

Resource Bounded Kučera–Gács Theorems and Polynomial-time Dimension

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Outline

- 1 Overview
- 2 Classical Kučera–Gács Theorem
- 3 Quasi-Polynomial Kučera–Gács
- 4 Kučera–Gács and Dimension
- 5 Finite-state Kučera–Gács
- 6 Conclusion

Outline

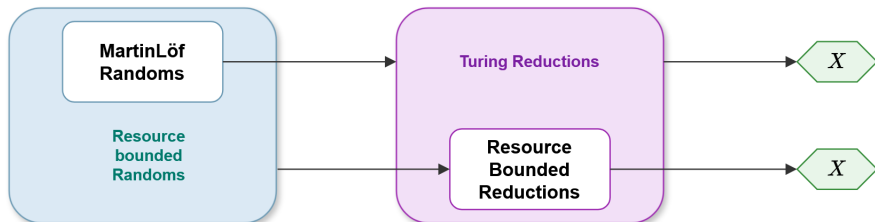
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Theorem

For every $X \in \{0,1\}^\infty$, there exists a Martin-Löf random R such that

$$X \leq_T R.$$

Resource Bounded Kučera–Gács

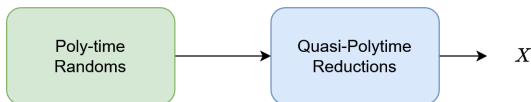


- Proof of Classical Kučera–Gács.

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- (Quasi) Polynomial-time Kučera–Gács.
 - Optimisation: Number of Oracle queries used.

Theorem

For any $X \in \Sigma^\infty$, and $t \in \omega(\text{poly})$, there exists a polynomial-time random $R \in \Sigma^\infty$ such that $X \leq_{t'(n)} R$, where $t'(n) = O(n \cdot t(n + \sqrt{n} \log n))$.



Moreover, $X[1 \dots n]$ can be computed using $R[1 \dots n + \sqrt{n} \log n]$.

- Proof of Classical Kučera–Gács.
- (Quasi) Polynomial-time Kučera–Gács.
 - Optimisation: Number of Oracle queries used.
- Polytime Dimension and (quasi) Polytime Kučera–Gács Reductions.

Theorem

For all $X \in \Sigma^\infty$, there exists a Polynomial-time Random $R \in \Sigma^\infty$ such that $X \leq_{t(n)} R$ via M with oracle use u_n such that

$$\mathcal{K}_{\text{poly}}(X) = \liminf \frac{u_n}{n}.$$

where $t(n) = (n \cdot t'(n + \sqrt{n} \log n))$ and $t'(n) \in \omega(\text{poly})$.

- Proof of Classical Kučera–Gács.
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- Finite-state Analogue of Kučera–Gács.

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\xrightarrow{M}



Martingales and Martin-Löf Randomness

Definition

A function $d : \Sigma^* \rightarrow [0, \infty)$ is called a martingale if for all $w \in \Sigma^*$,

$$d(w0) + d(w1) = 2 \cdot d(w).$$

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Definition

A function $d : \Sigma^* \rightarrow [0, \infty)$ is c.e or lower-semi computable if there exists a computable $\tilde{d} : \Sigma^* \times \mathbb{N} \rightarrow [0, \infty) \cap \mathbb{Q}$ such that

- $\forall t \tilde{d}(w, t) \leq \tilde{d}(w, t+1)$
- $\lim_t \tilde{d}(w, t) = d(w).$

Theorem

A sequence $R \in \Sigma^\infty$ is Martin-Löf random iff for the universal c.e. martingale $d : \Sigma^ \rightarrow [0, \infty)$,*

$$\limsup_n (d(R \upharpoonright n)) < \infty.^1$$

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(¹) $\liminf_n (d(R \upharpoonright n)) < \infty$ also works.

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- ① Take the *universal* c.e. Martingale $d : \Sigma^* \rightarrow [0, \infty)$.
- ② Construct a ML-random sequence R by encoding X .
 - Randomness: Diagonalizing against d .
 - Recoverability: Use bits of X to choose among possibilities for R .

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- ③ Decode X from R via a computable function.

Proof Strategy

Given $X \in \Sigma^\infty$, Diagonalize against d .

- Stage i : Extend R_{i-1} to R_i using $X[i]$.

