

Lecture 24: Basic graph theory & Probability

Nitin Saxena *

IIT Kanpur

1 Planarity ¹

Exercise 1. Show that $K_{3,3}$ is non-planar.

Consider a planar drawing of the graph $K_{3,3} = (X \sqcup Y, E)$ with $X = \{x_1, x_2, x_3\}$, $Y = \{y_1, y_2, y_3\}$. Now since (3, 6) is an edge, we have to put them in the same region. Case 1: Vertices 3, 6 fall in the region *inside*. First, place 3 and divide the inside into two more sub-regions. Next, placing 6 becomes impossible as (6, 1), (6, 2) are edges. Case 2: Vertices 3, 6 fall in the region *outside*. First, place 3 and divide the outside into two more sub-regions. Next, placing 6 becomes impossible as (6, 1), (6, 2) are edges.

If a graph G has $K_{3,3}$ or K_5 as a subgraph, then, clearly, G is non-planar.

An *elementary subdivision* is an operation on a graph G whereby we can replace an edge (u, v) by two edges $(u, w), (w, v)$ by adding a new vertex w .

Graphs G_1, G_2 are called *homeomorphic* if they can be obtained from a third graph G by applying a sequence of elementary subdivisions.

Exercise 2. If G is planar then G_1, G_2 are also planar.

A planar representation of G immediately gives one for G_1, G_2 .

Eg. 10-vertex Petersen graph's non-planarity.

Surprisingly, it can be shown, with much work, that: a graph is non-planar iff it has a subgraph which is homeomorphic to $K_{3,3}$ or K_5 . Interested readers can look at *Kuratowski Theorem* (1930). This gives an efficient algorithm for planarity testing of graphs!

In the above proofs of non-planarity, we studied the different regions 'created' by a representation of the graph. Euler showed that for a graph G , any planar representation have the same number of regions, and this number is related to vertices and edges in a simple way.

Theorem 1 (Euler's formula, 1752). *Let G be a connected planar graph with n vertices and m edges. The number of regions r in any planar representation is $m - n + 2$.*

Proof. Let us keep a particular planar representation of G in mind. We are going to construct this representation by adding one edge at a time. We will start with any single edge— call this base graph G_1 .

Given G_i , look at a new edge e ($e \notin E(G_i)$) which has at least one vertex in G_i . e exists at every step because the graph G is connected. If both the endpoints of e are already in G_i , to obtain G_{i+1} , we just need to draw the edge. Otherwise, to obtain G_{i+1} , draw the edge and the additional vertex too.

Suppose r_i, e_i, v_i be the number of regions, edges and vertices respectively in the graph G_i . We will show by induction that $r_i = e_i - v_i + 2$.

Exercise 3. Show the base case.

In $G_1, r_1 = 1, e_1 = 1, v_1 = 2$. So, $r_1 = e_1 - v_1 + 2$.

* Edited from Rajat Mittal's notes.

¹ This section is taken from the book by Rosen [1].

As mentioned above, there can be two cases when adding a new edge e to G_i .

Case 1: Both vertices of e are already present in G_i . Then they should be in the same region (otherwise there will be a crossing). By connecting those two vertices, we have divided the region into two regions. So $r_{i+1} = r_i + 1, e_{i+1} = e_i + 1, v_{i+1} = v_i$ and Euler's formula continues to hold.

Case 2: Only one vertex of e is present in G_i . In this case the new edge does not make a new region. So $r_{i+1} = r_i, e_{i+1} = e_i + 1, v_{i+1} = v_i + 1$ and again Euler's formula holds.

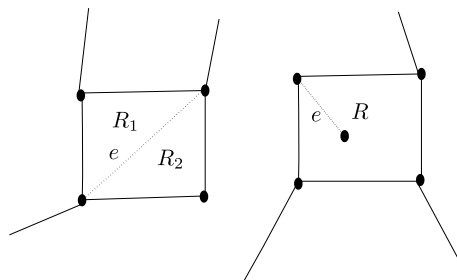


Fig. 1. Two different cases of adding an edge.

□

For a tree Euler's formula implies $m = n - 1$.

A very interesting fact is known about any planar graph— It can always be colored by 4 colors (Appel & Haken, 1976). This is known as *4-color theorem* and the proof of it required a lot of effort (it is a computer-assisted proof!). If you are interested please read more about the 4-color theorem elsewhere.

2 Probability

All of us encounter situations in our life where we need to take a decision based on the chance/likelihood/probability of some event. We will try to mathematical model these situations and see how this *chance or probability* can be quantified.

2.1 Definitions

Suppose we are interested in calculating/computing the chance of an outcome in a certain experiment. The experiment could be tossing a coin, throw of a die or picking a random number.

The set of all possible outcomes is known as *sample space*, it is a set and is denoted by Ω . We will be studying probability mostly in the context of use in computer science. Hence, our sample sets will be discrete.

Exercise 4. What is the sample space for a coin toss, sequence of coin toss, throw of a die and picking a random number.

For all these experiments, we are interested in a certain outcome or a subset of outcomes from the sample space. A subset of the sample space is known as an *event*. Our task is to model the probability of different events.

References

1. K. H. Rosen. Discrete Mathematics and Its Applications. *McGraw-Hill*, 1999.
2. N. L. Biggs. Discrete Mathematics. *Oxford University Press*, 2003.
3. D. Stirzaker. Elementary Probability. *Cambridge University Press*, 2003.