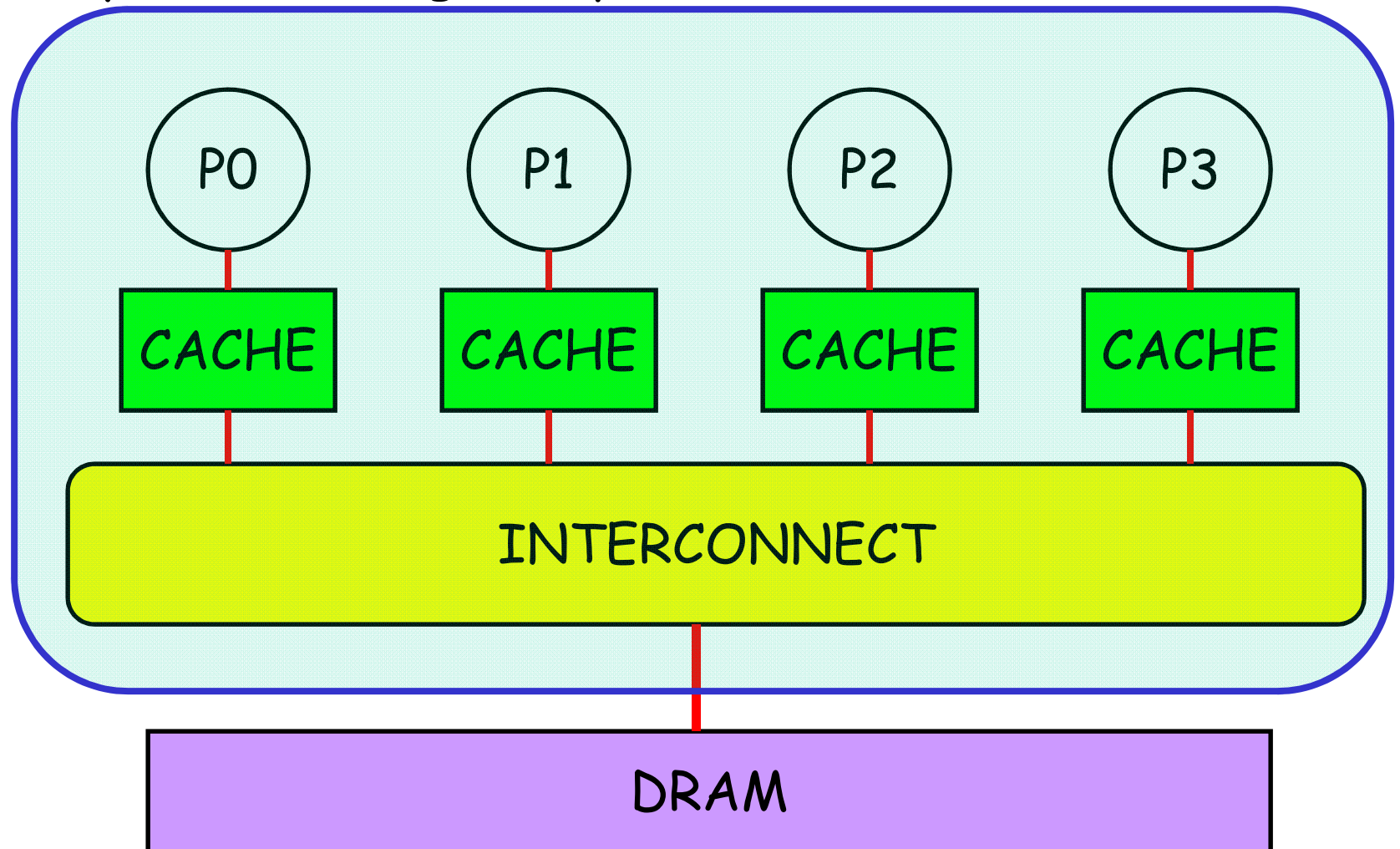 - Distributed shared memory: popular in medium to