

1 **FAULT-TOLERANT SUBGRAPH FOR SINGLE-SOURCE**
2 **REACHABILITY: GENERAL AND OPTIMAL***

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4 **Abstract.** Let G be a directed graph with n vertices and m edges, and a designated source
5 vertex s . We address the problem of single-source reachability (SSR) from s in presence of failures
6 of vertices/edges. We show that for every $k \geq 1$, there is a subgraph H of G with at most $2^k n$ edges
7 that preserves the reachability from s even after the failure of any k edges. Formally, given a set F
8 of k edges, a vertex $v \in V(G)$ is reachable from s in $G \setminus F$ if and only if v is reachable from s in
9 $H \setminus F$. We call H a k -Fault Tolerant Reachability Subgraph (k -FTRS). We also prove a matching
10 lower bound of $\Omega(2^k n)$ edges for such subgraphs that holds for all n, k with $2^k \leq n$. Our results
11 extend to vertex failures without any extra overhead.

12 The construction of k -FTRS is interesting from several different perspectives. From the *Graph*
13 *theory* perspective it reveals a separation between SSR and single-source shortest paths (SSSP) in
14 directed graphs. More specifically, in the case of SSSP in weighted directed and undirected graphs,
15 Demetrescu et al. showed that there is a lower bound of $\Omega(m)$ edges even for a single edge failure
16 [SICOMP, Vol. 37]. In the case of unweighted graphs Parter and Peleg gave a lower bound of
17 $\Omega(n^{3/2})$ edges, again, even for a single edge failure [ESA 2013]. From the *Algorithms* perspective
18 it implies fault-tolerant algorithms for other interesting problems, namely, (i) verifying if the strong
19 connectivity of a graph is preserved after k edge or vertex failures, (ii) computing a dominator tree
20 of a graph after k -failures. From the perspective of *Techniques* it makes an interesting usage of the
21 concept of farthest min-cut which was already introduced by Ford and Fulkerson [PUP, 1962] in their
22 pioneering work on flows and cuts. We show that there is a close relationship between the farthest
23 min-cut and the k -FTRS. We believe that our new technique is of independent interest.

24 **Key words.** fault-tolerant, subgraph, reachability, min-cut

25 **AMS subject classifications.** 05C20, 05C85, 68W05, 68W40

26 **1. Introduction.** Networks in most real life applications are prone to failures.
27 Such a network can be modeled as a graph where vertices (or edges) may change their
28 status from active to failed, and vice versa. These failures, though unpredictable,
29 are small in numbers and are transient due to some simultaneous repair process that
30 is undertaken in these applications. This aspect can be captured by associating a
31 parameter k with the network such that there are at most k vertices (or edges) that
32 are failed at any stage, where k is much smaller than the number of vertices in the
33 underlying graph. This motivates the research on designing fault-tolerant structures
34 for various graph problems.

35 In this paper we address the problem of single-source fault-tolerant reachability
36 in directed graphs. The objective is to construct a sparse subgraph that preserves the
37 reachability from a given fixed source s even after k failures. The following definition
38 characterizes this subgraph precisely.

39 **DEFINITION 1 (k -FTRS).** Let $G = (V, E)$ be a directed graph and $s \in V$ be
40 a designated source vertex. A subgraph H of G is said to be a k -Fault Tolerant
41 Reachability Subgraph (k -FTRS) for G if for every subset $F \subseteq E$ of at most k edges,

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42 a vertex $v \in V$ is reachable from s in $G \setminus F$ if and only if v is reachable from s in
 43 $H \setminus F$.

44 The reachability problem is a fundamental problem with many applications in
 45 theoretical as well as applied computer science (see [28] and references therein). There-
 46 fore, a result on the existence of a sparse k -FTRS and its efficient construction will
 47 also be very important and could have many real world applications. Moreover, the
 48 reachability problem lies at the core of many other graph problems, namely, strong-
 49 connectedness, dominators [21], double-dominators [27], etc. So obtaining a sparse
 50 k -FTRS should help in obtaining fault-tolerant solution for these problems as well.

51 Surprisingly, the only previously known result for k -FTRS was for $k = 1$. The
 52 special case of 1-FTRS is closely related to the well known concept of *dominators*
 53 presented in the seminal work of Lengauer and Tarjan [21]. Given a DFS tree T
 54 rooted at s , it is straightforward using the ideas of [21] to compute a 1-FTRS with
 55 at most $2n$ edges that contains T . In [2], Baswana et al. show that even if T is any
 56 arbitrary reachability tree¹, we can efficiently compute a 1-FTRS with at most $2n$
 57 edges that contains T .

58 In this paper we present efficient construction of a sparse k -FTRS for any $k > 1$.
 59 We prove the following Theorem.

60 **THEOREM 2.** *There exists an $O(2^k mn)$ time algorithm that for any given integer*
 61 *$k \geq 1$, and any given directed graph G on n vertices, m edges and a designated source*
 62 *vertex s , computes a k -FTRS for G with at most $2^k n$ edges. Moreover, the in-degree*
 63 *of each vertex in this k -FTRS is bounded by 2^k .*

64 We also show that the $2^k n$ bound on the size of k -FTRS is tight by proving the
 65 following theorem.

66 **THEOREM 3.** *For any positive integers n, k with $n \geq 3 \cdot 2^k$, there exists a directed*
 67 *graph $G = (V, E)$ on n vertices and a source vertex $s \in V$ whose k -FTRS with respect*
 68 *to s must have $(2^k n/3)$ edges.*

69 The above theorems also hold in the case when the k -FTRS is defined with respect
 70 to vertex failures instead of edge failures.

71 Our result on k -FTRS implies solutions to the following problems in a straight-
 72 forward manner.

- 73 1. **Strong connectedness of a graph.** We show that it is possible to preprocess
 74 G in polynomial time to build a data structure of $O(2^k n)$ words that,
 75 after the failure of any set F of k edges or vertices, can determine in $O(2^k n)$
 76 time if the strongly connected components of graph $G \setminus F$ are the same as
 77 that of graph G .
- 78 2. **Fault-tolerant dominator tree.** We show that any given directed graph
 79 $G = (V, E)$ with a source $s \in V$ can be preprocessed in polynomial time to
 80 build a data structure of $O(2^k n)$ words that, after the failure of any set F of
 81 k edges or vertices, can report the dominator tree of $G \setminus F$ in $O(2^k n)$ time.

82 Besides the above applications, our techniques reveal an interesting connection
 83 between two seemingly unrelated structures: farthest min-cut and k -FTRS. The
 84 *farthest* min-cut is a very basic concept in the area of flows and cuts, and was already
 85 introduced by Ford and Fulkerson (for more details see subsection 4.2). It turns out
 86 that the construction of k -FTRS employs a hierarchy of suitably constructed farthest

¹ Term ‘reachability tree’ in [2] is used for denoting a subgraph of G which (i) is an arborescence (directed tree) rooted at source s , and (ii) contains all the vertices reachable from s in G .

87 min-cuts. We believe that this relationship might be of independent interest from the
 88 perspectives of graph theory and algorithm design.

89 In this paper, we describe the construction of a k -FTRS with respect to edge
 90 failures only. Vertex failures can be handled by simply splitting each vertex v into an
 91 edge (v_{in}, v_{out}) , where the incoming and outgoing edges of v are respectively directed
 92 into v_{in} and directed out of v_{out} .

