

# DETERMINANT VERSUS PERMANENT

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# OVERVIEW

- 1 DETERMINANT AND PERMANENT
- 2 COMPLEXITY NOTIONS
- 3 KNOWN LOWER BOUNDS ON COMPLEXITY OF PERMANENT
- 4 PROVING STRONG LOWER BOUNDS ON DETERMINANT COMPLEXITY
- 5 PROVING STRONG LOWER BOUNDS ON CIRCUIT COMPLEXITY
- 6 PROVING HARDNESS OF PERMANENT POLYNOMIAL

# OUTLINE

- 1 DETERMINANT AND PERMANENT
- 2 Complexity Notions
- 3 Known Lower Bounds on Complexity of Permanent
- 4 Proving Strong Lower Bounds on Determinant Complexity
- 5 Proving Strong Lower Bounds on Circuit Complexity
- 6 Proving Hardness of Permanent Polynomial

# DETERMINANT

**Determinant** of an  $n \times n$  matrix  $X = [x_{i,j}]$  is defined as:

$$\det X = \sum_{\sigma \in S_n} \operatorname{sgn}(\sigma) \cdot \prod_{i=1}^n x_{i,\sigma(i)}.$$

Here  $S_n$  is the group of all permutations on  $[1, n]$  and  $\operatorname{sgn}(\sigma)$  is the **sign** of the permutation  $\sigma$ ,  $\operatorname{sgn}(\sigma) \in \{1, -1\}$ .

# PROPERTIES OF DETERMINANT

**LINEARITY.**  $\det[c_1 + c'_1 \ c_2 \ \cdots \ c_n] = \det[c_1 \ c_2 \ \cdots \ c_n] + \det[c'_1 \ c_2 \ \cdots \ c_n]$ .

**MULTIPLICATIVITY.**  $\det AB = \det A \cdot \det B$ .

**GEOMETRIC INTERPRETATION.**  $|\det[c_1 \ c_2 \ \cdots \ c_n]|$  is the volume of the parallelepiped defined by vectors  $c_1, c_2, \dots, c_n$ .

**ALGEBRAIC INTERPRETATION.**  $\det A = \prod_{i=1}^n \lambda_i$  where  $\lambda_1, \dots, \lambda_n$  are eigenvalues of  $A$ .

**RELATION TO MULTIPLICATION.** For any  $A$ , there exists an efficiently computable  $B$  and number  $m$  such that  $\det A = [B^m]_{1,1}$ .

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# PERMANENT

**Permanent** of an  $n \times n$  matrix  $X = [x_{i,j}]$  is defined as:

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# COMPUTATIONAL CHARACTERIZATIONS

Valiant (1979) showed that

- The complexity of computing permanent polynomial characterizes **arithmetic version of NP**.
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# COMPUTING DETERMINANT AND PERMANENT

INTUITION. Permanent is much harder to compute than determinant.

This can be formalized in two ways:

- Permanent of  $X$  has a large determinant complexity.
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# DETERMINANT COMPLEXITY

For matrix  $X = [x_{i,j}]$ , permanent of  $X$  has **determinant complexity**  $m$  over field  $F$  if there exists an  $m \times m$  matrix  $Y$  such that

- $\text{per } X = \det Y$ .
- Each entry of  $Y$  is an  $F$ -affine combination of  $x_{i,j}$ 's.

# ARITHMETIC CIRCUITS

**Arithmetic circuits** over field  $F$  represent a sequence of arithmetic operations over  $F$  on variables.

- The variables are called **input** to the circuit.
- The result of the operations is called the **output** of the circuit.

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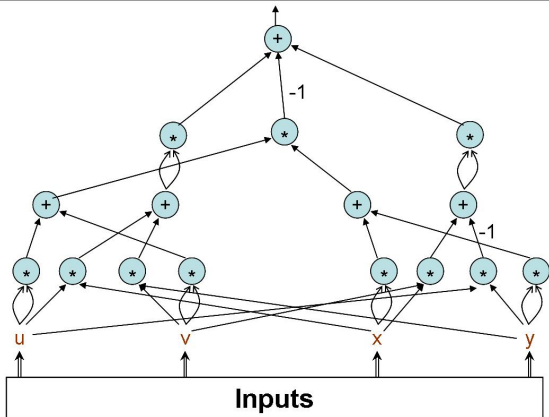
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# ARITHMETIC CIRCUITS

$$\text{Output} = (ux + vy)^2 + (vx - uy)^2 - (u^2 + v^2) * (x^2 + y^2) = 0$$



# CIRCUIT COMPLEXITY

Crucial parameters associated with arithmetic circuits are:

- **Size**: equals the number of operations in the circuit.
- **Depth**: equals the length of the longest path from a variable to output of the circuit.
- **Degree**: equals the formal degree of the polynomial output by the circuit.