large-scale multi-node servers
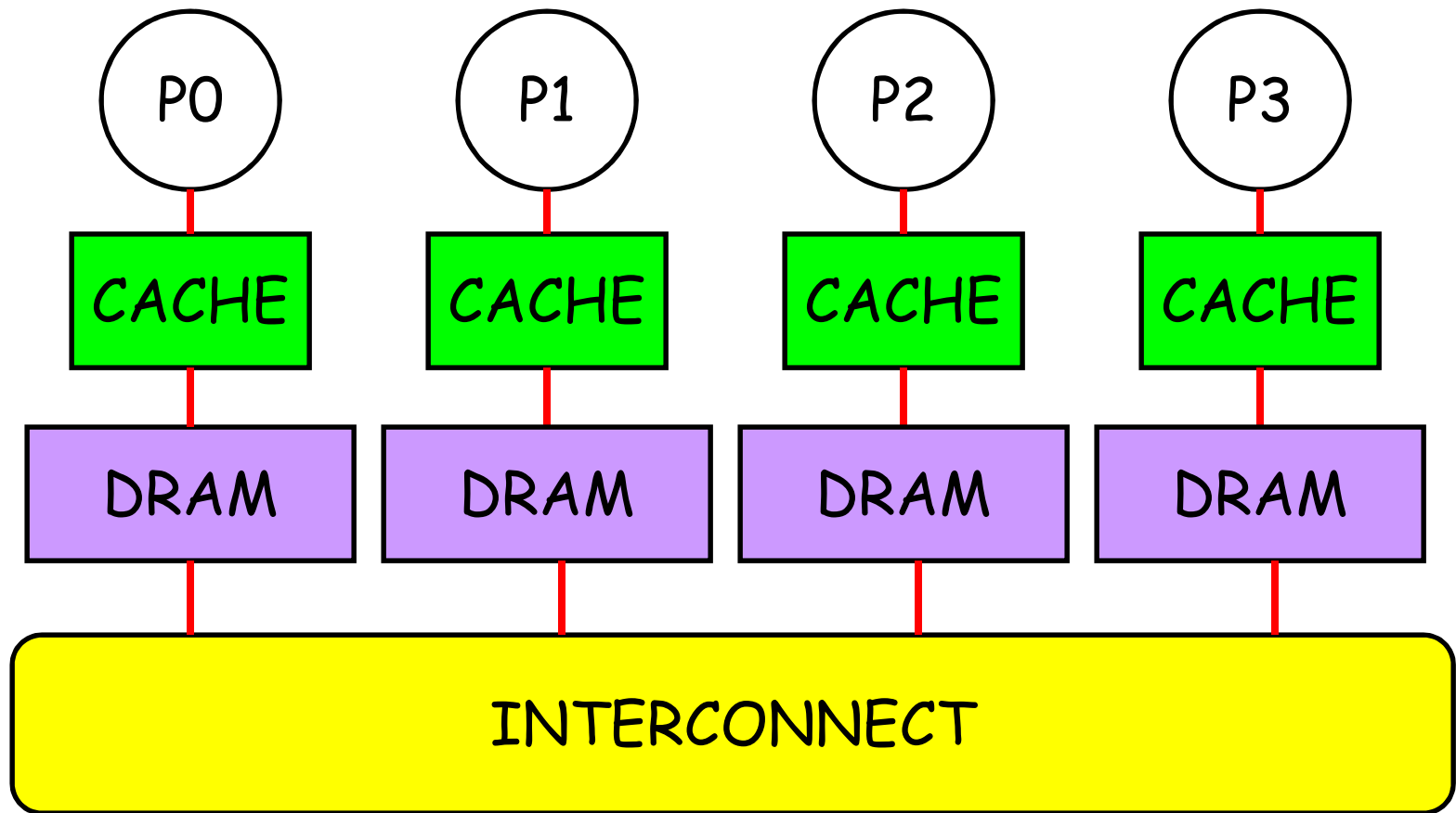