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- Take $\delta_i = i^{-2}$, then $\prod_i (1 + \delta_i) < \infty$.

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- Take $\delta_i = i^{-2}$, then $\prod_i (1 + \delta_i) < \infty$.
- $\liminf_n d(R \upharpoonright n) < \infty \implies R$ is MLR.

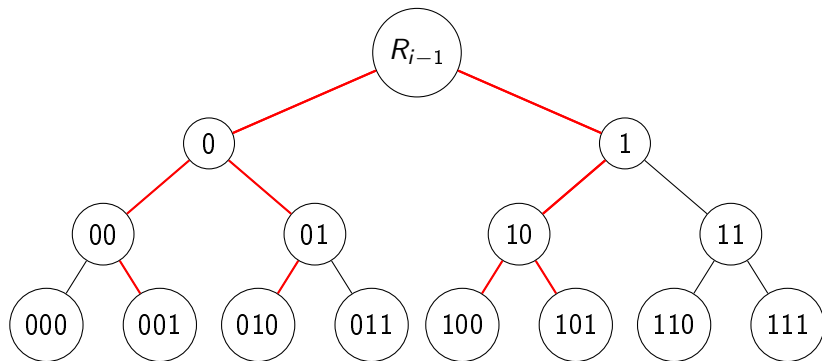
Encoding X into R .

- At stage i , extend R_{i-1} by enough bits (ℓ_i) so that there are atleast 2 candidates for R_i .
 - ℓ_i can be computed from δ_i .

Encoding X into R .

- At stage i , extend R_{i-1} by enough bits (ℓ_i) so that there are at least 2 candidates for R_i .
 - ℓ_i can be computed from δ_i .
- If $X_i = 0$, pick leftmost feasible R_i .
- If $X_i = 1$, pick the rightmost feasible R_i .

Picking R_i



$$d(R_i) < d(R_{i-1})(1 + \delta_i).$$

Decoding X from R .

- At stage i , calculate R_{i-1} and R_i from R .
- Run two processes parallelly. Check among $x \in R_{i-1} \cdot \Sigma^{\ell_i}$.
 - Check if for all $x \preceq R_i$, $d(x) \leq d(R_{i-1})(1 + \delta_i)$. $X[i] = 0$.
 - Check if for all $R_i \preceq x$, $d(x) \leq d(R_{i-1})(1 + \delta_i)$. $X[i] = 1$.

Kučera–Gács Theorem

Theorem (Kučera–Gács)

For every $X \in \{0,1\}^\omega$, there exists a Martin-Löf random R such that X is Turing reducible to R , i.e.

$$X \leq_T R.$$



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Polynomial-time Martingale

Definition

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$$d(w0) + d(w1) = 2 \cdot d(w).$$

Definition

A function $d : \Sigma^* \rightarrow [0, \infty) \cap \mathbb{Q}$ is **poly-time computable** if there is a turing machine that on input $x \in \Sigma^n$ outputs $d(x)$ in time $O(n^k)$.

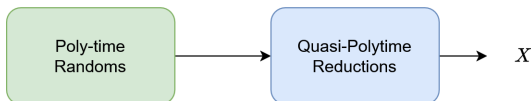
Definition

A sequence $R \in \Sigma^\infty$ is **polytime random** iff for all poly-time computable martingale $d : \Sigma^* \rightarrow [0, \infty)$,

$$\limsup_n (d(R \upharpoonright n)) < \infty.$$

Theorem

For any $X \in \Sigma^\infty$, and $t \in \omega(\text{poly})$, there exists a polynomial-time random $R \in \Sigma^\infty$ such that $X \leq_{t'(n)} R$, where $t'(n) = O(n \cdot t(n + \sqrt{n} \log n))$.



Moreover, $X[1 \dots n]$ can be computed using $R[1 \dots n + \sqrt{n} \log n]$.

- ① Take the *universal* **poly-time** Martingale $d : \Sigma^* \rightarrow [0, \infty)$.
- ② Construct a polytime-random sequence R by ensuring
 - Randomness: Diagonalizing against d .
 - Recoverability: Use bits of X to choose among possibilities for R .
- ③ Decode X from R via a **quasi poly-time** computable function.