93 **1.1. Related Work.** The most closely related problem to single-source reach-
 94 ability is the problem of single-source shortest paths. Similar to the definition of
 95 k -FTRS, one can define a k -Fault Tolerant Subgraph that preserves the shortest path
 96 tree rooted at vertex s . We denote such a subgraph with k -FTSS. Unfortunately, for
 97 weighted graphs (directed or undirected), no sparse k -FTSS is possible - Demetrescu
 98 et al. [13] showed that there exist graphs whose 1-FTSS must have $\Omega(m)$ edges. For
 99 the case of unweighted graphs Parter and Peleg [26] showed that we can compute
 100 a 1-FTSS with $O(n^{3/2})$ edges; they also showed a matching lower bound. Recently,
 101 Parter [24] extended this result to 2-FTSS with $O(n^{5/3})$ edges for unweighted undi-
 102 rected graphs. She also showed a lower bound of $\Omega(n^{5/3})$. While the construction of
 103 a 1-FTSS is relatively simple, the construction of 2-FTSS is relatively complicated.

104 As opposed to the situation with FTSS, our construction of general FTRS implies
 105 that for every constant k there is a linear size k -FTRS. Therefore, from the perspec-
 106 tive of graph theory, our tight k -FTRS reveals an interesting separation phenomena
 107 between single-source reachability and single-source shortest paths in the directed
 108 graphs.

109 For undirected weighted graphs, there exist various results for sparse fault-tolerant
 110 subgraphs that preserve distance from the source approximately. Baswana and Khanna ■
 111 [3] showed that there is a subgraph with $O(n \log n)$ edges that preserves the dis-
 112 tances from s up to a multiplicative stretch of 3 upon failure of any single vertex.
 113 Nardelli et al. [22] showed that there is a subgraph with $2n$ edges that preserves
 114 the distances from s up to a multiplicative stretch of 3 upon failure of a single edge.
 115 Bilò et al. [5] showed that we can compute a subgraph with $O(n \log n / \epsilon^2)$ edges that
 116 preserves $(1 + \epsilon)$ -shortest path after failure of an edge as well as a vertex. For the
 117 case of edge failures, sparse fault-tolerant subgraphs exist for general k . Bilò et al. [6]
 118 showed that we can compute a subgraph with $O(kn)$ edges that preserves distances
 119 from s up to a multiplicative stretch of $(2k + 1)$ upon failure of any k edges. They
 120 also gave construction a data structure of $O(kn \log^2 n)$ words that can report distances
 121 from s stretched by a factor of at most $(2k + 1)$ in $O(k^2 \log^2 n)$ time.

122 For the case of all-pair shortest paths (APSP) in weighted directed graphs, Deme-
 123 trescu et al. [13] showed that we can build an $O(n^2 \log n)$ size data structure that can
 124 report the distance from u to v avoiding x for any $u, v, x \in V$ in $O(1)$ time. Duan
 125 and Pettie [15] extended this result to dual failures by designing a data structure of
 126 $O(n^2 \log^3 n)$ space that can answer any distance query upon the failure of any two
 127 vertices in $O(\log n)$ time.

128 Fault-tolerant structures for depth first search tree, graph spanners, approximate
 129 distance oracles, and compact routing schemes have been studied in [1, 10, 11, 12, 14,
 130 23, 4, 25].

131 **1.2. Organization of the Paper.** We describe notations and terminologies in
 132 section 2. In section 3 we provide an overview of our result, and in section 4 we describe
 133 various tools used to obtain a k -FTRS. In order to describe the ideas underlying
 134 k -FTRS gradually for a better understanding, we first present a simple construction
 135 of a 2-FTRS with $4n$ edges in section 5. We describe the general construction of

136 k -FTRS for any $k \geq 1$ in section 6. The proof for the matching lower bound is given
 137 in section 7. We present the applications of k -FTRS in section 8.

138 **2. Preliminaries.** Given a directed graph $G = (V, E)$ on $n = |V|$ vertices and
 139 $m = |E|$ edges, and a source vertex $s \in V$, the following notations will be used
 140 throughout the paper.

- 141 • $E(f)$: Edges of the graph G carrying a non-zero flow for a given flow f .
- 142 • $E(P)$: Edges lying on a path P .
- 143 • $E(A)$: Edges of the graph G whose both endpoints lie in set A , where $A \subseteq V$.
- 144 • $G(A)$: The subgraph of G induced by the vertices lying in a subset A of V .
- 145 • $H \setminus F$: Graph obtained by deleting the edges lying in set F from graph H .
- 146 • $H + (u, v)$ (respectively $H + F$): Graph obtained by adding an edge (u, v)
 147 (respectively a set of edges F) to graph H .
- 148 • $\text{MAX-FLOW}(H, S, t)$: The value of the maximum flow in graph H , where the
 149 source is a set of vertices S and destination is a single vertex t .
- 150 • $\text{OUT}(A)$: The set of all those vertices in $V \setminus A$ having an incoming edge from
 151 some vertex of A , where $A \subseteq V$.
- 152 • $\text{IN-EDGES}(v, H)$: The set of all incoming edges to v in H . When the graph
 153 H is clear from context we denote it by simply $\text{IN-EDGES}(v)$.
- 154 • $P[a, b]$: The subpath of path P lying between vertices a and b , assuming a
 155 precedes b on P .
- 156 • $P :: Q$: The path formed by concatenating paths P and Q in G . Here it is
 157 assumed that the last vertex of P is the same as the first vertex of Q .

158 Our algorithm for computing a k -FTRS will involve the concepts of max-flow,
 159 min-cut, and edge disjoint paths. So, at times, we will visualize the same graph G
 160 as a network with unit edge capacities. The following well known result from Graph
 161 Theory shows the connection between max-flow and edge-disjoint paths.

162 **THEOREM 4.** *For any positive integer α , there is a flow from a source set S to a*
 163 *destination vertex t of value α if and only if there are α edge disjoint paths originating*
 164 *from set S and terminating at t .*

165 The following definition introduces the notion of FTRS from perspective of a
 166 single vertex $v \in V$.

167 **DEFINITION 5.** *Given a vertex $v \in V$ and an integer $k \geq 1$, a subgraph $G' =$
 168 (V, E') , $E' \subseteq E$ is said to be k -FTRS(v) if for every set F of k edge failures, the
 169 following condition holds: v is reachable from s in $G \setminus F$ if and only if v is reachable
 170 from s in $G' \setminus F$.*

171 This definition allows us to define k -FTRS in an alternative way as follows.

172 **DEFINITION 6.** *A subgraph H of $G = (V, E)$ is a k -FTRS if and only if H is a*
 173 *k -FTRS(v) for every $v \in V$.*

174 **3. Overview.** Our starting point is a lemma (referred as Locality Lemma) that
 175 allows us to focus on a single vertex for computing k -FTRS. It essentially states that
 176 if there exists an algorithm that for any vertex v computes a k -FTRS(v) in which
 177 the in-degree of v is bounded by some integer c_k , then on applying this algorithm
 178 recursively on an arbitrary sequence of vertices of G , we can get a k -FTRS for G
 179 in which the in-degree of all the vertices is bounded by c_k .

180 Thus the problem reduces to computing a k -FTRS(t) with at most 2^k incoming
 181 edges for any $t \in V$. The construction of a k -FTRS(t) employs farthest min-cut.
 182 Recall that a (s, t) -cut C is a partition of the vertices into two sets: one containing

183 the source, and the other containing the sink. The farthest min-cut is the (unique)
 184 min-cut for which the set containing the source is of largest size. We now provide the
 185 main idea underlying the construction of a k -FTRS(t).