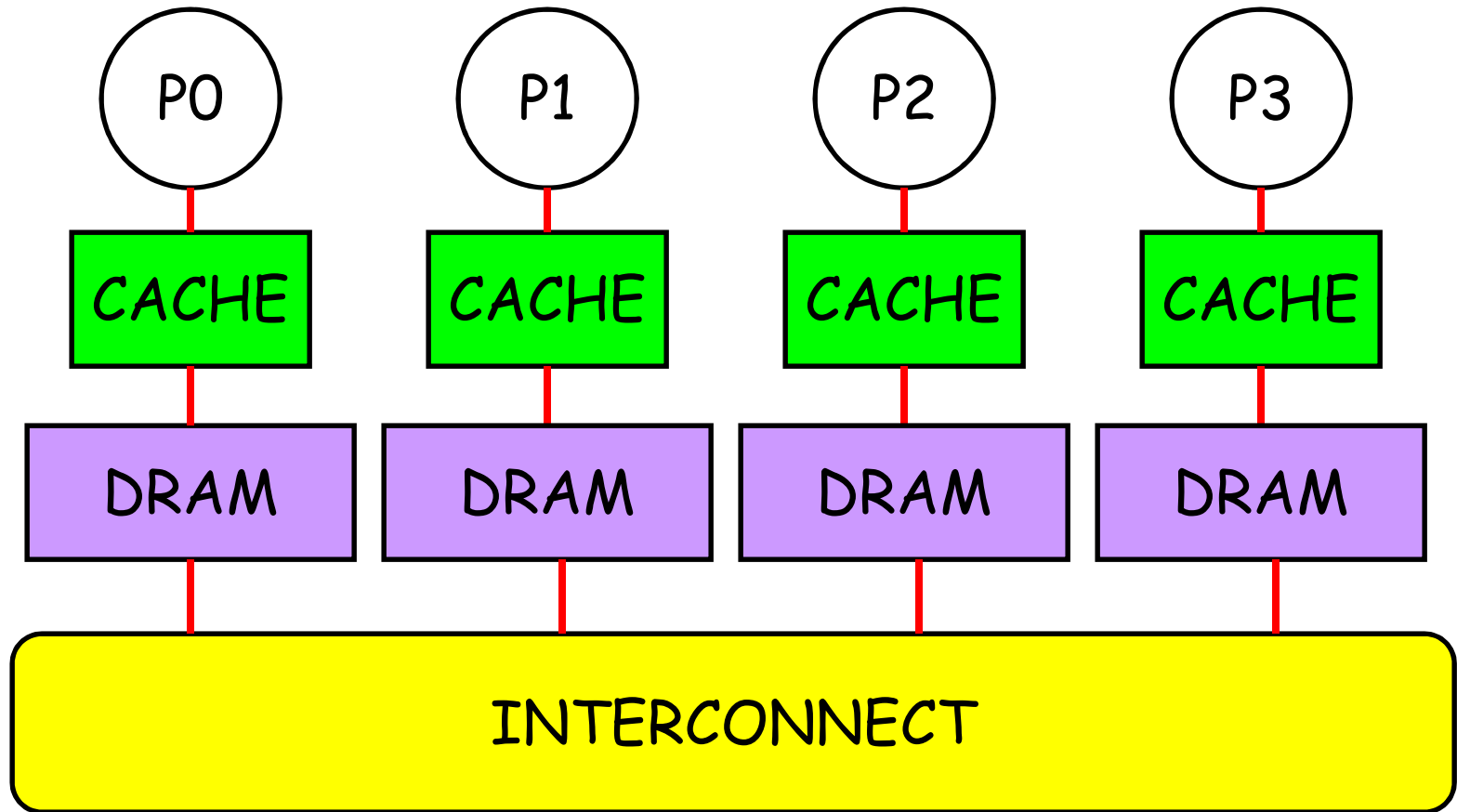
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Shared cache