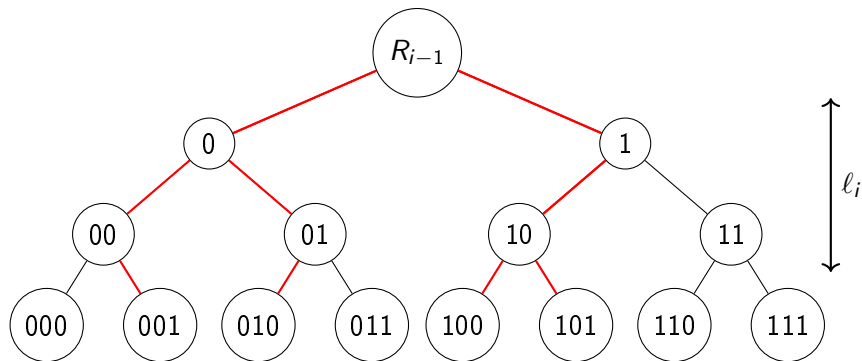
Universal poly-time Martingale

Theorem

For any $t \in \omega(\text{poly})$, there exists a $t(n) \cdot n \cdot \log n$ -time Martingale that is universal over all polynomial-time martingales.

d_1	d_2	d_3	d_4	d_5	d_6	d_7	...
-------	-------	-------	-------	-------	-------	-------	-----

$X[0]$	d_1			
$X[1]$	d_1	d_2		
$X[2]$	d_1	d_2	d_3	
$X[2]$	d_1	d_2	d_3	d_4
...				



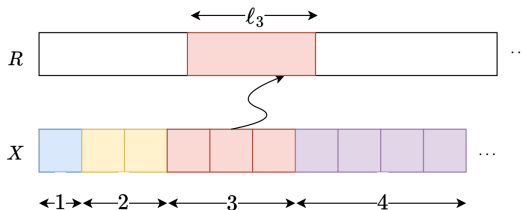
$$d(R_i) < d(R_{i-1})(1 + \delta_i).$$

New Encoding

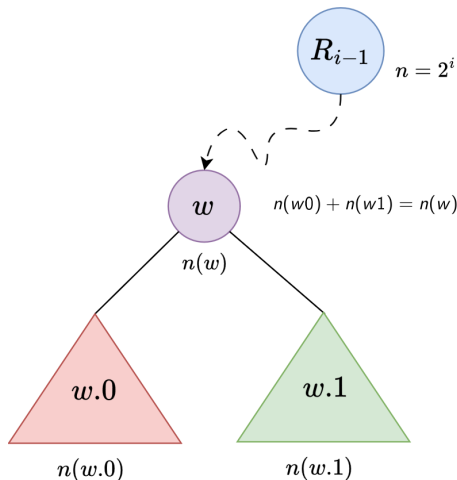
- At stage i , $\ell_i = 2 + 2 \cdot \log i$.
- Encoding n bits of X requires $\Omega(n \log n)$ bits of R !
- Modify: n bits of X requires only $n + O(\sqrt{n} \log n)$ bits of R .

Block Encoding

- At stage i , encode next i bits of X into R .



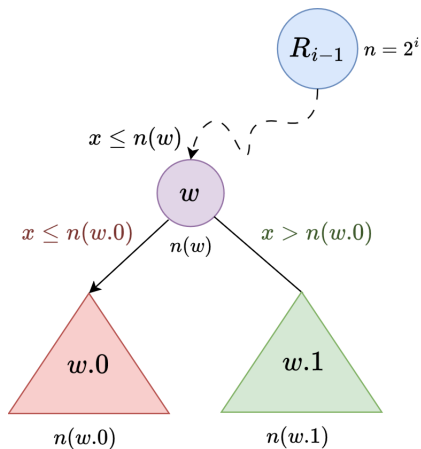
- Taking $\delta_i = i^{-2}$, $\ell_i = i + 2 \log i$.
- Only need $n + \sqrt{n} \log n$ bits of R to get $X[1 \dots n]$.