186 If the max-flow from s to t in G is $k + 1$ or greater, then we can define k -FTRS(t)
 187 to be any $k + 1$ edge disjoint paths from s to t . In order to convey the importance of
 188 farthest min-cut, let us consider the case when max-flow is exactly k . Let us suppose
 189 that there exists a path P from s to t in $G \setminus F$, where F is the set of failing edges. Then
 190 P must pass through an edge, say (a_i, b_i) , of the farthest min-cut. It follows from the
 191 properties of the farthest min-cut that if we include vertex b_i in the source then the
 192 max-flow increases (see Lemma 9). That is, we get at least $k + 1$ edge disjoint paths
 193 from the set $\{s, b_i\}$ to t . Note that one of these paths, say Q , must be intact even
 194 after k failures. Though Q may start from b_i (instead of s), but it is not problematic
 195 as the concatenation $P[s, b_i] :: Q$ will be preserved in $G \setminus F$. This suggests that a
 196 subgraph H of G that contains $k + 1$ edge disjoint paths from $\{s, b_i\}$ to t , for each i ,
 197 will serve as a k -FTRS(t).

198 For the case when (s, t) max-flow in G is less than k , we compute a series of
 199 farthest min-cuts built on a hierarchy of nested source sets. Our construction consists
 200 of k rounds. It starts with a source set S containing the singleton vertex s . In each
 201 iteration we add to the previous source S , the endpoints b_i 's of the edges corresponding
 202 to the farthest (S, t) min-cut. The size of the cuts in this hierarchy governs the in-
 203 degree of t in k -FTRS(t). In order to get a bound on the size of these cuts, we
 204 transform G into a new graph with $O(m)$ vertices and edges, so that the following
 205 assumption holds.

206 **Assumption 1.** The out-degree of all vertices in G is at most two.

207
 208 It turns out that a k -FTRS(t) for the original graph can be easily obtained by
 209 a k -FTRS(t) of the transformed graph. Below we provide the justification for the
 210 above assumption.

211 **3.1. Justification for Assumption 1.** Let G be the input graph. We construct
 212 a new graph $H = (V', E')$ from G such that out-degree of each vertex in it is bounded
 213 by two as follows.

- 214 (i) For each u in V , construct a binary tree B_u such that the number of leaves
 215 in B_u is exactly equal to out-degree (say $d(u)$) of u in G . Let u^r be the root
 216 of this tree, and $u_1^\ell, \dots, u_{d(u)}^\ell$ be its leaves.
- 217 (ii) Insert the binary tree B_u in place of out-edges of u in G as follows. We delete
 218 all the out-edges, say $(u, v_1), \dots, (u, v_{d(u)})$, of vertex u . Next we connect u
 219 to u^r , and u_i^ℓ to v_i , for each $i \leq d(u)$.

220 Observe that H constructed in this manner will have $O(m)$ edges and vertices. In
 221 this process, the out-degree of each vertex in H gets bounded by 2, though in-degree
 222 of original vertices remains unchanged. Notice that an edge in G is mapped to a path
 223 in H as follows.

$$224 \quad (u, v_i) \mapsto (u, u^r) :: (\text{path from } u^r \text{ to } u_i^\ell \text{ in } B_u) :: (u_i^\ell, v_i)$$

225 We now show how to construct a k -FTRS(t) (say G^*) for G . Let H^* be a
 226 k -FTRS(t) for graph H . For each out-neighbor v_i of a vertex u in G , include edge
 227 (u, v_i) in G^* if and only if edge (u_i^ℓ, v_i) is present in H^* . Now consider any set F of
 228 k failed edges in G . Define a set F' of failed edges in H by adding edge (u_i^ℓ, v_i) to F'
 229 for each $(u, v_i) \in F$. It can be inferred from the mapping defined above that there is

230 a path from s to t in $G^* \setminus F$ if and only if there is a path from s to t in $H^* \setminus F'$. Thus
 231 graph G^* is a k -FTRS(t) for graph G with $\text{in-degree}(t, G^*)$ equal to $\text{in-degree}(t, H^*)$.
 232 This shows that computing a k -FTRS(t) for $t \in V$ in G is equivalent to computing
 233 k -FTRS(t) in graph H which has $O(m)$ edges and vertices, and out-degree of each
 234 vertex is bounded by two. Hence Assumption 1 is justified.

235 **4. The main tools.** We now describe the main tools used in obtaining our
 236 k -FTRS.

237 **4.1. Locality Lemma.** We first formally state and prove the locality lemma.

238 LEMMA 7. *Suppose there exists an algorithm \mathcal{A} and an integer c_k satisfying the*
 239 *following condition: Given any graph G and a vertex v in G , \mathcal{A} computes a subgraph*
 240 *H of G such that*

- 241 (i) H is a k -FTRS(v), and
- 242 (ii) the in-degree of v in H is bounded by c_k .

243 *Then, we can compute a k -FTRS for G with at most $c_k n$ edges.*

244 *Proof.* Let $\langle v_1, \dots, v_n \rangle$ be any arbitrary sequence of the n vertices of G . We
 245 compute k -FTRS in n rounds as follows. Let $G_0 = G$ be the initial graph. In round
 246 i , we compute a graph G_i which is a k -FTRS with in-degree of vertices v_1, \dots, v_i
 247 bounded by c_k . This is done as follows: (i) We compute a k -FTRS(v_i), say H , for
 248 graph G_{i-1} using algorithm \mathcal{A} ; (ii) We set G_i to be the graph obtained from G_{i-1}
 249 by restricting the incoming edges of v_i to only those present in H . It is easy to see
 250 that in-degree of vertices v_1, \dots, v_i in graph G_i would be bounded by c_k . We now show
 251 using induction that the graphs G_0, G_1, \dots, G_n are all k -FTRS for G . The base case
 252 trivially holds true. In order to show that G_i ($i > 0$) is a k -FTRS for G , it suffices to
 253 show that G_i is a k -FTRS for G_{i-1} .

254 Consider any set F of k edge failures in G_{i-1} . Let x be any vertex reachable from
 255 s in $G_{i-1} \setminus F$ by some path, say P . We need to show the existence of a path Q from
 256 s to x in $G_i \setminus F$. If path P does not pass through v_i then we can simply set Q as
 257 P . If P passes through v_i , then we consider the segments $P[s, v_i]$ and $P[v_i, x]$. Since
 258 G_i and G_{i-1} may differ only in incoming edges of v_i , path $P[v_i, x]$ must be intact in
 259 $G_i \setminus F$. Now H is a k -FTRS(v_i) for G_{i-1} , thus there must exist a path, say Q , from
 260 s to v_i in $H \setminus F$. Note that G_i contains H . Thus $Q \cup P[v_i, x]$ is a walk from s to x
 261 in $G_i \setminus F$, and after removal of all loops from it, we get a path from s to x in $G_i \setminus F$.
 262 Hence G_i is a k -FTRS for G_{i-1} . \square

263 **4.2. Farthest Min-Cut.**

264 DEFINITION 8. *Let S be a source set and t be a destination vertex. Any (S, t) -cut*
 265 *C is a partition of the vertex set into two sets: $A(C)$ and $B(C)$, where $S \subseteq A(C)$ and*
 266 *$t \in B(C)$. An (S, t) -min-cut C^* is said to be the farthest min-cut if $A(C^*) \supseteq A(C)$*
 267 *for every (S, t) -min-cut C other than C^* . We denote C^* by $\text{FMC}(G, S, t)$.*

268 Ford and Fulkerson [16] showed the construction of the farthest (S, t) -min-cut and
 269 also gave an algorithm for constructing it. For the sake of completeness we state the
 270 following result from a recent reprint [17] (for proof see Theorem 5.5 of Chapter 1,
 271 which is freely available on web).