Private cache

May or may not be a single chip

Distributed shared memory

Distributed ~~shared~~ memory

Pass explicit messages between nodes for data exchange
(Will not be discussed in this session)

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Cache coherence

- Processors employ private caching of data to improve performance
  - Private copies of shared data must be "coherent"
    - Roughly speaking, all copies must have the same value (enough if this holds eventually)
  - For sequential programs, a memory location must return the latest value written to it
  - For parallel programs, we expect the same provided "latest" is well-defined
    - For now, latest value of a location is the latest value "committed" by any thread/process
    - A cache coherence protocol is a set of actions that ensure that a load to address A returns the "last committed" value to A

Cache coherence: Example

- Assume 3Ps with write-through caches
  - P0: reads x from memory, puts it in its cache, and gets the value 5
  - P1: reads x from memory, puts it in its cache, and gets the value 5
  - P1: writes x=7, updates its cached value and memory value
  - P0: reads x from its cache and gets the value 5
  - P2: reads x from memory, puts it in its cache, and gets the value 7 (now the system is completely incoherent)
  - P2: writes x=10, updates its cached value and memory value

Cache coherence: Example

- Consider the same example for writeback caches
  - P0 has a cached value 5, P1 has 7, P2 has 10, memory has 5 (since caches are not write through)
  - The state of the line in P1 and P2 is M while the line in P0 is clean
  - Eviction of the line from P1 and P2 will issue writebacks while eviction of the line from P0 will not issue a writeback (clean lines do not need writeback)
  - Suppose P2 evicts the line first, and then P1
  - Final memory value is 7: we lost the store x=10 from P2

What went wrong?

- For write through cache
  - The memory value may be correct if the writes are correctly ordered
  - But the system allowed a store to proceed when there is already a cached copy
  - Lesson learned: must invalidate all cached copies before allowing a store to proceed
- Writeback cache
  - Problem is even more complicated: stores are no longer visible to memory immediately
  - Writeback order is important
  - Lesson learned: do not allow more than one copy of a cache line in M state

Implementations

- Must invalidate all cached copies before allowing a store to proceed
  - Need to know where the cached copies are
  - Solution1: Just tell everyone that you are going to do a store
    - Leads to broadcast snoopy protocols
    - Popular with small-scale machines
    - Typically, the interconnect is a shared bus; AMD Opteron implements it on a distributed network (the Hammer protocol)
  - Solution2: Keep track of the sharers and invalidate them when needed
    - Where and how is this information stored?
    - Leads to directory-based scalable protocols[13]
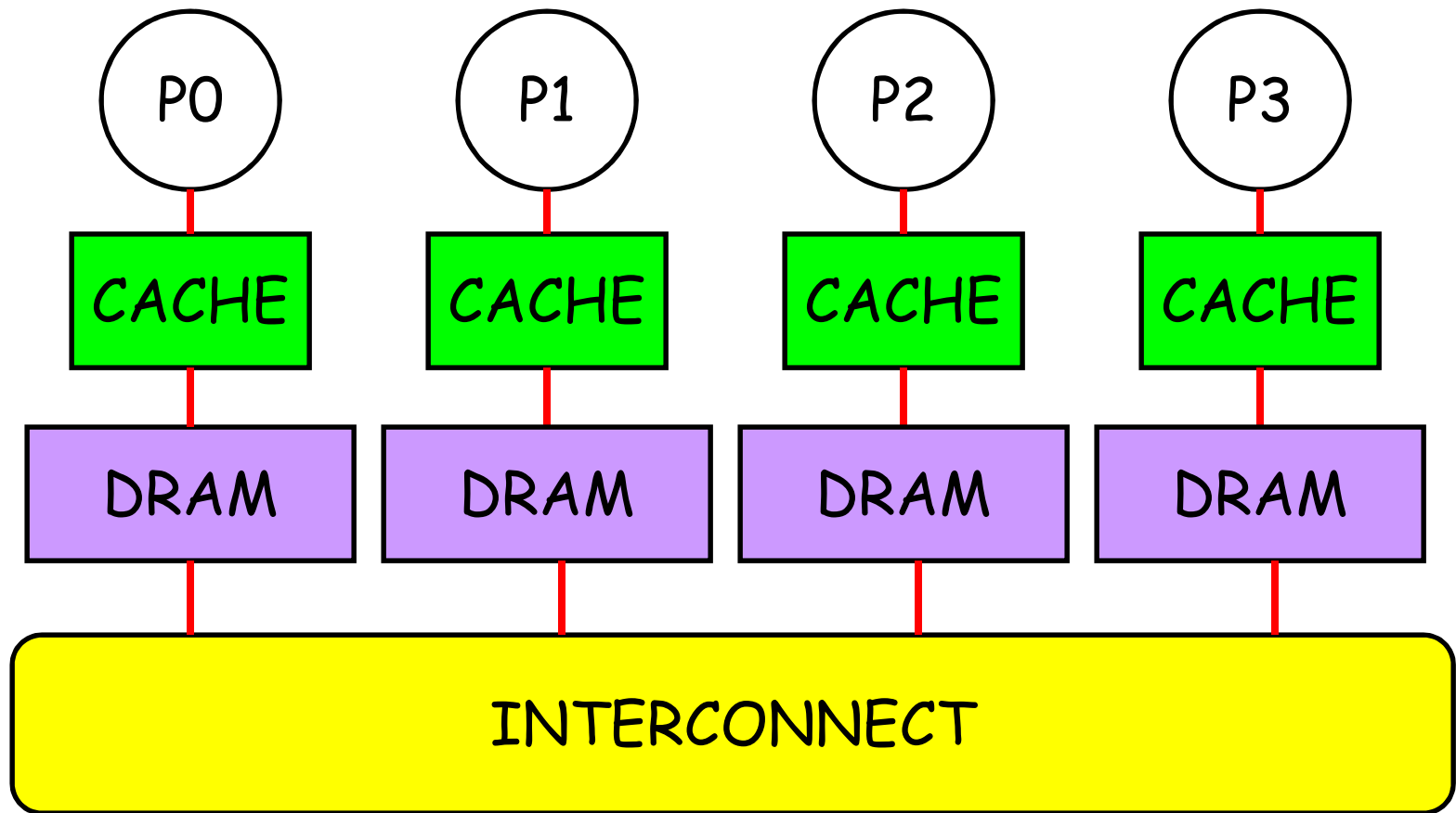
Implementations