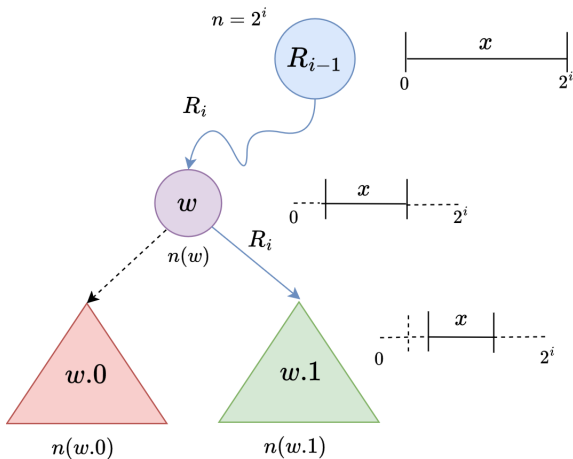
$$n(w) = \frac{2_i^\ell}{2^{|w|}} \left(1 - \frac{d(R_{i-1}.w)}{d(R_{i-1})} \cdot \frac{i^2}{1+i^2} \right).$$

$$n(w) \leq \#\{x \in \Sigma^{\ell_i - |w|} : d(R_{i-1}.w.x) < d(R_{i-1}) \cdot (1 + i^{-2}).\}$$

Encoding

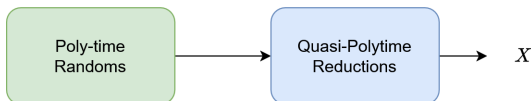


Decoding



Theorem

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Moreover, $X[1 \dots n]$ can be computed using $R[1 \dots n + \sqrt{n} \log n]$.

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- Measures Density of Information in an infinite sequence.

Theorem (Lutz, Mayordomo)

For $X \in \Sigma^\infty$,

$$\text{cdim}(X) = \liminf_n \frac{K(X \upharpoonright n)}{n}.$$

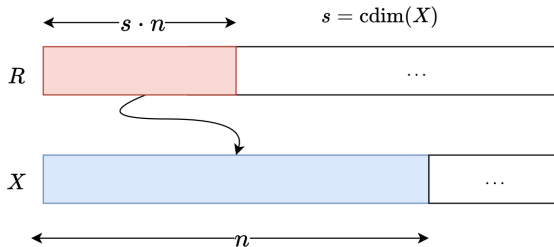
- $K(x)$: Algorithmic Information content in a string.

$$K(x) = \min_{\pi \in \mathcal{P}} \{U(\pi) = x\}.$$

Theorem (Doty (2006))

For all $X \in \Sigma^\infty$, there exists a Martin-Löf Random $R \in \Sigma^\infty$ such that $X \leq_T R$ via M with oracle use u_n such that

$$\text{cdim}(X) = \liminf \frac{u_n}{n}.$$



- Density of Information in an infinite sequence measured using **Polynomial-time** algorithms.

Definition

For $X \in \Sigma^\infty$,

$$\mathcal{K}_{\text{poly}}(X) = \inf_{t \in \text{poly}} \liminf_n \frac{K_t(X \upharpoonright n)}{n}.$$

- $K_t(x)$: t -time bounded Algorithmic Information content in a string.

$$K_t(x) = \min_{\pi \in \mathcal{P}} \{U^{t(|x|)}(\pi) = x\}.$$

Poly-time Dimension and Reductions

Definition

For two sequences $X, Y \in \Sigma^\infty$, we say

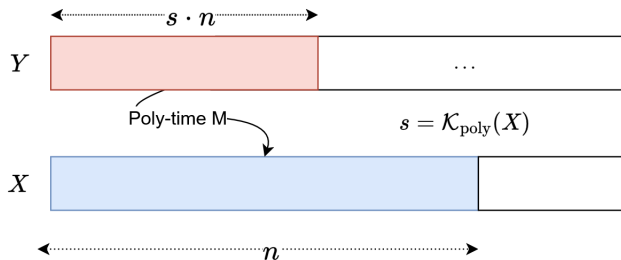
$$X \leq_P Y \iff \exists \text{ poly-time T.M s.t. } \forall n \ M^Y(1^n) = X \upharpoonright n.$$

Theorem

$$\mathcal{K}_{\text{poly}}(X) = \inf_{\substack{Y \in \Sigma^\infty \\ M \in \text{OTM}}} \left\{ \liminf \frac{u_n}{n} \mid X \leq_P Y \text{ via } M \right\}.$$

u_n : Oracle use of Y by M to produce $X[1 \dots n]$.