272 LEMMA 9. *Let G_f be the residual graph corresponding to any max-flow f_S from*
 273 *S to t . Let B be the set of those vertices from which there is a path to t in G_f , and*
 274 *$A = V \setminus B$. Then the set C of edges originating from A and terminating to B is the*
 275 *unique farthest (S, t) -min-cut, and is independent of the choice of the initial max-flow*
 276 *f_S .*

277 We now state an important property of the farthest min-cut. Informally, this
 278 property claims that the max-flow in G increases by one if we add to G a new edge
 279 from the set $S \times B$. (If the new edge already exists in E , then we add one more copy
 280 of it to G).

281 LEMMA 10. *Let S be a source set, t be a destination vertex, C be $\text{FMC}(G, S, t)$,
 282 and (A, B) be the partition of V corresponding to cut C . Let $(s, w) \in (S \times B)$ be
 283 any arbitrary edge, and $G' = G + (s, w)$ be a new graph. Then, $\text{MAX-FLOW}(G', S, t)$
 284 $= 1 + \text{MAX-FLOW}(G, S, t)$, and $C' = C \cup \{(s, w)\}$ forms a (S, t) -min-cut for graph G' .*

285 *Proof.* Let f_S be a max-flow from S to t , and G_f be the corresponding residual
 287 graph. Since $w \in B$, Lemma 9 implies that there exists a path from w to t in G_f .
 288 This shows that there exists a path from s to t in $G_f + (s, w)$. Note that $G_f + (s, w)$
 289 is the residual graph for G' with respect to flow f_S . Thus $\text{MAX-FLOW}(G', S, t)$ is
 290 greater than $\text{MAX-FLOW}(G, S, t)$. Since G' is obtained by adding only one extra edge
 291 to G , the value of max-flow cannot increase by more than one, hence we get that
 292 $\text{MAX-FLOW}(G', S, t)$ is equal to $1 + \text{MAX-FLOW}(G, S, t)$.

293 To prove the second part, note that the existence of a path P from S to t in
 294 $G' \setminus C'$ would imply the existence of a path from S to t in G not passing through
 295 cut C . Since this cannot be possible, C' must be an (S, t) -cut for graph G' . Now
 296 $|C'| = 1 + |C| = 1 + \text{MAX-FLOW}(G, S, t) = \text{MAX-FLOW}(G', S, t)$. That is, the cardinality
 297 of C' is the same as the value of max-flow from S to t . Hence, C' is an (S, t) -min-cut. \square

298 We state two more properties of farthest-min-cut that will be used in the con-
 299 struction of k -FTRS.

300 LEMMA 11. *Let s and t be a pair of vertices. Let $S \subseteq V$ such that $s \in S$ and
 301 $t \notin S$. Let f_S be a max-flow from S to t , C be $\text{FMC}(S, t)$, and (A, B) be the partition
 302 of V induced by cut C . Then we can find a max-flow, say f , from s to t such that
 303 $E(f) \subseteq E(A) \cup E(f_S)$.*

304 *Proof.* Let $\alpha = \text{MAX-FLOW}(G, S, t)$ and $\beta = \text{MAX-FLOW}(G, s, t)$. Let e_1, \dots, e_α
 305 be the edges lying in the cut C , and let f' be any arbitrary max-flow from s to t .
 306 Note that C is also an (s, t) -cut. Thus, without loss of generality we can assume that
 307 $C \cap E(f') = \{e_1, \dots, e_\beta\}$. Now let $\{(P_i :: e_i :: P'_i) : i \leq \alpha\}$ ² be a set of α edge disjoint
 308 paths from S to t corresponding to max-flow f_S . Since the edges of cut C are fully
 309 saturated with respect to flow f_S , each P_i will lie entirely in $G(A)$ and each P'_i will
 310 lie entirely in $G(B)$.

311 Let $\{(Q_i :: e_i :: Q'_i) : i \leq \beta\}$ be set of β edge disjoint paths from s to t cor-
 312 responding to flow f' such that each Q_i lies entirely in $G(A)$. Note that since C is
 313 not necessarily an (s, t) -min-cut, so a path Q'_i may pass multiple times through cut
 314 C . Now $\{(Q_i :: e_i :: P'_i) : i \leq \beta\}$ forms β edge disjoint paths from s to t . This is
 315 so because Q_i 's lie entirely in $G(A)$ and P'_i 's lie entirely in set $G(B)$. Let f be the
 316 flow corresponding to these paths. Then f gives a max-flow from s to t such that
 317 $E(f) \subseteq E(A) \cup E(f_S)$. \square

318 LEMMA 12. *Let s and t be a pair of vertices. Let $S \subseteq V$ such that $s \in S$ and
 319 $t \notin S$. Let (\hat{A}, \hat{B}) be the partition of V induced by $\text{FMC}(s, t)$, and (A, B) be the
 320 partition of V induced by $\text{FMC}(S, t)$. Then $B \subseteq \hat{B}$.*

321 *Proof.* Consider any vertex $x \in B$. We first show that we can find a max-flow
 322 (say f_S) from S to t , and path (say P) from x to t , such that $E(f_S) \cap E(P)$ is empty.

²With a slight abuse of notation, while concatenating, we can view an edge as path of length one.

323 Consider the graph $G' = G+(s, x)$. From [Lemma 10](#), we have that $\text{MAX-FLOW}(G', S, t)$
 324 $= 1 + \text{MAX-FLOW}(G, S, t) = 1 + \alpha$ (say). Consider any max-flow f'_S from S to t in G' .
 325 Notice that $E(f'_S)$ must contain the edge (s, x) . On removal of this edge from f'_S , it
 326 decomposes into a flow f_S (from S to t in G of capacity α), and a path P (from x
 327 and to t in G). It is easy to verify that f_S is a max-flow in G , and $E(f_S) \cap E(P) = \emptyset$.

328 Now from [Lemma 11](#) we have that there exists a max-flow, say f , from s to t such
 329 that $E(f) \subseteq E(A) \cup E(f_S)$. Also note that path P will lie entirely in graph $G(B)$,
 330 since edges of cut (A, B) are fully saturated by flow f_S , so if path P enters set A , it
 331 will not be able to return to vertex $t \in B$. Thus $E(f) \cap E(P)$ is also empty. Thus
 332 path P lies in residual graph corresponding to max-flow f from s to t in G . So vertex
 333 x must lie in \hat{B} . Hence we have $B \subseteq \hat{B}$. \square

334 **5. A 2-FTRS with $4n$ edges.** From [Lemma 7](#) it follows that in order to con-
 335 struct a 2-FTRS for a vertex s of graph G , it is sufficient to construct for an arbitrary
 336 vertex t a subgraph H which is a 2-FTRS(t), such that the in-degree of t in H is at
 337 most 4.

338 By Assumption 1 stated in [section 3](#), we have that out-degree of s is at most 2.
 339 Thus the value of max-flow from s to t must be either one or two. Below we explain
 340 how to construct a 2-FTRS(t) in each of these cases.

341 **Case 1.** $\text{MAX-FLOW}(G, s, t) = 2$: Let $C = \{(a, b), (a', b')\}$ ³ be the farthest (s, t)
 342 min-cut in G . (See [Figure 1\(i\)](#)). So after the failure of a set F of any two edges,
 343 a path from s to t (if it exists) in $G \setminus F$, must pass through C . We construct an
 344 auxiliary graph H by adding the edge (s, b) or (s, b') to G depending upon whether
 345 this path passes through (a, b) or (a', b') . Since C is the farthest min-cut of G , it
 346 follows from [Lemma 10](#) that the value of (s, t) max-flow in both the graphs $G + (s, b)$
 347 and $G + (s, b')$ must be 3. So we denote P_0, P_1, P_2 to be any three edge disjoint paths
 348 from s to t in $G + (s, b)$ (see [Figure 1\(ii\)](#)), and similarly P'_0, P'_1, P'_2 to be three edge
 349 disjoint paths in $G + (s, b')$. The lemma below shows how these paths can be used to
 350 compute a 2-FTRS(t).