- Directory-based protocols
  - Maintain one directory entry per memory block
  - Each directory entry contains a sharer bitvector and state bits
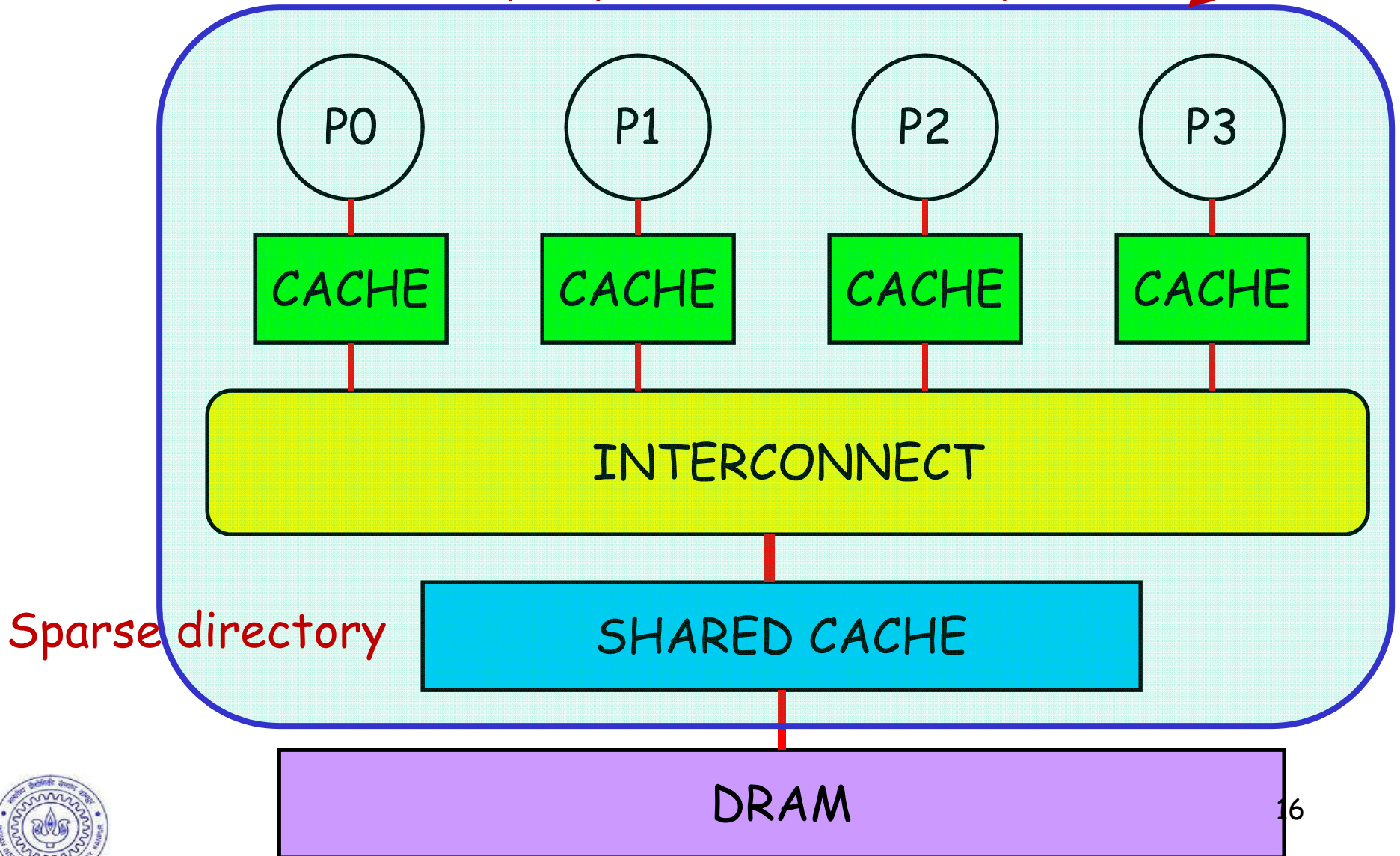
Distributed shared memory