Poly-time Dimension and Reductions



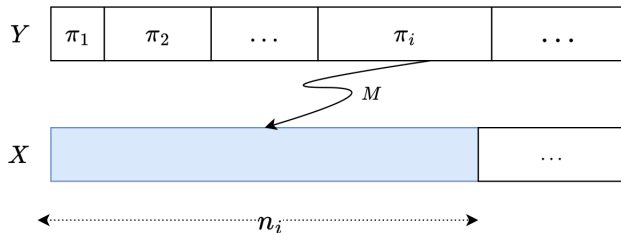
$$\mathcal{K}_{\text{poly}}(X) \leq \inf_{\substack{Y \in \Sigma^\infty \\ M \in \text{OTM}}} \left\{ \liminf \frac{u_n}{n} \mid X \leq_P Y \text{ via } M \right\} .(*)$$

- $s > *$ via
 - Machine M ($t(n)$ time), Oracle Y , Indices $\{n_i\}$.
- $K_t(X \upharpoonright n_i) \leq |u_n(Y)| \leq sn_i$.

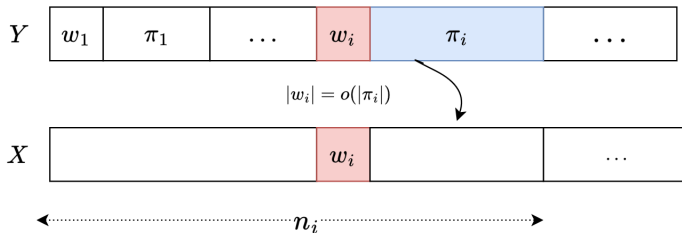
$$\mathcal{K}_{\text{poly}}(X) \geq \inf_{\substack{Y \in \Sigma^\infty \\ M \in \text{OTM}}} \left\{ \liminf \frac{u_n}{n} \mid X \leq_P Y \text{ via } M \right\}. (*)$$

- $s > \mathcal{K}_{\text{poly}}$
 - For indices $\{n_i\}$, $K_t(X \upharpoonright n_i) < s \cdot n_i$, say via π_i .
 - Take $n_i = o(n_1 + \dots n_{i-1})$.
- Attempt 1 : $Y = \pi_1.\pi_2 \dots \pi_i \dots$

Proof Outline



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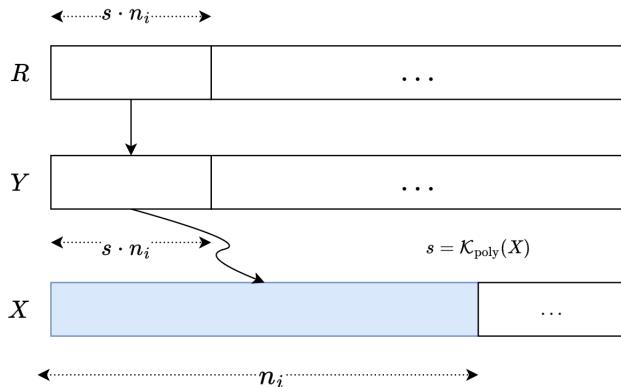


Theorem

$$\mathcal{K}_{\text{poly}}(X) = \inf_{\substack{Y \in \Sigma^\infty \\ M \in OTM}} \left\{ \liminf \frac{u_n}{n} \mid X \leq_P Y \text{ via } M \right\}.$$

u_n : Oracle use of Y by M to produce $X[1 \dots n]$.

Putting things together



Theorem

For all $X \in \Sigma^\infty$, there exists a Polynomial-time Random $R \in \Sigma^\infty$ such that $X \leq_{t(n)} R$ via M with oracle use u_n such that

$$\mathcal{K}_{\text{poly}}(X) = \liminf \frac{u_n}{n}.$$

where $t(n) = (n \cdot t'(n + \sqrt{n} \log n))$ and $t'(n) \in \omega(\text{poly})$.

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- Finite-State Randoms and Finite-State Reductions.