351 **LEMMA 13.** *Let E_t be a subset of incoming edges to t satisfying the following two*
 352 *conditions:*

- 353 (i) E_t contains last edges on paths P_0, P_1, P_2 in case $b \neq t$, and the edge (a, b) in
 354 case $b = t$.
 355 (ii) E_t contains last edges on paths P'_0, P'_1, P'_2 in case $b' \neq t$, and the edge (a', b')
 356 in case $b' = t$.

357 *Then the graph G^* formed by restricting the incoming edges of t in G to E_t is a*
 358 *2-FTRS(t).*

359 *Proof.* Consider any set F of two edge failures. Note that if t is unreachable from
 360 s in $G \setminus F$, then we have nothing to prove. So let us assume that there exists a path
 361 R from s to t in $G \setminus F$, and it passes through edge (a, b) of cut C . So, the auxiliary
 362 graph is $H = G + (s, b)$. Now if $b = t$, then R is in $G^* \setminus F$ since $(a, b) = (a, t) \in E_t$.
 363 Consider the case that $b \neq t$. Since P_0, P_1 , and P_2 are edge disjoint, at least one of
 364 them is in the graph $H \setminus F$, let this path be P_0 . Now either (i) P_0 is in $G \setminus F$, or
 365 (ii) the first edge on it must be (s, b) . In the latter case we replace the edge (s, b) by
 366 path $R[s, b]$, so that the path $R[s, b]::P_0[b, t]$ is in $G \setminus F$. In both cases we get a path
 367 from s to t in $G \setminus F$ that enters t using only edges of the set E_t . Similar analysis can

³Note that (a, b) and (a', b') are two different edges, however, it might happen that either $a = a'$
 or $b = b'$.

368 be carried out when path R passes through edge (a', b') . Hence we get that G^* is a
 369 2-FTRS(t). □

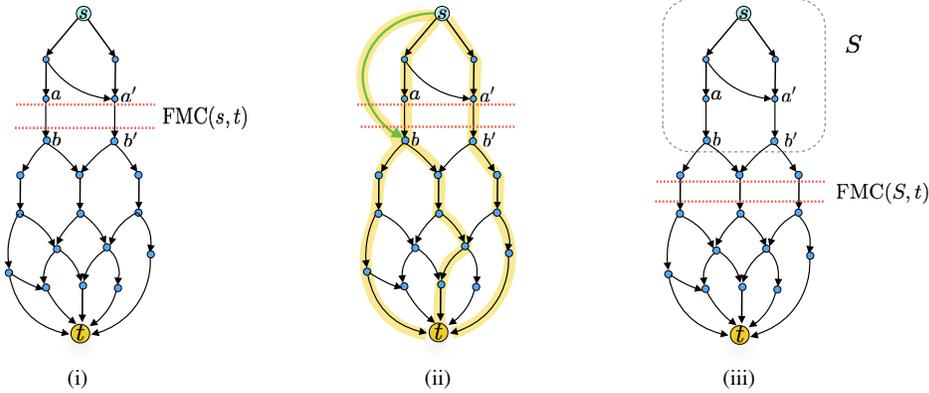


FIG. 1. (i) Edges (a, b) and (a', b') represent the farthest min-cut from s to t . (ii) Paths highlighted in yellow color represent three edge-disjoint paths P_0, P_1, P_2 in graph $G + (s, b)$. (iii) As $b, b' \neq t$, source S is equal to $A \cup \{b, b'\}$, and $\text{FMC}(G, S, t) = 3$.

370 Using the above lemma we can get a 2-FTRS(t) in which the in-degree of t is
 371 bounded by 6, by including the last edges of all six paths $P_0, P_1, P_2, P'_0, P'_1, P'_2$ in
 372 E_t . But our aim is to achieve a bound of 4. For this we construct a source set
 373 $S = A \cup (\{b, b'\} \setminus \{t\})$, where A and B forms a partition of V induced by C , and
 374 compute a max flow f_S from S to t . (See Figure 1(iii)). The following lemma shows
 375 that the graph obtained by restricting the incoming edges of t to those carrying a
 376 non-zero flow with respect to f_S is a 2-FTRS(t).

377 **LEMMA 14.** *Let $\mathcal{E}(t)$ be the set of incoming edges to t carrying a non-zero flow*
 378 *with respect to f_S . Then the graph $G^* = (G \setminus \text{IN-EDGES}(t)) + \mathcal{E}(t)$ is a 2-FTRS(t).*

379 *Proof.* In order to prove this lemma it suffices to show that $\mathcal{E}(t)$ satisfies the
 380 conditions required in Lemma 13. Here we show that $\mathcal{E}(t)$ satisfies the condition (i)
 381 of Lemma 13. The proof of condition (ii) follows in a similar manner.

382 Note that if $b = t$, then edge (a, b) will be a direct edge from S to t , and would
 383 thus be in f_S . In this case (a, b) is in $\mathcal{E}(t)$. So consider the case $b \neq t$. In order to
 384 compute the paths P_0, P_1, P_2 we consider the graph $G_b = G + (s, b)$. Since both the
 385 endpoints of edge (s, b) lie in S , we have that f_S is a max-flow from S to t in graph
 386 G_b , as well. Let (A_S, B_S) be the partition of V induced by any (S, t) min-cut in graph
 387 G_b . Lemma 11 implies that we can find a max-flow, say f , from s to t in G_b such that
 388 $E(f) \subseteq E(A_S) \cup E(f_S)$. In other words, the incoming edges to t in $E(f)$ are from the
 389 set $\mathcal{E}(t)$. Recall that Lemma 10 implies that value of flow f is 3. So we set P_0, P_1, P_2
 390 to be just the three paths corresponding to flow f . □

391 We now show that the in-degree of t in G^* is bounded by 4. In order to prove
 392 this, it suffices to show that the value of (S, t) max-flow in G is at most 4. Now if

- 393 1. $b, b' \neq t$, then outgoing edges of b and b' will form an (S, t) cut,
- 394 2. $b = t$, then (a, b) along with outgoing edges of b' will form an (S, t) cut, and
- 395 3. $b' = t$, then (a', b') along with outgoing edges of b will form an (S, t) cut.

396

397 By Assumption 1, the out-degree of every vertex is bounded by two. Therefore, the
 398 value of (S, t) min-cut (and max-flow) can be at most 4.

399 **Case 2.** $\text{MAX-FLOW}(G, s, t) = 1$: Let $C = \{(x, y)\}$ be the farthest min-cut from
 400 s to t . Then every path from s to t must pass through edge (x, y) . Note that if $y = t$,
 401 then we can simply return the graph obtained by deleting all incoming edges of t
 402 except (x, t) . If $y \neq t$, then the value of (y, t) -max-flow must be 2. So in this case
 403 we return a 2-FTRS(t) with y as a source (using **Case 1.**), let this graph be G^* . It
 404 is easy to verify that G^* is a 2-FTRS(t) with s as source, and in-degree of t in G^* is
 405 bounded by 4.