Where and how would you place the directory?
New concept: home node

Shared cache

Where and how would you place the directory?

Single chip

Sparse directory

Implementations

- Do not allow more than one copy of a cache line in M state
  - Need some form of access control mechanism
  - Before a processor does a store it must take "permission" from the current "owner" (if any)
  - Need to know who the current owner is
    - Either a processor or main memory
  - Solution1 and Solution2 apply here also
    - Tell everybody or tell the owner

Implementations

- Latest value must be propagated to the requester
  - Notion of "latest" is very fuzzy
  - Once we know the owner, this is easy
  - Solution1 and Solution2 apply here also
    - Tell everybody or tell the owner

Implementations

- Invariant: if a cache block is not in M state in any processor, memory must provide the block to the requester
  - Memory must be updated when a block transitions from M state to S state
  - Note that a transition from M to I always updates memory in systems with writeback caches (these are normal writeback operations)
- Most of the implementations of a coherence protocol deals with uncommon cases and races

Invalidation vs. Update

- Two main classes of protocols:
  - Dictates what action should be taken on a store
  - Invalidation-based protocols invalidate sharers when a store miss appears
  - Update-based protocols update the sharer caches with new value on a store
  - Advantage of update-based protocols: sharers continue to hit in the cache while in invalidation-based protocols sharers will miss next time they try to access the line
  - Advantage of invalidation-based protocols: only store misses go on bus and subsequent stores to the same line are cache hits

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Sharing patterns

- ## Producer-consumer
  - One thread produces a value and other threads consume it
  - Example (flag is zero initially):
    - T1: $x=y$; flag=1;
    - T2-Tk: while(!flag); use x;

- ## Migratory
  - Each thread reads and writes to a shared variable in sequence
  - Example (1<=i<=k) flag is one initially:
    - Ti: while(flag != i); $x = f(x)$; flag++;
  - Migratory hand-off?

Migratory hand-off

- Needs a memory writeback on every hand-off
  - r0, w0, r1, w1, r2, w2, r3, w3, r4, w4, …
  - How to avoid these unnecessary writebacks?
  - Saves memory bandwidth
  - Solution: add an owner state (different from M) in caches
  - Only owner can write a line back on eviction
  - Ownership shifts along the migratory chain

States of a cache line

- Invalid (I), Shared (S), Modified or dirty (M), Clean exclusive (E), Owned (O)
  - Every processor does not support all five states
  - E state is equivalent to M in the sense that the line has permission to write, but in E state the line is not yet modified and the copy in memory is the same as in cache; if someone else requests the line the memory will provide the line after querying the E state holder
  - O state: memory is not responsible for servicing requests to the line; the owner must supply the line (just as in M state); no write permission