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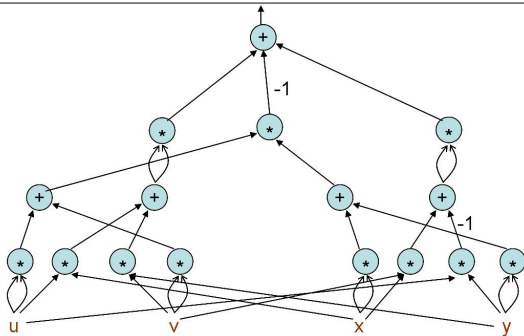
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**Size = 16**

**Depth = 4**

**Degree = 4**

# ARITH-P AND ARITH-NP

Polynomial family  $\{p_n\} \in \text{arith-P}$  if  $p_n$  has circuit complexity  $n^{O(1)}$ .

Polynomial family  $\{q_n\} \in \text{arith-NP}$  if there exists a family  $\{p_n\} \in \text{arith-P}$  such that

$$q_n(x_1, \dots, x_n) = \sum_{y_1=0}^1 \cdots \sum_{y_n=0}^1 p_{2n}(x_1, \dots, x_n, y_1, \dots, y_n).$$

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# DETERMINANT COMPLEXITY VERSUS CIRCUIT COMPLEXITY

- Determinant polynomial family over  $F$  is in arith-P.
- A polynomial family in arith-P has determinant complexity  $n^{O(\log n)}$ .

**HYPOTHESIS.** Permanent of  $n \times n$  matrix  $X$  over  $F$  has superpolynomial circuit complexity for char  $F \neq 2$ .

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# LOWER BOUNDS FOR DETERMINANT COMPLEXITY

- Mignon and Ressayre (2004) showed that determinant complexity of  $\text{per } X$  (size  $X = n$ ) is  $\Omega(n^2)$  over  $\mathbb{Q}$ .

# LOWER BOUNDS FOR CIRCUIT COMPLEXITY

- Lower bounds are known for permanent only for very restricted type of circuits.
- Jerrum and Snir (1982) showed that any **monotone** circuit computing **per  $X$**  is of exponential size.
  - ▶ Monotone circuits are circuits with no negative constant.
- Shpilka and Wigderson (1999) showed that any **depth three** circuit computing **per  $X$**  (or even **det  $X$** ) over  $\mathbb{Q}$  is of size  $\Omega(n^2)$ .

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# LOWER BOUNDS FOR CIRCUIT COMPLEXITY

- Grigoriev and Razborov (2000) showed that any **depth three** circuit computing **per  $X$**  or **det  $X$**  over a finite field is of exponential size.
- Raz (2004) showed that any **multilinear formula** computing **per  $X$**  or **det  $X$**  is of size  $n^{\Omega(\log n)}$ .
  - ▶ Formulas are circuits with **outdegree** one.
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# GEOMETRIC INVARIANT THEORY APPROACH

- Mulmuley and Sohoni (2002) have formulated the problem as an algebraic geometry problem.
- Let  $X_\ell = [x_{i,j}]_{1 \leq i,j \leq \ell}$  be  $\ell \times \ell$  matrix of variables.
- Let  $\text{per}_\ell = \text{per } X_\ell$  and  $\det_\ell = \det X_\ell$  denote the permanent and determinant polynomials respectively in  $\ell^2$  variables.
- Suppose over  $\mathbb{Q}$ , determinant complexity of  $\text{per}_n$  is  $m$ .
- Let  $\text{per}_n = \det Y$  for  $m \times m$  matrix  $Y$  whose entries are affine combinations of variables of  $X_n$ .
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- This can be expressed as:

$$\widehat{\text{per}}_n = \det_m \cdot A$$

where  $A$  is a (non-invertible) matrix over  $\mathbb{Q}$ .

- Let  $V = \mathbb{C}^M$  where  $M = \binom{m^2+m-1}{m}$  and  $P(V)$  be the corresponding projective space.
- Polynomials  $\det_m$  and  $\widehat{\text{per}}_n$  can be viewed as points in  $P(V)$ .
- Let  $O$  be the orbit of  $\det_m$  under the action of  $SL_{m^2}(\mathbb{C})$ :

$$O = \{\det_m \cdot B \mid B \in SL_{m^2}(\mathbb{C})\}.$$

- It follows that  $\widehat{\text{per}}_n$  lies in the closure of  $O$ .



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- Polynomial  $\text{per}_n$  has far fewer automorphisms than  $\text{det}_m$ :
  - ▶  $\text{det}_m$  is invariant under the map  $Y \mapsto CYD^{-1}$  where  $\text{det } C = \text{det } D \neq 0$ .
  - ▶  $\text{per}_n$  is invariant under the map  $X \mapsto CYD^{-1}$  where both  $C$  and  $D$  are either diagonal or permutation matrices.
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- 6 Proving Hardness of Permanent Polynomial

# DERANDOMIZATION AND LOWER BOUNDS

- Kabanets and Impagliazzo (2003) showed a connection between derandomization of **Identity Testing problem** and lower bounds on arithmetic circuits:
  - ▶ If Identity Testing problem can be solved deterministically in polynomial time then **NEXP** has superpolynomial circuit complexity.
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# IDENTITY TESTING

## DEFINITION

Given a polynomial computed by an arithmetic circuit over field  $F$ , test if the polynomial is identically zero.