406

407 This completes the construction of a 2-FTRS(t). We thus have the following
 408 theorem.

409 **THEOREM 15.** *There exists a polynomial time algorithm that for any given di-*
 410 *rected graph G on n vertices computes a 2-FTRS for G with at most $4n$ edges.*

411 **6. Computing a k -FTRS.** In this section we prove **Theorem 2**. Let t be a
 412 vertex in G for which k -FTRS(t) from s needs to be computed. (Recall that from
 413 **Lemma 7** it follows that this is sufficient in order to prove **Theorem 2.**) Before we
 414 present the construction of k -FTRS(t) we state one more assumption on the graph G
 415 (in addition to Assumption 1).

416 **Assumption 2.** The out-degree of the source vertex s is one.

417

418 The above assumption can be easily justified by adding a new vertex s' together
 419 with an edge (s', s) to G and then setting s' as the new source vertex. **Algorithm 1**
 420 constructs a k -FTRS(t) with at most 2^k incoming edges of t .

Algorithm 1 Algorithm for computing k -FTRS(t)

```

1:  $S_1 \leftarrow \{s\}$ 
2: for  $i = 1$  to  $k$  do
3:    $C_i \leftarrow \text{FMC}(G, S_i, t)$ 
4:    $(A_i, B_i) \leftarrow \text{Partition}(C_i)$ 
5:    $S_{i+1} \leftarrow (A_i \cup \text{OUT}(A_i)) \setminus \{t\}$ 
6: end for
7:  $f_0 \leftarrow \text{max-flow from } S_{k+1} \text{ to } t$ 
8:  $\mathcal{E}(t) \leftarrow \text{Incoming edges of } t \text{ present in } E(f_0)$ 
9: return  $G^* = (G \setminus \text{IN-EDGES}(t)) + \mathcal{E}(t)$ 

```

421 **Algorithm 1** works as follows. It performs k iterations. In the i th iteration it
 422 computes the farthest min-cut C_i between a source set S_i and vertex t . For the 1st
 423 iteration, the source set S_1 consists of only vertex s . For $i \geq 1$, the source set S_{i+1}
 424 is defined by the farthest min-cut computed in the i th iteration. If (A_i, B_i) is the
 425 partition of V induced by C_i , then we set S_{i+1} as A_i union those vertices in $B_i \setminus \{t\}$
 426 that have an incoming edge from any vertex of A_i . Notice that since $S_i \subseteq A_i$ it implies
 427 that $S_i \subseteq S_{i+1}$. After k iterations the algorithm computes a max-flow f_0 from S_{k+1}
 428 to t and sets $\mathcal{E}(t)$ to be the incoming edges of t that are in $E(f_0)$. The algorithm
 429 returns a graph G^* obtained by restricting the incoming edges of t to $\mathcal{E}(t)$. We show
 430 in the next subsection that G^* is a k -FTRS(t) with $\text{in-degree}(t)$ bounded by 2^k . We
 431 must note that after some iteration $i < k$, the source set may become $V \setminus \{t\}$. In this
 432 case, all subsequent iterations will be redundant.

433 For a better understanding of the hierarchy of cuts and the source sets constructed
 434 in our algorithm, refer to **Figure 2(i)**. Note that some of the edges in a cut C_i

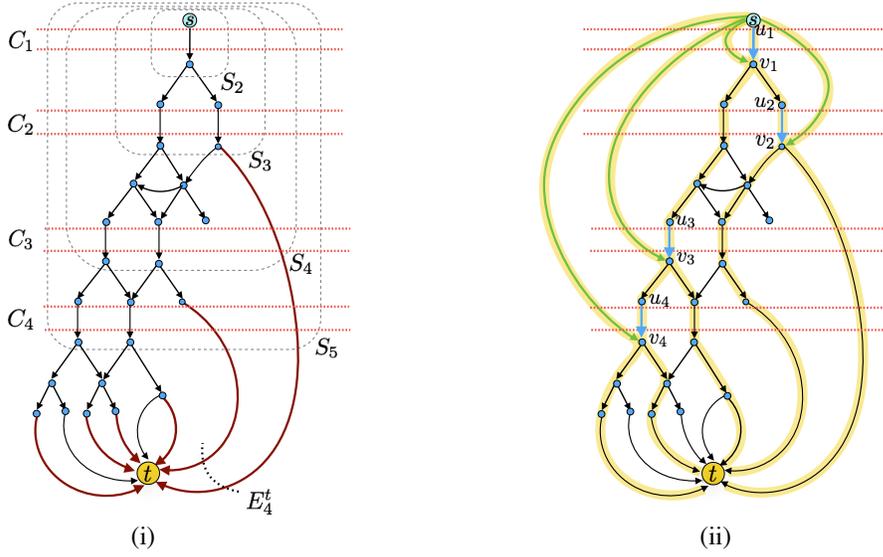


FIG. 2. (i) The edges in brown color constitute the set $\mathcal{E}(t)$ when $k = 4$. (ii) Paths highlighted in yellow color represent 5 edge-disjoint paths in graph H .

435 ($i \in [1, k]$) may terminate to t , we denote this set by E_i^t . The following lemma shows
 436 that these edges are always included in our FTRS G^* .

437 **LEMMA 16.** For every $1 \leq i \leq k$, $E_i^t \subseteq \mathcal{E}(t)$.

438 *Proof.* Consider any edge $(u, t) \in E_i^t$. Since u belongs to A_i it follows from the
 439 algorithm that u is added to the source set $S_{i+1} \subseteq S_{k+1}$. Thus (u, t) is a direct edge
 440 from source S_{k+1} to t , and must be in every max-flow from S_{k+1} to t . \square

441 **6.1. Analysis.** We now show that G^* is a k -FTRS(t). Let F be any set of k
 442 failed edges. Assume that there exists a path R from s to t in $G \setminus F$. We shall prove
 443 the existence of a path \hat{R} from s to t in $G^* \setminus F$. Let $i \in [1, k]$. Since each cut C_i is
 444 an (s, t) -cut, the path R must pass through an edge, say (u_i, v_i) , in C_i . Let us first
 445 consider the case when $(u_i, v_i) \in E_i^t$ for some $i \in [1, k]$. Since Lemma 16 implies that
 446 (u_i, v_i) is present in G^* and $v_i = t$, the path R is contained in G^* . So we can set \hat{R} to
 447 R . We now turn to the case when the edge (u_i, v_i) belongs to the set $C_i \setminus E_i^t$ for every
 448 $i \in [1, k]$. In order to show the existence of a path \hat{R} in G^* , we introduce a sequence
 449 of auxiliary graphs H_i 's (for every $i \in [1, k + 1]$) as follows.

$$450 \quad H_1 = G, \quad H_i = G + (s, v_1) + \dots + (s, v_{i-1}), \quad i \in [2, k + 1]$$

451 Let $H = H_{k+1}$ be the graph obtained by adding all the edges $(s, v_1), \dots, (s, v_k)$ to
 452 graph G . (See Figure 2(ii)). We will show using induction that H_i contains exactly i
 453 edge disjoint paths from s to t . Before presenting the proof, we show that for any i ,
 454 the cut C_i , which is the farthest min-cut between S_i and t in G , is also the farthest
 455 min-cut between S_i and t in H_i .

456 **LEMMA 17.** $C_i = \text{FMC}(H_i, S_i, t)$.