Stores

- Look at stores a little more closely
  - There are three situations at the time a store issues: the line is not in the cache, the line is in the cache in S state, the line is in the cache in one of M, E and O states
  - If the line is in I state, the store generates a read-exclusive request on the bus and gets the line in M state
  - If the line is in S or O state, that means the processor only has read permission for that line; the store generates an upgrade request on the bus and the upgrade acknowledgment gives it the write permission (this is a data-less transaction)
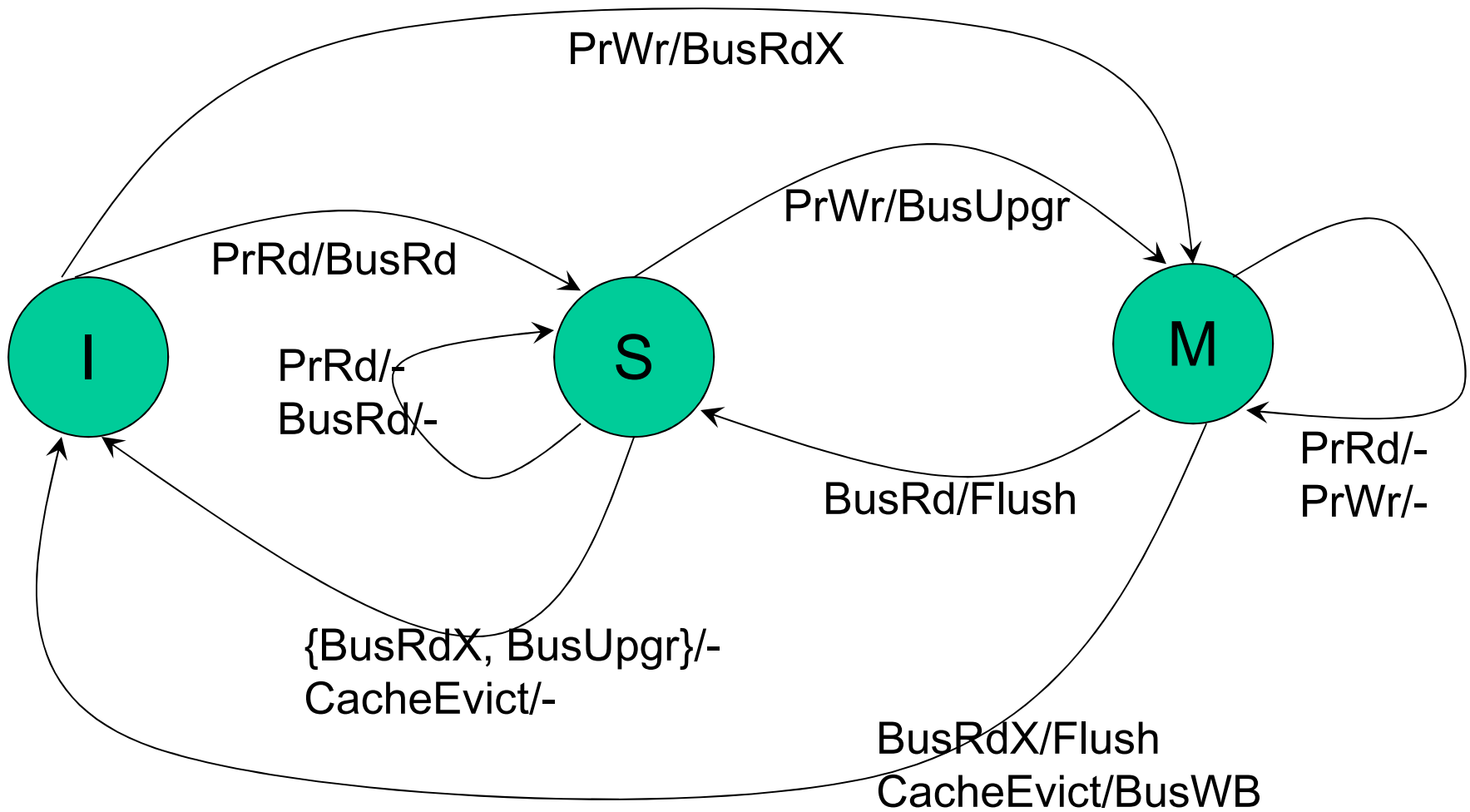
MSI protocol