# PSEUDO-RANDOM GENERATORS AGAINST ARITHMETIC CIRCUITS

- Let  $\mathcal{A}_F$  be a class of arithmetic circuits over field  $F$  with  $\mathcal{A}_F^s$  denoting the subclass of  $\mathcal{A}_F$  of circuits of size  $s$ .
- Let  $f : \mathbb{N} \mapsto (F[y])^*$  be a function such that  $f(s) = (p_{s,1}(y), \dots, p_{s,s}(y), q_s(y))$  for all  $s$ .

## DEFINITION

Function  $f$  is a **pseudo-random generator against  $\mathcal{A}_F$**  if

- Each  $p_{s,i}(y)$  and  $q_s(y)$  is of degree  $s^{O(1)}$ .
- For any circuit  $C \in \mathcal{A}_F^s$  with  $n \leq s$  inputs:

$$C(x_1, \dots, x_n) = 0 \text{ iff } C(p_{s,1}(y), \dots, p_{s,n}(y)) = 0 \pmod{q_s(y)}$$

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# EXISTANCE OF PSEUDO-RANDOM GENERATORS

- **Schwartz-Zippel** provide an efficient randomized algorithm to test if a given circuit computes zero polynomial.
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- A pseudo-random generator that can be quickly computed is very useful.

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# A POLYNOMIAL WITH HIGH CIRCUIT COMPLEXITY

- Let  $f$  be an efficiently computable pseudo-random generator against  $\mathcal{A}_F$ .
- Let the degree of all polynomials in  $p_{s,1}(y), \dots, p_{s,s}(y)$  be bounded by  $d = s^{O(1)}$  and  $m = \log d = O(\log s)$ .
- Define polynomial  $r_{2m}$  as:

$$r_{2m}(x_1, x_2, \dots, x_{2m}) = \sum_{S \subseteq [1, 2m]} c_S \prod_{i \in S} x_i.$$

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It can be shown that:

- A non-zero  $r_{2m}$  always exists.
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# OUTLINE

- 1 Determinant and Permanent
- 2 Complexity Notions
- 3 Known Lower Bounds on Complexity of Permanent
- 4 Proving Strong Lower Bounds on Determinant Complexity
- 5 Proving Strong Lower Bounds on Circuit Complexity
- 6 PROVING HARDNESS OF PERMANENT POLYNOMIAL**

# A SIMPLER TASK

Construct an efficiently computable pseudo-random generator against the class of size  $s$ , depth  $\omega(1)$  arithmetic circuits of degree  $s$ .

# THIS YIELDS SUPERPOLYNOMIAL LOWER BOUNDS

There exists an efficiently computable pseudo-random generator against the class of size  $s$ , depth  $\omega(1)$  arithmetic circuits of degree  $s$



There is a multilinear polynomial  $r_{2m}$  of circuit complexity  $2^{O(m)}$  that cannot be computed by size  $2^{o(m)}$ , depth  $\omega(1)$  circuits



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# CONNECTING TO PERMANENT

- Can each  $r_{2m}$  be computed as permanent of a small matrix?

- Recall:

$$r_{2m}(x_1, x_2, \dots, x_{2m}) = \sum_{S \subseteq [1, 2m]} c_S \prod_{i \in S} x_i.$$

- Define

$$\hat{r}_{4m}(x_1, \dots, x_{2m}, y_1, \dots, y_{2m}) = c(y_1, \dots, y_{2m}) \prod_{i=1}^{2m} (y_i x_i - y_i + 1),$$

where  $c(b_1, \dots, b_{2m}) = c_S$ ,  $S = \{i \mid b_i = 1\}$ .

- Then:

$$r_{2m}(x_1, x_2, \dots, x_{2m}) = \sum_{y_1=0}^1 \cdots \sum_{y_{2m}=0}^1 \hat{r}_{4m}(x_1, \dots, x_{2m}, y_1, \dots, y_{2m}).$$

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# CONNECTING TO PERMANENT

- By Valiant (1979), if  $\hat{r}_{4m}$  has circuit complexity  $m^{O(1)}$  then  $r_{2m}$  can be computed as permanent of a matrix of size  $m^{O(1)}$ .
- So a pseudo-random generator such that  $\hat{r}_{4m}$  has circuit complexity  $m^{O(1)}$  implies that Permanent has circuit complexity  $m^{\omega(1)}$ .

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# CURRENT STATUS: LARGE DEPTH BUT RESTRICTED CLASS OF CIRCUITS

- A-Kayal-Saxena (2002) constructed an efficiently computable pseudo-random generator against a very special class of circuits.
- This contained circuits computing the polynomial  $(1 + x)^m - x^m - 1$  over ring  $Z_m$ .
- The pseudo-random generator is:

$$f(s) = (y, 0, \dots, 0, q_s(y)), q_s(y) = y^{16s^5} \prod_{t=1}^{16s^5} \prod_{a=1}^{4s^4} ((y - a)^t - 1).$$

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# A CONJECTURE

Define

$$f(s, k) = (y, y^k, y^{k^2}, \dots, y^{k^{s-1}}, y^r - 1),$$

where  $r \geq s^4$  is a prime and  $1 \leq k < r$ .

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