457 *Proof.* The cut C_i is defined as $\text{FMC}(G, S_i, t)$ and $H_i = G + \sum_{j < i} (s, v_j)$. Since
 458 the algorithm adds to the source set S_{j+1} all the vertices in $\text{OUT}(A_j) \setminus \{t\}$, the vertex
 459 $v_j \in \text{OUT}(A_j) \setminus \{t\}$ is added to $S_{j+1} \subseteq S_i$. This implies that the graph H_i is formed

460 by adding edges such that both of their endpoints are in S_i . Hence, C_i is also equal
 461 to $\text{FMC}(H_i, S_i, t)$, and A_i, B_i form the partition of V induced by C_i in H_i . \square

462 LEMMA 18. $\text{MAX-FLOW}(H, s, t) = k + 1$.

463 *Proof.* We show by induction that $\text{MAX-FLOW}(H_i, s, t) = i$, for each $i \in [1, k + 1]$.
 464 Note that $H_1 = G$. The base case holds since the out-degree of s is one and t is
 465 reachable from s , thus $\text{MAX-FLOW}(H_1, s, t) = 1$.

466 Recall that H_{i+1} is formed by adding the edge (s, v_i) to H_i , where (u_i, v_i) was an
 467 edge present in cut C_i . It follows from Lemma 17 that C_i is the farthest min-cut in
 468 H_i with S_i as source, and (A_i, B_i) is the partition of V induced by C_i . Let (\hat{A}, \hat{B}) be
 469 the partition of V induced by $\text{FMC}(H_i, s, t)$. It follows from Lemma 12 that $B_i \subseteq \hat{B}$.
 470 Therefore, using Lemma 10 we get $\text{MAX-FLOW}(H_{i+1}, s, t) = 1 + \text{MAX-FLOW}(H_i, s, t) =$
 471 $i + 1$. \square

472 Next, we define H^* to be a graph obtained from H where the incoming edges of
 473 t are only those present in the set $\mathcal{E}(t)$, that is, $H^* = (H \setminus \text{IN-EDGES}(t)) + \mathcal{E}(t)$. The
 474 following Lemma shows that the value of max-flow remains unaffected by restricting
 475 the incoming edges of t to $\mathcal{E}(t)$.

476 LEMMA 19. $\text{MAX-FLOW}(H^*, s, t) = k + 1$.

477 *Proof.* Recall that f_0 is a max-flow from S_{k+1} to t in G . Since both endpoints
 478 of the edges $(s, v_1), \dots, (s, v_k)$ are in S_{k+1} , we get that f_0 is a max-flow from S_{k+1}
 479 to t in graph $H = G + \sum_{i=1}^k (s, v_i)$ as well. From Lemma 11 it follows that we
 480 can always find an (s, t) max-flow, say f , in H such that $E(f) \subseteq E(f_0) \cup E(A_{k+1})$.
 481 The flow f terminates at t using only edges from $\mathcal{E}(t)$, therefore, it is a flow in
 482 graph $H^* = (H \setminus \text{IN-EDGES}(t)) + \mathcal{E}(t)$ as well. Since f is an (s, t) max-flow in
 483 H and max-flow cannot increase on edge removal, it follows from Lemma 18 that
 484 $\text{MAX-FLOW}(H^*, s, t) = k + 1$. \square

485 Note that graph H^* defined above is also equal to $G^* + \sum_{j=1}^k (s, v_j)$. Next, using
 486 the $k + 1$ edge disjoint paths in H^* we show that G^* is a k -FTRS(t).

487 LEMMA 20. *For any set F of k edges, if t is reachable from s in $G \setminus F$, then t is*
 488 *reachable from s in $G^* \setminus F$ as well.*

489 *Proof.* Recall that we started with assuming that R is a path from s to t in $G \setminus F$.
 490 We need to show that there exists a path \hat{R} from s to t in $G^* \setminus F$. Consider the graph
 491 H^* . By Lemma 19 we get that there exist $k + 1$ edge disjoint paths from s to t in H^* ,
 492 let these be P_0, P_1, \dots, P_k . Since $|F| = k$, we have that at least one of these $k + 1$
 493 paths, say P_0 , must be intact in $H^* \setminus F$. Now if P_0 lies entirely in $G^* \setminus F$, then we
 494 can set \hat{R} to be P_0 . Thus let us assume that P_0 does not lie in $G^* \setminus F$. Since H^*
 495 is formed by adding edges $(s, v_1), \dots, (s, v_k)$ to G^* , we will have that the first edge on
 496 P_0 is one of the newly added edges, say (s, v_j) , and the remaining path $P_0[v_j, t]$ will
 497 lie entirely in $G^* \setminus F$. Now we can simply replace edge (s, v_j) by path $R[s, v_j]$ to get
 498 path $\hat{R} = R[s, v_j] \cup P_0[v_j, t]$ from s to t in $G^* \setminus F$. \square

499 We shall now establish a bound on the number of incoming edges of t in G^* .

500 **Bounding the size of set $\mathcal{E}(t)$.** Let $C_{k+1} = \text{FMC}(G, S_{k+1}, t)$. We now prove
 501 using induction that $|C_i|$ is bounded by 2^{i-1} , where $i \in [1, k]$, thus achieving a bound
 502 of 2^k on $|\mathcal{E}(t)| = |C_{k+1}|$. For the base case of $i = 1$, $|C_1| = 1$ is obvious, since the
 503 out-degree of s is one. In the following lemma we prove the induction step.

504 LEMMA 21. *For each $i \geq 1$ and $i \leq k$, $|C_{i+1}| \leq 2|C_i|$.*

505 *Proof.* Let D denote the set of edges originating from S_{i+1} and terminating to
 506 $V \setminus S_{i+1}$. Since S_{i+1} contains A_i , all the edges in set E_i^t must lie in D . Now consider
 507 an edge in $(u, v) \in D \setminus E_i^t$. Note that vertex u cannot lie in A_i , because then v must
 508 be either t or lie in $(\text{OUT}(A_i) \setminus \{t\}) \subseteq S_{i+1}$. Thus edges of $D \setminus E_i^t$ must originate
 509 from vertices of the set $\text{OUT}(A_i) \setminus \{t\}$. Since $|\text{OUT}(A_i) \setminus \{t\}| \leq |C_i \setminus E_i^t|$ and the
 510 out-degree of every vertex is at most two, so we get that $|D \setminus E_i^t| \leq 2|C_i \setminus E_i^t|$. Thus,
 511 $|D| \leq |E_i^t| + 2|C_i \setminus E_i^t| \leq 2|C_i|$. Since D is an (S_i, t) cut, we get that the size of (S_i, t)
 512 min-cut must be bounded by $2|C_i|$. \square

513 *Remark:* The fact that the out-degree of each vertex is upper bounded by 2 played a
 514 crucial role in bounding the size of k -FTRS in the proof of Lemma 21.

515 **Analysis of running time.** We now analyze the running time of our algorithm
 516 to compute a k -FTRS(t) for any $t \in V$. The first step in computation of k -FTRS(t)
 517 is to transform G into a graph with $O(m)$ vertices and edges such that out-degree of
 518 each vertex is bounded by two. This takes $O(m)$ time (see subsection 3.1). Next we
 519 apply Algorithm 1 on this transformed graph. The time complexity of Algorithm 1
 520 is dominated by the time required for computing the k farthest min-cuts which is
 521 $O(\sum_{i=1}^k m \times |C_i|) = O(2^k m)$ (see [17]). Finally a k -FTRS(t) for original graph can be
 522 extracted from a k -FTRS(t) of transformed graph in $O(m)$ time (see subsection 3.1).
 523 Thus a k -FTRS(t) for any vertex t can be computed in $O(2^k m)$ time. Since compu-
 524 tation of a k -FTRS requires n rounds, where in each round we compute k -FTRS(v)
 525 for some $v \in V$, the total time complexity is $O(2^k mn)$ (see proof of Lemma 7).