- Forms the foundation of invalidation-based writeback protocols
  - Assumes only three supported cache line states: I, S, and M
  - There may be multiple processors caching a line in S state
  - There must be exactly one processor caching a line in M state and it is the owner of the line
  - If none of the caches have the line, memory must have the most up-to-date copy of the line

State transition

MSI example

- Take the following example
  - P0 reads x, P1 reads x, P1 writes x, P0 reads x, P2 reads x, P3 writes x
  - Assume the state of the cache line containing the address of x is I in all processors

  P0 generates BusRd, memory provides line, P0 puts line in S state

  P1 generates BusRd, memory provides line, P1 puts line in S state

  P1 generates BusUpgr, P0 snoops and invalidates line, memory does not respond, P1 sets state of line to M

  P0 generates BusRd, P1 flushes line and goes to S state, P0 puts line in S state, memory writes back

  P2 generates BusRd, memory provides line, P2 puts line in S state

  P3 generates BusRdX, P0, P1, P2 snoop and invalidate, memory provides line, P3 puts line in cache in M state

MESI protocol

- The most popular invalidation-based protocol e.g., appears in Intel Xeon MP

- Why need E state?

  - The MSI protocol requires two transactions to go from I to M even if there is no intervening requests for the line: BusRd followed by BusUpgr

  - Save one transaction by having memory controller respond to the first BusRd with E state if there is no other sharer in the system

  - Needs a dedicated control wire that gets asserted by a sharer (wired OR)

  - Processor can write to a line in E state silently

MESI example

- Take the following example
  - P0 reads x, P0 writes x, P1 reads x, P1 writes x, …

  P0 generates BusRd, memory provides line, P0 puts line in cache in E state

  P0 does write silently, goes to M state

  P1 generates BusRd, P0 provides line, P1 puts line in cache in S state, P0 transitions to S state

  Rest is identical to MSI

  - Consider this example: P0 reads x, P1 reads x, …

  P0 generates BusRd, memory provides line, P0 puts line in cache in E state

  P1 generates BusRd, memory provides line, P1 puts line in cache in S state, P0 transitions to S state (no cache-to-cache sharing)

  Rest is same as MSI

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Definitions

- **Memory operation**: a read (load), a write (store), or a read-modify-write
  - Assumed to take place atomically
- A memory operation is said to **issue** when it leaves the issue queue and looks up the cache
- A memory operation is said to **perform** with respect to a processor when a processor can tell that from other issued memory operations

Definitions

- A read is said to perform with respect to a processor when subsequent writes issued by that processor cannot affect the returned read value

- A write is said to perform with respect to a processor when a subsequent read from that processor to the same address returns the new value

- A memory operation is said to complete when it has performed with respect to all processors in the system

Ordering memory op

- Assume that there is a single shared memory and no caches
  - Memory operations complete in shared memory when they access the corresponding memory locations
  - Operations from the same processor complete in program order: this imposes a partial order among the memory operations
  - Operations from different processors are interleaved in such a way that the program order is maintained for each processor: memory imposes some total order (many are possible)

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Example

P0: x=8; u=y; v=9;

P1: r=5; y=4; t=v;

Total order: x=8; u=y; r=5; y=4; t=v; v=9;

Another legal total order:

x=8; r=5; y=4; u=y; v=9; t=v;

- "Last" means the most recent in some legal total order

- A system is coherent if

  - Reads get the last written value in the total order

  - All processors see writes to a location in the same order

Cache coherence

- Formal definition
  - A memory system is coherent if the values returned by reads to a memory location during an execution of a program are such that all operations to that location can form a hypothetical total order that is consistent with the serial order and has the following two properties:
  1. Operations issued by any particular processor perform according to the issue order
  2. The value returned by a read is the value written to that location by the last write in the total order

Cache coherence

- Two necessary features that follow from above:

    A. Write propagation: writes must eventually become visible to all processors

    B. Write serialization: Every processor should see the writes to a location in the same order (if I see w1 before w2, you should not see w2 before w1)