Theorem (Schnorr, Stimm 1972)

For any sequence $X \in \Sigma^\infty$

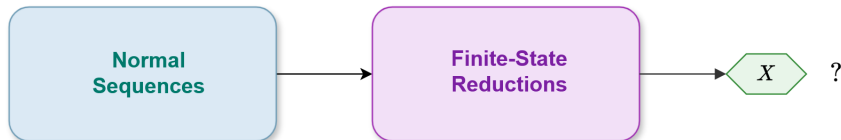
$$X \text{ is Finite-State Random} \iff X \text{ is Normal}$$

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Theorem (Schnorr, Stimm 1972)

For any sequence $X \in \Sigma^\infty$

X is Finite-State Random $\iff X$ is Normal

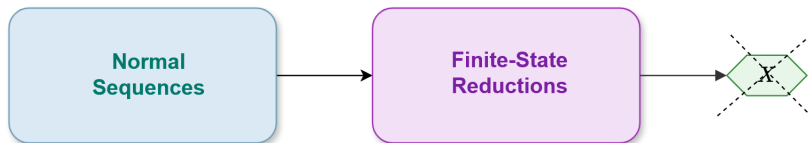


No Kučera–Gács for Normality

Theorem

There exists an $X \in \Sigma^\infty$ such that for all Normal $N \in \Sigma^\infty$ and finite state reductions $T : \Sigma^\infty \rightarrow \Sigma^\infty$,

$$T(N) \neq X.$$



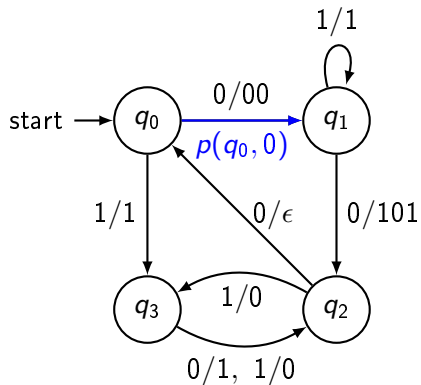
- If $N \in \Sigma^\infty$ is normal, N induces a stationary distribution on the transitions of G .

Theorem (Schnorr, Stimm)

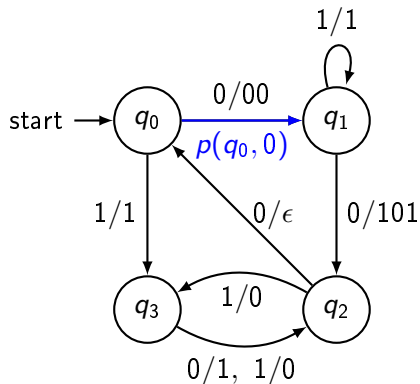
For any normal $N \in \Sigma^\infty$ and finite state transducer $G = (Q, \Sigma, q_0, \delta, \tau)$, there exists a probability distribution $P : Q \times \Sigma \rightarrow [0, 1]$ such that

$$\lim_n \frac{\#((q, a), X \upharpoonright n)}{n} = P(q, a)$$

Proof overview



Proof overview



Therefore $P(0)$ and $P(1)$ in $T(X)$ must converge !

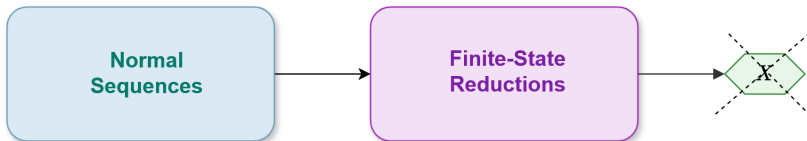
Proof overview

- Consider any $X \in \{0, 1\}^\infty$ such that $P(0)$ and $P(1)$ in X does not converge.

Theorem

There **does not** exist a Normal $N \in \Sigma^\infty$ and finite state reduction $T : \Sigma^\infty \rightarrow \Sigma^\infty$ such that

$$T(N) = X.$$



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Conclusion

- Kučera–Gács : For every sequence, there is a random sequence from which it is constructively recoverable.
- (Quasi) Polynomial-time analogue of Kučera–Gács.
 - Using $\sqrt{n} \log n$ extra bits.
- $\mathcal{K}_{\text{poly}}$ dimension can be characterised using poly-time reductions.
 - Quasi poly-time reductions from poly-time randoms.
- Finite-state analogue of Kučera–Gács does not hold.

Open Questions

- Can we get an actual polynomial-time Kucera Gacs theorem ?
- Can we characterize $\mathcal{K}_{\text{poly}}^{\text{str}}$ using poly-time reductions ?

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- Doty, David. "Dimension extractors and optimal decompression." *Theory of Computing Systems* 43.3 (2008): 425-463.
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