526 We conclude with the following theorem.

527 **Reminder of Theorem 2** *There exists an $O(2^k mn)$ time algorithm that for any*
 528 *given integer $k \geq 1$, and any given directed graph G on n vertices, m edges and*
 529 *a designated source vertex s , computes a k -FTRS for G with at most $2^k n$ edges.*
 530 *Moreover, the in-degree of each vertex in this k -FTRS is bounded by 2^k .*

531 **7. A matching lower bound.** We shall now show that for each k , n ($n \geq 3 \cdot 2^k$),
 532 there exists a directed graph G with n vertices whose k -FTRS must have $\Omega(2^k n)$ edges.
 533 Let T be a balanced binary tree of height k rooted at s . Let X be the set of leaf nodes
 534 of T , thus $|X| = 2^k$. Let Y be another set of $n - |V(T)|$ vertices. Then the graph
 535 G is obtained by adding an edge from each $x \in X$ to each $y \in Y$. In other words,
 536 $V(G) = V(T) \cup Y$ and $E(G) = E(T) \cup (X \times Y)$. Figure 3 illustrates the graph for
 $k = 3$.

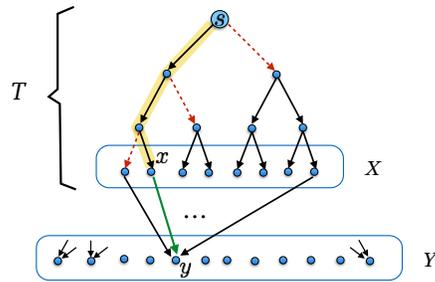


FIG. 3. For each edge (x, y) , there exist 3 edges (shown dotted) whose failure will render y unreachable from s unless (x, y) is kept in the 3-FTRS.

537

538 We now show that a k -FTRS for G must contain all edges of G . It is easy to see
 539 that all edges of T must be present in a k -FTRS of G . Thus let us consider an edge

540 $(x, y) \in X \times Y$. Let P be the tree path from s to leaf node x . Let F be the set of all
 541 those edges $(u, v) \in T$ such that $u \in P$ and v is the *child* of u not lying on P . Clearly
 542 $|F| = k$. Observe that x is the only leaf node of T reachable from s on the failure
 543 of the edges in set F . Thus $P::(x, y)$ is the unique path from s to y in $G \setminus F$. This
 544 shows that edge (x, y) must lie in a k -FTRS for G . Notice that G contains atleast
 545 $2^k|Y| > 2^k(n - 2^{k+1}) \geq 2^k n/3$ edges. This establishes a lower bound of $(2^k n/3)$ on
 546 the size of k -FTRS for G .

547 **Reminder of Theorem 3** *For any positive integers n, k with $n \geq 3 \cdot 2^k$, there exists*
 548 *a directed graph $G = (V, E)$ on n vertices and a source vertex $s \in V$ whose k -FTRS*
 549 *with respect to s must have $(2^k n/3)$ edges.*

550 **8. Applications.** In this section we present a few applications of k -FTRS.

551 **8.1. Detecting if a set of k edge/vertex failures alters the strong con-**
 552 **nectivity of a graph.** Georgiadis et al. [18] gave an $O(n)$ size oracle that, after
 553 any edge or vertex failure, can report the strongly connected components (SCCs) of
 554 the remaining graph in $O(n)$ time. Here we consider the more restricted problem
 555 where we are only interested in finding out if a set of k edge/vertex failures alters the
 556 SCCs of G . Our construction works as follows. Let G^R denote the graph obtained by
 557 reversing each edge of graph G . Let S_1, \dots, S_t be the partition of V corresponding to
 558 the SCCs of G , and let s_i be any arbitrary vertex in S_i . For $1 \leq i \leq t$, let G_i (G_i^R)
 559 denote the graph induced by set S_i in G (G^R). For each $i \in [1, t]$, let H_i and H_i^R
 560 denote the k -FTRS for G_i and G_i^R respectively with s_i as the source vertex. Our
 561 data structure is simply the collection of the subgraphs $H_1, H_1^R, \dots, H_t, H_t^R$. Given
 562 any query set F of at most k failing edges/vertices, we perform traversal from s_i in
 563 graphs $H_i \setminus F$ and $H_i^R \setminus F$, where $i \in [1, t]$. The SCC S_i is preserved if and only if
 564 the set of vertices reachable from s_i in both $H_i \setminus F$ and $H_i^R \setminus F$ is the same as the set
 565 S_i . Note that the total space used, and the query time after any k failures are both
 566 bounded by $O(2^k n)$. Thus we get the following theorem.

567 **THEOREM 22.** *Given any directed graph $G = (V, E)$ on n vertices and a positive*
 568 *integer k , we can preprocess G in polynomial time to build a data structure of $O(2^k n)$*
 569 *words that, after the failure of any set F of at most k edges or vertices can determine*
 570 *in $O(2^k n)$ time whether the SCCs of graph $G \setminus F$ are the same as those of graph G .*

571 **8.2. Fault-tolerant algorithm for reporting Dominator Tree of a graph.**
 572 Given a directed graph G and a source vertex s we say that vertex v dominates
 573 vertex w if every path from s to w contains v [21]. The vertex v is the immediate
 574 dominator of w if every dominator of w (other than w itself) is also a dominator of v .
 575 A dominator tree is a tree rooted at s where each node's children are those nodes that
 576 it immediately dominates [21]. Buchsbaum et al. [9] gave an $O(m)$ time algorithm
 577 for computing dominators and dominator tree. Here we show how this algorithm can
 578 be combined with the concept of k -FTRS to obtain a fault-tolerant algorithm for
 579 reporting the dominator tree after the failure of any k edges or vertices. Let H be a
 580 $(k + 1)$ -FTRS for graph G . It is easy to see that on failure of any set F of k edges or
 581 vertices, the graph $H \setminus F$ is still a 1-FTRS for graph $G \setminus F$. Thus dominators of a
 582 vertex w in graph $H \setminus F$ are identical to that in graph $G \setminus F$. So in order to compute
 583 the dominator tree of $G \setminus F$ it suffices to run the algorithm of Buchsbaum et al. [9] on
 584 graph $H \setminus F$. This would take time of the order of the number of edges in H , that is,
 585 $O(2^k n)$. The space used is also $O(2^k n)$ as it suffices to store just the graph H . Thus
 586 we get that the following theorem.

587 THEOREM 23. *Given any directed graph $G = (V, E)$ on n vertices, a source $s \in V$,*
 588 *and a positive integer k , we can preprocess G in polynomial time to build a data*
 589 *structure of $O(2^k n)$ words that, after the failure of any set F of at most k edges or*
 590 *vertices, can compute the dominator tree of $G \setminus F$ in $O(2^k n)$ time.*

591 **9. Future Work.** In this paper, we showed the construction of a sparse subgraph
 592 with at most $2^k n$ edges that preserves reachability from a designated source s to each
 593 $v \in V$ after a failure of any set of at most k edges or vertices. There are two natural
 594 extensions of this problem. The first extension is to extend it from single-source to
 595 of multiple sources - Given a source set $S \subset V$ and any integer k , compute a sparse
 596 subgraph that preserves reachability for each $v \in V$ from each $s \in S$ upon failure
 597 of any k edges or vertices. The second extension is to compute a pairwise FTRS
 598 structure - Given a set of pairs $P \subset V \times V$, and any integer k , compute a sparse
 599 subgraph that preserves reachability from u to v for each $(u, v) \in P$ upon failure
 600 of any k edges or vertices. These extensions have been earlier studied for the well
 601 known problem of spanners for undirected graphs [19, 20, 8, 7]. Another important
 602 direction of study is computing a sparse subgraph that preserves strong-connectivity
 603 or bi-connectivity relation among the vertices of a given graph upon failure of any k
 604 edges or vertices.

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